

# Pharmacokinetic herb-drug interactions involving African traditional medicines - fingerprint analysis and in vitro metabolism studies

by

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# Declaration

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# Abstract

## Introduction

Traditional, complementary and alternative medicines have been used to treat various health conditions. The use of such medicines among HIV/AIDS and TB patients in sub-Saharan Africa has increased considerably, and within this context, questions have been raised about the medical use of herbs or extracts as treatment alternatives without adequate clinical testing and without monitoring of adverse effects once on the market. Furthermore, potential herb-drug interactions (HDI) have been predicted based on the pharmacological and pharmacokinetic properties of prescription medications and the phytoconstituents within the herbs.

This study investigated the potential of six popular African herbs consumed by HIV/AIDS and TB patients, viz., *Withania somnifera*, *Glycyrrhiza glabra*, *Astragalus membranaceus*, *Inula helenium*, *Althaea officinalis* and *Ocimum basilicum*, to inhibit the cytochrome P450 enzyme CYP2B6 and the esterase-mediated metabolism pathway of rifampicin and their ability to induce CYP3A4 and CYP2B6. The study was undertaken in four phases: (1) qualitative assessment of various classes of phytochemicals present in each herbal extract by biochemical phytochemical profiling, (2) study of the potential inhibitory effects of the extracts on cytochrome CYP2B6 and on the metabolism pathway of rifampicin to 25-O-desacetyl rifampicin by *in vitro* assays using human liver microsomes (HLM), (3) analysis of the potential inducing effects of the herbal extracts on mRNA expression of CYP2B6 and CYP3A4 in HepG2 cell lines, using reverse transcription polymerase chain reaction (RT-PCR) and agarose gel electrophoresis (AGE), and (4) fingerprint analysis, identification and relative quantification of the major phytoconstituents present in each extract and prediction of compounds which may cause HDI by liquid chromatography-mass spectrometry coupled with photo diode array detection (LC-MS/PDA).

## Methods

Dried roots of *Withania somnifera*, *Glycyrrhiza glabra*, *Astragalus membranaceus*, *Inula helenium*, *Althaea officinalis* and dried leaves and inflorescence of *Ocimum basilicum* were obtained from Pharma Germania, South Africa. Aqueous, methanol, ethanol and ethyl acetate extracts were prepared and analysed using biochemical tests to identify the

presence of various classes of phytochemicals. HLM assays were conducted to evaluate the inhibitory potential of each extract on the CYP2B6-mediated metabolism of efavirenz to 8-Hydroxy efavirenz, and the biotransformation of rifampicin to 25-O-desacetyl rifampicin. The protocol included the incubation of the herbal extract, HLM, co-factors and substrates in phosphate buffer for 30 min at 37 °C, termination of reaction and HPLC analysis of the supernatant from the centrifuged assay sample (at 245 nm for efavirenz and its metabolite, and 254 nm for rifampicin and its metabolite). The half-maximal inhibitory concentrations ( $IC_{50}$ ) for the active extracts were calculated based on the percentage of remaining activity relative to the control. Time-dependent inhibition (TDI)  $IC_{50}$  fold-shift was evaluated using 30 min pre-incubation with NADPH, followed by incubation with substrate in buffer for another 30 min, using six concentrations (1-200  $\mu\text{g/mL}$ ) of the herbal extract. CYP2B6 and CYP3A4 mRNA expression assays were conducted for measuring the induction potential of the extracts, where the 50% cytotoxic concentration ( $CTC_{50}$ ) of all herbal extracts was determined by screening them (1000.00-31.25  $\mu\text{g/mL}$ ) against HepG2 cells. The HepG2 cells were incubated for 24 h with the  $CTC_{50}$  concentration, for each herb. This was followed by extraction and purification of total mRNA and its expression through RT-PCR, followed by AGE. Relative sample expression levels were calculated and represented as fold-response levels of induction relative to a cell control (using rifampicin and dexamethasone as positive controls). LC-MS/PDA was used to identify and relatively quantify the potential phytochemical constituents in each extract.

## Results

*O.basilicum*, *G.glabra* *I.helenium* and *A.membranaceus* contained most of the relevant groups of phytochemicals such as flavonoids, phenols, alkaloids, glycosides and terpenoids based on the biochemical qualitative analysis. The aqueous and methanolic extracts of *O.basilicum* showed reversible and time-dependent inhibition of CYP2B6 (TDI  $IC_{50}$ s 33.35  $\mu\text{g/mL}$ , 4.93  $\mu\text{g/mL}$ ,  $IC_{50}$  shift-fold >1.5 for both extracts), while the methanolic and ethanolic extracts inhibited the formation of 25-O-desacetyl rifampicin ( $IC_{50}$ s 31  $\mu\text{g/mL}$ , 8.94  $\mu\text{g/mL}$ ). The methanolic extract of *O.basilicum* showed the highest TDI with a 7.4-fold increase in the  $IC_{50}$ . All extracts of *I.helenium* inhibited CYP2B6 ( $IC_{50}$ s 63  $\mu\text{g/mL}$ , 89.43  $\mu\text{g/mL}$ ) and rifampicin metabolism ( $IC_{50}$ s 42.79  $\mu\text{g/mL}$ , 18.58  $\mu\text{g/mL}$ , 62.10  $\mu\text{g/mL}$ ); the aqueous extract showed the highest TDI with a 3-fold increase

in the IC<sub>50</sub>. Only the methanolic and ethyl acetate extracts of *W.somnifera* inhibited CYP2B6 (IC<sub>50</sub> 79.16 µg/mL, 57.96 µg/mL). TDI was mainly observed between the herbal extracts and CYP2B6.

The ethanolic and methanolic extracts of *A.officinalis* induced CYP3A4, with 48%-fold-response shift compared to the cell control (no inducer). The ethanolic extract of *O.basilicum* and *G.glabra* caused moderate induction of CYP3A4 and CYP2B6. The aqueous extract of *A.membranaceus* showed moderate and equal induction of CYP3A4 and CYP2B6 (36% fold-response increase).

All extracts exhibited less than 2-fold induction (200%) response, in contrast to the positive controls, rifampicin and dexamethasone.

Major phytochemicals detected in the LC-MS/PDA analysis of the extracts included flavonoids, phenols, glycosides, saponins and terpenoids. Relative amounts of the identified compounds were determined by comparison to standard calibrators, quercetin and gallic acid (expressed in mg/L equivalent units). Phenols such as rosmarinic acid (approximately 2298 mg/L in the aqueous extract) and caftaric acid were found in *O.basilicum* extracts along with the flavonoids salvigenin, rutin and isoquercetin and other compounds such as linalool, hydroxyjasmonic acid and eucommiol. The aqueous extract of *I.helenium* contained mostly polyphenols such as chlorogenic and caffeoylquinic acids, whereas other solvent extracts contained the sesquiterpenoid tanacetol A, helenin (isoalantolactone) and macrophyllilactone B. The methanolic and ethyl acetate extracts of *W.somnifera* comprised of withaperuvine, isopelletierine, salvigenin, withanolides and withaferin A (approximately 1117 mg/L in the ethyl acetate extract), and the extracts of *A.membranaceus* contained mainly calycosin, formononetin, astragalosides I and IV.

The extracts of *A.officinalis* mainly comprised of quinic acid and altheahexacosanyl lactone derivatives (approximately 3874 mg/L in the methanol extract), d-galacturonic acid monohydrate, phloretin along with the fatty acid trihydroxy-octadecenoic acid, and the *G.glabra* extract mainly consisted of glabridin, liquiritin apioside, liquiritigenin and licochalcones.

## Conclusion

*A.membranaceus*, *I.helenium*, *O.basilicum* and *W.somnifera* have been shown to contain astragalosides, alantolactones, phenolic acids and withanolides that may inhibit drug metabolising enzymes such as CYP2B6, CYP3A4 and the enzymes responsible for

metabolism of rifampicin (including B-esterases). *A.officinalis* and *G.glabra* can cause moderate induction of CYP3A4 due to the higher concentration of lactones and chalcones present in their extracts.

These *in vitro* findings may be relevant for clinical use of these herbs together with conventional medicines metabolised by these enzymes, if sufficient hepatic concentrations are attained in humans.

The results of this study will help to guide planning and designing of clinical trials to confirm the potential relevance of HDI in patients.

# Abstrak

## Inleiding

Tradisionele, aanvullende en alternatiewe medisyne word gebruik om verskeie gesondheidstoestande te behandel. Die gebruik van sodanige medisyne vir MIV/vigs- en TB-pasiënte in Afrika suid van die Sahara het aanmerklik toegeneem, en in hierdie konteks word vrae gevra oor die medisinale gebruik van kruie of ekstrakte as behandelingalternatiewe sonder voldoende kliniese toetsing en sonder monitering van negatiewe gevolge nadat dit op die mark verskyn het. Moontlike kruiemedikasie-interaksies (KMIs) word voorts voorspel op grond van die farmakologiese en farmakokinetiese eienskappe van voorskrifmedikasie en die fitobestanddele in die kruie.

Hierdie studie was 'n ondersoek na die potensiaal van ses gewilde Afrika-kruie wat deur MIV/vigs- en TB-pasiënte gebruik word, naamlik *Withania somnifera*, *Glycyrrhiza glabra*, *Astragalus membranaceus*, *Inula helenium*, *Althaea officinalis* en *Ocimum basilicum*, om die sitochroom P450-ensiem CYP2B6 en die esterase-bemiddelde metabolismebaan van rifampisien en hul vermoë om CYP3A4 en CYP2B6 te induseer, te inhibeer. Die studie is in vier fases onderneem: (1) kwalitatiewe assessering van verskillende klasse van fito-verbindings teenwoordig in elke kruie-ekstrak deur biochemiese fitoprofilering, (2) bestudering van die potensiële inhiberende gevolge van die ekstrakte op sitochroom CYP2B6 en op die metabolismebaan van rifampisien tot 25-O-desasetiel-rifampisien deur *in vitro*-ontledings met gebruik van menslewer-mikrosome (MLM), (3) ontleding van die potensiële induserende uitwerkings van die kruie-ekstrakte op mRNA-uitdrukking van CYP2B6 en CYP3A4 in HepG2-sellyne met gebruik van omgekeerde transkripsie polimerasiekettingreaksie (RT-PCR) en agarose-jel-elektroforese (AGE), en (4) vingerafdruk-ontleding, -identifisering en relatiewe versyfering van die vernaamste fitobestanddele teenwoordig in elke ekstrak en voorspelling van verbindings wat KMI's kan veroorsaak deur vloeibare chromatografie-massa-spektrometrie gepaard met fotodiode-rangskikkingwaarneming (LC-MS/PDA).

## Metodes

Droë wortels van *Withania somnifera*, *Glycyrrhiza glabra*, *Astragalus membranaceus*, *Inula helenium* en *Althaea officinalis* en droë blare en infloressensie van *Ocimum basilicum* is van Pharma Germania, Suid-Afrika, verkry. Water-, metanol-, etanol- en

etielaasetaat-ekstrakte is voorberei en ontleed met behulp van biochemiese toetse om die teenwoordigheid van verskillende klasse fito-verbindings te identifiseer. MLM-ontledings is uitgevoer om die inhiberende potensiaal van elke ekstrak op die CYP2B6-bemiddelde metabolisme van efavirenz tot 8-hidroksie-efavirenz en die biotransformasie van rifampisien tot 25-O-desasetiel-rifampisien te evalueer. Die protokol het die inkubasie van die kruie-ekstrak, MLM, kofaktore en substrate in fosfaatbuffer vir 30 minute teen 37 °C, beëindiging van reaksie en hoëdruk- vloeibare chromatografie-ontleding van die bodrywende stof van die gesentrifugeerde toetsmonster (teen 245 nm vir efavirenz en sy metaboliet, en 254 nm vir rifampisien en sy metaboliet) ingesluit. Die half-maksimale inhiberende konsentrasies ( $IC_{50}$ ) vir die aktiewe ekstrakte is bereken op grond van die persentasie oorblywende aktiwiteit in verhouding tot die kontrole. Tydafhanklike inhibisie (TAI)  $IC_{50}$ -verskuiwingsvou is geëvalueer deur 30 minute pre-inkubasie met NADPH, gevolg deur inkubasie met substraat in buffer vir 'n verdere 30 minute met die gebruik van ses konsentrasies (1-200  $\mu\text{g/mL}$ ) van die kruie-ekstrak. CYP2B6- en CYP3A4 mRNA-uitdrukkingontledings is uitgevoer om die induksiepotensiaal van die ekstrakte te meet, waar die 50% sitotoksiese konsentrasie ( $CTC_{50}$ ) van alle kruie-ekstrakte bepaal is deur hulle teen HepG2-selle te toets (1000.00-31.25  $\mu\text{g/mL}$ ). Die HepG2-selle is vir 24 uur met die  $CTC_{50}$ -konsentrasie vir elke kruie geïnkubeer. Dit is gevolg deur ekstraksie en suiwering van totale mRNA en sy uitdrukking deur RT-PCR, gevolg deur AGE. Relatiewe monsteruitdrukkingvlakke is bereken en as vouresponsvlakke van induksie in verhouding tot 'n selkontrole voorgestel (met gebruik van rifampisien en deksametasoon as positiewe kontroles). LC-MS/PDA is gebruik om die potensiële fitochemiese bestanddele in elke ekstrak te identifiseer en te relatief kwantifiseer.

## Resultate

*O.basilicum*, *G.glabra*, *I.helenium* en *A.membranaceus* het die meeste van die toepaslike groepe fitobestanddele soos flavonoïde, fenole, alkaloides, glikosides en terpenoïde op grond van die biochemiese kwalitatiewe ontleding bevat. Die water- en metanoliese ekstrakte van *O.basilicum* het omkeerbare en tydafhanklike inhibisie van CYP2B6 getoon (TAI  $IC_{50}$ s 33.35  $\mu\text{g/mL}$ , 4.93  $\mu\text{g/mL}$ ,  $IC_{50}$ -verskuiwingsvou 1.5 vir albei ekstrakte), terwyl die metanoliese en etanoliese ekstrakte die vorming van 25-O-desasetiel-rifampisien geïnhipeer het ( $IC_{50}$ s 31  $\mu\text{g/mL}$ , 8.94  $\mu\text{g/mL}$ ). Die metanoliese ekstrak van



*O.basilicum* het die hoogste TAI getoon met 'n 7.4-voudige toename in die  $IC_{50}$ . Alle ekstrakte van *I.helenium* het CYP2B6 ( $IC_{50}$ s 63  $\mu\text{g/mL}$ , 89.43  $\mu\text{g/mL}$ ) en rifampisien-metabolisme ( $IC_{50}$ s 42.79  $\mu\text{g/mL}$ , 18.58  $\mu\text{g/mL}$ , 62.10  $\mu\text{g/mL}$ ) geïnhibeer; die waterekstrak het die hoogste TAI getoon met 'n drievoudige toename in die  $IC_{50}$ . Slegs die metanoliese en etielasetaatekstrakte van *W.somnifera* het CYP2B6 ( $IC_{50}$  79.16  $\mu\text{g/mL}$ , 57.96  $\mu\text{g/mL}$ ) geïnhibeer. TAI is hoofsaaklik tussen die kruie-ekstrakte en CYP2B6 waargeneem.

Die etanoliese en metanoliese ekstrakte van *A.officinalis* het CYP3A4 geïnduseer, met 48%-vouresponsverskuiwing in vergelyking met die selkontrole (geen induseerder nie). Die etanoliese ekstrak van *O.basilicum* en *G.glabra* het matige induksie van CYP3A4 en CYP2B6 veroorsaak. Die waterekstrak van *A.membranaceus* het matige en gelyke induksie van CYP3A4 en CYP2B6 (36%-vourespons-toename) getoon. Alle ekstrakte het minder as tweevoudige induksierespons (200%) getoon in vergelyking met die positiewe kontroles, rifampisien en deksametasoon.

Vername fitobestanddele waargeneem in die LC-MS/PDA-ontleding van die ekstrakte het flavonoïde, fenole, glikosides, saponiene en terpenoïde ingesluit. Relatiewe hoeveelhede van die geïdentifiseerde verbindings is bepaal in vergelyking met standaard kalibreerders, kwersetien en galliensuur (uitgedruk in mg/L ekwivalente eenhede). Fenole soos rosmariensuur (ongeveer 2298 mg/L in die waterekstrak) en kaftariensuur is gevind in *O.basilicum*-ekstrakte tesame met die flavonoïde salvigenien, rutien en isokwersetrien en ander verbindings soos linaloöl, hidroksiejasmoniese suur en eukommiol. Die waterekstrak van *I.helenium* het meestal polifenole soos chlorogeniese en kaffeoïelkiniensure bevat, terwyl die ander oplosmiddelekstrakte die seskwiterpenoïed tanasetol A, helenien (isoalantolaktoon) en makrofillielaktoon B bevat het. Die metanoliese en etielasetaat-ekstrakte van *W.somnifera* het bestaan uit witaperuvien, isopelletiërien, salvigenien, witanolides en witaferien A (ongeveer 1117 mg/L in die etielasetaatekstrak), en die ekstrakte van *A.membranaceus* het hoofsaaklik kalikosien, formononetien en astragalosiede I en IV bevat.

Die ekstrakte van *A.officinalis* het hoofsaaklik bestaan uit kiniensuur en alteaheksakosaniel-laktoon-derivate (ongeveer 3874 mg/L in die metanolekstrak), d-

galakturonsuur-monohidraat en floretien tesame met die vetsuur trihidroksie-oktadesenoësuur, en die *G.glabra*-ekstrak het hoofsaaklik bestaan uit glabridien, liwiritinapiosiede, likwiritigenien en likochalkone.

### **Gevolgtrekking**

Daar is gevind dat *A.membranaceus*, *I.helenium*, *O.basilicum* en *W.somnifera* astragalosiede, alantolaktone, fenoliese sure en witanolides bevat wat middelmetaboliserende ensieme soos CYP2B6, CYP3A4 en die ensieme verantwoordelik vir metabolisme van rifampisien (insluitende B-esterases) kan inhibeer. *A.officinalis* en *G.glabra* kan matige induksie van CYP3A4 veroorsaak weens die hoër konsentrasie laktone en chalkone teenwoordig in hul ekstrakte. Hierdie *in vitro*-bevindinge kan relevant wees vir kliniese gebruik van hierdie kruie tesame met konvensionele medikasie wat deur hierdie ensieme gemetaboliseer is indien voldoende hepatiese konsentrasies in mense verkry word.

Die resultate van hierdie studie sal help om die beplanning en ontwerp van kliniese toetse te rig om die potensiële relevansie van KMI's onder pasiënte te bevestig.

# Dedication

To my parents, Sankarankutty and Komalam,  
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together; thanks Vinay GK for your humour and care. And last but always the first, thank you God for keeping my spirit stronger amidst all the challenges and blessing me with my guardian angels, mom and dad.

# List of Publications

## Full publications:

1. **S. Kumar**, P. J. Bouic and B. Rosenkranz (2017). Simultaneous HPLC Determination of Efavirenz, 8-Hydroxy Efavirenz and Neostigmine and Comparison of their Separation Using a C-18 and Biphenyl Column through Pharmacological Evaluation, *Indian J Pharm Sci*, 79 (3): 353–360, DOI: 10.4172/pharmaceutical-sciences.1000237 (Appendix 1.1).
2. **S. Kumar**, P. J. Bouic and B. Rosenkranz (2018). A Validated Stable HPLC Method for the Simultaneous Determination of Rifampicin and 25-O-desacetyl Rifampicin – Evaluation of *In vitro* Metabolism, *Acta Chroma*, pp:1-7, DOI: 10.1556/1326.2018.00361 (Appendix 1.2).
3. **S. Kumar**, N. Sepuhle, P. J. Bouic and B. Rosenkranz. HPLC/LC-MS Guided Phytochemical and *In vitro* Screening of *Astragalus membranaceus* (Fabaceae), and Prediction of Possible Interactions with CYP2B6, *J Herb Med* (Revised Submission #HERMED-D-17-00033R2, August 2018, *In Review*).

## Abstract publications:

1. **Saneesh Kumar**, Nontombi Sepuhle, Charles Awortwe, Patrick J. Bouic, Bernd Rosenkranz (2017). HPLC/LC-MS guided phytochemical/ *in vitro* screening of *Inula Helenium* L. (Asteraceae) and *Althaea officinalis* L. (Malvaceae), and prediction of possible cytochrome P450 interactions, *IntJ Pharm Pharm Sci*, [S.I., Sep. 2017], p. 13. (Appendix 1.2).
2. **Saneesh Kumar**, Nontombi Sepuhle, Charles Awortwe, Patrick J. Bouic, Bernd Rosenkranz (2015). HPLC/LC-MS guided phytochemical screening of *Astragalus membranaceus* and predictions of possible cytochrome P450 interactions, *Int J Pharm Pharm Sci*, [S.I., Feb. 2015], p. 53. (Appendix 1.3).

## Manuscripts in preparation:

1. **S. Kumar**, P. J. Bouic and B. Rosenkranz. Investigation of CYP2B6 interactions of *Withania somnifera* (L.) in human liver microsomes and HepG2 cells.

2. **S. Kumar**, P. J. Bouic and B. Rosenkranz. *In vitro* assessment of the interaction potential of *Ocimum basilicum* (L.) on CYP2B6, 3A4 and rifampicin metabolism using human liver microsomes and mRNA gene expression.



## List of conferences attended

1. **Saneesh Kumar**, C. Awortwe, P.J. Bouic, B. Rosenkranz: HPLC/LC-MS guided phytochemical/ *in vitro* screening of African herbal medicines and predictions of possible cytochrome P450 interactions.  
INNOPHARM 2, Second International Conference on Novel Frontiers in Pharmaceutical and Health Sciences, Bhopal (India) (10 – 11 February 2017, abstract published in the International Journal of Pharmacy and Pharmaceutical Sciences).
2. **Saneesh Kumar**, Patrick J Bouic, Bernd Rosenkranz: Pharmacokinetics of herb-drug interactions involving African traditional medicines – fingerprint analysis and *in vitro* metabolism study.  
UNISA DST/NRF IKS INTERFACE 4 “Towards Science and Technology of Humility in South Africa”, Khoroni, Venda, SA (03 – 07 December 2016, was selected as one of the best presentations of the conference).
3. **Saneesh Kumar**, Nontombi Sephule, C. Awortwe, P.J. Bouic, B. Rosenkranz: HPLC/LC-MS guided phytochemical screening of *Astragalus membranaceus* and predictions of possible cytochrome P450 interactions.  
INNOPHARM 1, First International Conference on Novel Frontiers in Pharmaceutical and Health Sciences, Bhopal (India) (10 – 11 October 2015, abstract published in the International Journal of Pharmacy and Pharmaceutical Sciences).
4. **Saneesh Kumar**, Patrick J Bouic, Bernd Rosenkranz: IKS Bio Economy: Effect of traditional medicines on drug pharmacokinetics.  
UNISA DST/NRF IKS INTERFACE 3 “Building Excellence in Indigenous Knowledge Systems as a Science”, Pretoria, SA (27 February – 1 March 2015).

# Abbreviations

[I]	Inhibitor concentration
[S]	Substrate concentration
25ODESRIF	25-O-desacetyl rifampicin
Ab	Antibody
ADMET	Absorption, distribution, metabolism, and excretion and Toxicity
AGE	Agarose gel electrophoresis
AhR	Aryl hydrocarbon receptor
AIDS	Acquired immunodeficiency syndrome
AP	Alkaline phosphatase
ART	Antiretroviral therapy
ARVs	Antiretroviral drugs
AUC	Area under the curve
bDNA	Branched DNA
BSA	Bovine serum albumin
CAM	Complementary and alternative medicines
CAR	Constitutive androstane receptor
cART	Combination Antiretroviral Therapy
CES	Carboxylesterase
CL <sub>int</sub>	Intrinsic clearance
CMV	Cytomegalovirus
CTC <sub>50</sub>	Concentration of the extract that result in 50% cytotoxicity of HepG2 cells
CV	Coefficient of Variation
CYP	Cytochrome P 450
DR-TB	Drug-resistant tuberculosis
E	Enzyme
EFV	Efavirenz
ELISA	Enzyme-linked immunoassay
ESCI	Electrospray ionisation and chemical ionization
ESI	Electrospray ionization
DDI	Drug-drug interactions
GAPDH	Glyceraldehyde 3-phosphate dehydrogenase
GC-MS	Gas chromatography
GIT	Gastrointestinal tract
HDI	Herb-drug interaction
HIV	Human immunodeficiency virus
HLM	Human liver microsomes
HPLC – PDA	High performance liquid chromatography – Photodiode array detector
HPLC – VWD	High performance liquid chromatography – Variable wavelength detector
HRP	Horseradish peroxidase
I.S.	Internal standard
IC <sub>50</sub>	Concentration of inhibitor that results in 50% inhibition of enzyme activity
IgG	Immunoglobulin G

$K_i$	Inhibition constant (reversible inhibition)
$K_m$	Michaelis-Menten constant
$K_s$	Substrate affinity for an enzyme
LC-MS	Liquid chromatography–mass spectrometry
LLOD	Lower limits of detection
LLOQ	Lower Limits of quantification
LOD	Limits of detection
LOQ	Limits of quantification
MALDI – TOF	Matrix-assisted laser desorption/ionization – Time of flight
MBI	Mechanism-based inhibition
mRNA	Messenger ribonucleic acid
MS	Mass spectrometry
NADPH	Nicotinamide adenine dinucleotide phosphate
NELF	Nelfinavir
NEO	Neostigmine
NMR	Nuclear magnetic resonance spectroscopy
NNRTIs	Non-nucleoside reverse transcriptase inhibitors
OATP	Organic Anion Transporting Polypeptide
OD	Optical density
P	Product
PAb	Primary antibody
PBREM	Phenobarbital-responsive enhancer module
PBS	Phosphate buffered saline
PDA	Photo diode array
P-gp	P-glycoprotein
PXR	Pregnane X receptor
Q-TOF	Quadrupole time-of-flight
QqQ	Triple quadrupole
RIF	Rifampicin
RT	Retention time
RT-PCR	Reverse transcription polymerase chain reaction
SD	Standard deviation
SEM	Standard error of the mean
TAT2	Telomerase activator 2
TCM	Traditional Chinese medicine
TDI	Time dependent inhibition
THMs	Traditional herbal medicines
THPs	Traditional health practitioners
TICL	Ticlopidine
TK	Thymidine kinase
TMB	3,3',5,5'-Tetramethylbenzidine
THP	Traditional health practitioner
UGT	Uridine diphosphate glucuronosyl transferase
UV-Vis	Ultraviolet–visible spectroscopy or ultraviolet-visible spectrophotometry
$V_{max}$	Maximal velocity or maximum rate of reaction
WHO	World Health Organization

UNITS

bp	Base pair
mg	Microgram
µg/mL	Microgram per millilitre
µL	Microlitre
µM	Micromolar
g	Gram
h	Hour
kb	Kilobase
mg	Milligram
mg/L	Milligram per litre
mg/mL	Milligram per millilitre
min	Minute
mL	Millilitre
mL min <sup>-1</sup>	Millilitre per minute
mm	Millimetre
mM	Millimolar
m/z	Mass-to-charge ratio
ng	Nanogram
pg	Picogram
rpm	Revolutions per minute
U/ml	Units per millilitre
<sup>w</sup> / <sub>w</sub>	Weight per weight

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# *Chapter 1*

## INTRODUCTION

### 1.1 Overview of drug metabolism

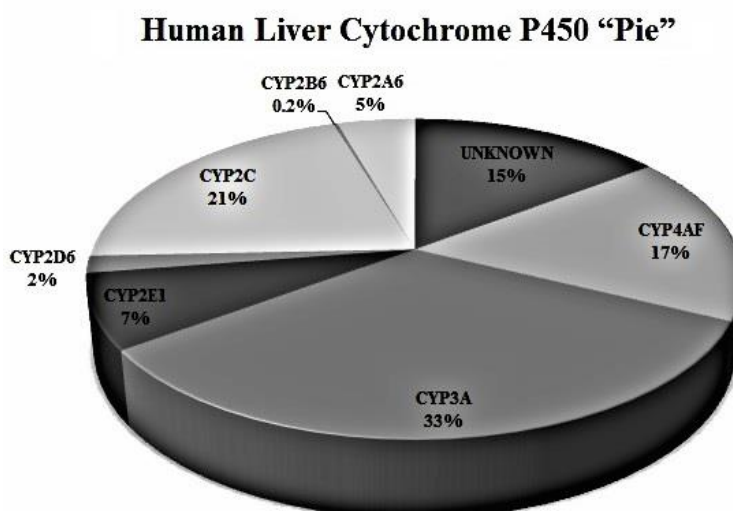
The human liver is the principal site of drug metabolism, where a drug is chemically converted to a metabolite through oxidation, reduction, conjugation and hydrolysis, prior to its elimination from the body. The study of this biotransformation is critical to understand the clinical profile of a drug, the pharmacokinetics and toxicology of its metabolites, determination of the dosage regimens and its potential to cause interactions in combination with other drugs. The human body is constantly exposed to 'xenobiotics' or foreign substances such as chemicals from the food, air, water as well as drugs or herbal supplements for treating diseases, and has various enzymes to eliminate these substances.

The xenobiotic metabolism occurs in two phases. Phase I involves oxidation, reduction and hydrolysis of the lipid-soluble drug. Phase II involves reactions that are synthetic in nature, and the conjugation with an endogenous substance such as glucuronic acid, sulfate or glycine; whereby polar metabolites are formed which are excreted by the kidney (urine) and liver (bile) (White, 2012). Cytochrome P450 (CYP), a microsomal group of isoenzymes catalyse the oxidation of xenobiotics in phase I. These enzymes form the basis of this study.

### 1.2 Cytochrome P450 enzymes

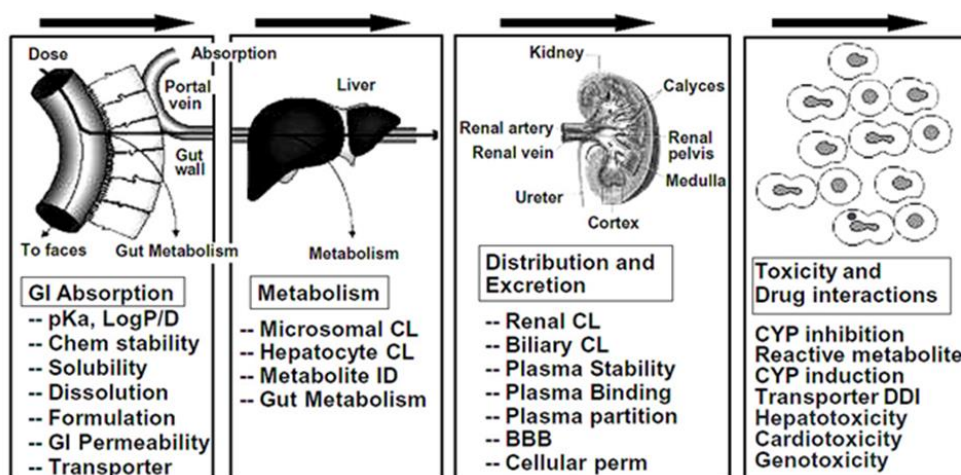
CYPs are the major enzymes involved in drug metabolism, accounting for about 75% of the total metabolism of the drugs within the human body (Guengerich, 2008; Williams et al., 2005). Most drugs undergo deactivation by CYPs, either directly or by facilitated excretion from the body, although some substances are bioactivated by CYPs by formation of active metabolites. Human CYPs are primarily located either in the mitochondrial inner membrane or the endoplasmic reticulum of cells. Many endogenous and exogenous chemical substances are primarily metabolised by these CYPs. Some CYPs such as CYP19 (aromatase) metabolize only one substrate (or very few), while others may metabolize multiple substrates. These characteristics give the CYPs a very important role in the metabolism of medicine. CYPs play critical roles in the synthesis and breakdown of hormones, including estrogen and testosterone synthesis and metabolism as well as cholesterol synthesis, and the metabolism of vitamin D (Salphati and Benet, 1998; Guengerich, 2008; Bjorkman, 2005).

Potentially toxic compounds including products of endogenous metabolism (such as bilirubin) and drugs are also metabolised by the CYPs, primarily in the liver. Drugs are predominantly biotransformed by a limited subset of CYPs, namely CYP1A2, CYP2A6, CYP2B6, CYP2Cs (principally CYP2C8, CYP2C9 and CYP2C19), CYP2D6, CYP2E1 and CYP3A4 (Gomez-Lechon et al., 2004; DiPiro, 1999; Cupp and Tracy, 1998). These CYPs are responsible for more than 50% of the total drug metabolism (Wilkinson, 2005). Recent studies revised the CYP distribution ‘pie’ chart to include CYP4F enzymes to the total contribution of all the hepatic P450 enzymes, as illustrated in Fig. 1 (Michaels and Wang, 2014).



**Fig.1 The Cytochrome P450 *pie* chart, with the percentage contribution of individual CYPs, to the total hepatic P450 enzymes (Michaels and Wang, 2014)**

Inhibition or induction of these enzymes can result in altered clearance and pharmacokinetics (PK) of drugs leading to very high or low concentrations and therefore to adverse effects or lack of efficacy. This is particularly important considering that the aging population is often on multiple drugs (polypharmacy) for various ailments. Therefore, evaluation of drug interactions is part of the assessment of drug efficacy and safety.



**Fig.2 ADMET profiling paradigm in drug discovery and development. The potential of the drug to inhibit/induce CYP-mediated metabolism attributes to probable drug-drug interactions (DDI) and toxicity (Zhang and Surapaneni, 2012).**

**\*\* GI – gastrointestinal, CL – clearance**

The screening of absorption, distribution, metabolism, excretion and toxicity (ADMET) drug properties during a drug discovery and development process, as well as understanding drug interactions with the CYPs is critical (Fig. 2), and has the potential to increase the chances for identification of a new drug candidate within a shorter time frame (Zhang and Surapaneni, 2012).

CYP3A4 is the most prevalent in humans, and the most important enzyme in terms of drug biotransformation. CYP3A4 isoenzyme is involved in many clinically significant drug-drug interactions (DDI). Studies involving CYP3A4 and DDI/HDI are becoming an integral part of drug research (Ogu and Maxa, 2000). Many studies have recorded the interaction of herbal medicines prominently with CYP3A4, along with other CYPs, whereby the CYP3A4-herb interaction analysis is an evaluation model of high importance (Wanwimolruk et al., 2014).

CYP2B6 is involved in the metabolism of clinically important drugs. The discovery of extensive variations of the CYP2B6 gene (the most common being *CYP2B6\*6*), the potential effects of ethnic variations on its expression as well as the emerging evidence for its clinical relevance, have demonstrated the important role of this enzyme (Desta and Flockhart, 2017). Most of the HDI evaluations have been performed using CYP2D6, CYP1A2, CYP2C19, CYP2C9, CYP3A4, and CYP2E1, but very little information is available on how herbal medicines affect the expression of CYP2B6. Considering the high prevalence of HIV/AIDS across Africa, the wide-spread use of efavirenz in treatment, and the variation of CYP2B6 expression on efavirenz metabolism, one of the main objectives of this study was to assess the influence of selected herbal medicines on the activity of CYP2B6, including its functional characterization.

### **1.1.1 Cytochrome P450 2B6**

The cytochrome P450 (CYP) enzyme CYP2B6 is one among 12 human CYPs, primarily involved in the metabolism of drugs and other xenobiotics and constitutes less than 1% of the total hepatic CYP content (Zanger et al., 2007). This highly polymorphic enzyme has upto 38 reported allelic variants (PharmVar, 2017). Therapeutically important drugs metabolized primarily by CYP2B6 include the non-nucleoside reverse transcriptase inhibitor (NNRTI), efavirenz (Ward et al., 2003; Desta et al., 2007). Besides efavirenz, drugs such as artemisinin, bupropion, ketamine, methadone and cyclophosphamide (~8% of those on the market) undergo metabolism via the CYP2B6 pathway.

CYP2B6 genotype is the most important genetic factor influencing plasma efavirenz concentrations. Human CYP2B6 is strongly inducible by several drugs including phenytoin and phenobarbital. Enzyme induction involves phenobarbital-responsive enhancer module (PBREM, -1.7 kb) and a distal xenobiotics-responsive enhancer module (XREM, -8.5 kb). The pregnane X receptor (PXR, NR1I2) and/or constitutive androstane receptor (CAR, NR1I3) bind to this module to mediate an increase in transcription (Sueyoshi et al., 1999; Wang et al., 2003; Faucette et al., 2004). The variants of CYP2B6 can affect transcriptional regulation, splicing, mRNA and protein expression, and catalytic activity. These polymorphisms are clinically relevant for HIV-infected patients treated with the reverse transcriptase inhibitor efavirenz, along with other drug substrates of CYP2B6 (Zanger and Klein, 2013). Previous studies have shown the induction of CYP2B6 by herbal medicine (Fan et al., 2009) as well as the inhibitory effect of African herbal medicines on CYP2B6 (Thomford et al., 2016). This study focuses on CYP2B6 because of its primary involvement in the metabolism of efavirenz, and the impact of herbal medicines that are co-administered with efavirenz in HIV patients.

#### **1.1.1.1 Efavirenz metabolism**

Efavirenz containing combined antiretroviral therapy (ART) is the first line treatment (Manosuthi et al., 2016) in Sub-Saharan Africa. Efavirenz is primarily metabolized to 8-Hydroxy efavirenz mainly by CYP2B6 and to a lesser extent by CYP3A and, to 7-Hydroxy efavirenz by CYP2A6. 8-Hydroxyefavirenz is metabolized to 8,14-dihydroxy efavirenz by CYP2B6 (Ward et al., 2003). Ethnicity, CYP2B6\*6 and ABCB1 c.4036A/G have been shown to be significant predictors of efavirenz pharmacokinetics in HIV/AIDS patients in Africa (Ngaimisi et al., 2013).

#### **1.1.2 Cytochrome P450 3A**

Fifty–60% of all CYP drug metabolism is mediated by the CYP3A subfamily of enzymes (Slaughter and Edwards, 1995), which comprises of CYP3A4, CYP3A5, CYP3A7 and CYP3A43 (Daly, 2006). Among these, CYP3A4 forms the major portion of CYP3A protein present in the human liver and up to 90-fold variations may be observed in its catalytic activity. Subjects carrying defective alleles of CYP3A4 may be predisposed to health conditions such as prostate cancer, type 2 diabetes mellitus and estrogen receptor negative breast cancer (Lamba et al., 2002). CYP3A5 is polymorphically expressed in about 10-30% human livers, and represents upto 50% of the total hepatic CYP3A in such scenarios (Roy et al., 2005; Daly, 2006; Lamba et al., 2002). Its amino acid sequence (85%) is identical to CYP3A4;

however it varies in its catalytic activity and regioselectivity towards substrates (Daly, 2006). The CYP3A5 gene contributes to inter-individual and inter-racial variation of CYP3A mediated metabolism of drugs, including anticancer drugs (irinotecan, docetaxel, vincristine), antimalarial (mefloquine, artemether), antipsychotics (olanzapine), antiestrogen (tamoxifen), immunomodulators (tacrolimus, cyclosporine), antibiotics (clarithromycin), antihistamines (chlorpheniramine, terfenadine, astemizole), antihypertensives (verapamil, nifedipine, amlodipine, felodipine), antivirals (indinavir, nelfinavir, ritonavir, saquinavir), steroids (testosterone, estradiol, progesterone and androstenedione) (Shimada & Guengerich, 1989; Flockhart, 2007) and carcinogens (Gene: cytochrome P450, 2017).

Cytochromes belonging to the CYP3A subfamily have large active sites that bind to multiple substrates that are structurally different. Kinetic models have been designed on the assumption that CYP3A4 contains two distinct and independent substrate binding domains within the active site, resulting in the metabolism of two different substrates coexisting at the active site. The resultant velocity equations were used to derive kinetic parameters involved in the substrate-enzyme reactions and the nature of metabolic interaction between the two substrates was described using the kinetic constants (Shou et al., 2001).

CYP3A4 can be induced more than the other CYPs (Dogra et al., 1998; Denison and Whitlock, 1995; Waxman and Azaroff, 1992). CYP3A4 is induced by rifampicin, HIV antiretroviral drugs such as efavirenz and nevirapine, carbamazepine, dexamethasone, glucocorticoids, phenobarbital, phenytoin, sulfadimidine and St. John's wort (Flockhart, 2007). Inhibitors of CYP3A4 include nelfinavir, ketoconazole, grapefruit juice, quinine, erythromycin, itraconazole, valproic acid, telithromycin, and verapamil (Flockhart, 2007). The metabolism pathway catalysed by CYP3A4 forms a major route for elimination of many drugs, and the induction of its expression is often implicated in clinically relevant drug-drug interactions. Rifampicin, a potent inducer of CYP3A4 activity (Hellum et al., 2007; Gurley et al., 2006; Erickson et al., 1999), is commonly used as a positive control to investigate and evaluate the induction potential of test compounds on CYP3A4. CYP3A4 is a key enzyme related to DDIs occurring in TB treatment, particularly drug-resistant TB, and co-infection with TB/HIV (Kwara et al., 2010).

### **1.1.3 Rifampicin metabolism and esterases**

Rifampicin is part of the major regimen for the treatment of active TB disease, including HIV-infected patients (Department of Health and Human Services, 2016; British HIV Association,

2011). Rifampicin induces CYP3A4 mRNA in liver HepG2 and blood mononuclear cells (Glaeser et al., 2005; Gashaw et al., 2003). Rifampicin induced gut and liver (hepatic) CYP3A4, and autoinduces its own metabolism (Kliwer and Willson, 2002). Rifampicin is metabolized to 25-O-desacetyl rifampicin, via deacetylation by esterases or by enzymes present in microsomal cells (Jamis-Dow et al., 1997). The des-acetyl derivative is eliminated in bile. Some of its other metabolites include 3-formyl rifamycin, rifampicin N-oxide, and rifampicin quinone (Mohan et al., 2003). P-glycoprotein (P-gp) transporter induction along with B-esterases, are involved in disposition of rifampicin (Staudinger et al., 2010).

B-esterases are enzymes that hydrolyse carboxyl esters and exhibit very broad substrate specificity. Acetylcholinesterase, human carboxylesterase (CES), and butyrylcholinesterase belong to the B-esterase family, CES2 being the most important enzyme with the broadest substrate specificity. Variations in the promoter region of CES2 affect the gene expression, and alter the metabolism of rifampicin (Song et al., 2013).

Another study demonstrated that decreased expression of CYP3A4 contributed to increased oral absorption of rifampicin, indicating the possible involvement of CYP3A4 in pre-systemic metabolism of rifampicin (Prakash et al., 2003; Venkatesan, 1989).

Rifampicin-induced CYP3A4 activation and expression models have been used for predicting the pharmacokinetics of CYP3A4 substrate drugs as well as clinically relevant DDI (Yamashita et al., 2013). Most of the *in vitro* HDI studies investigate the role of rifampicin as an inducer of CYP3A4 along with other drugs and herbal extracts; less information is available on the effect of herbal medicines on the metabolism pathway of rifampicin to 25-O-desacetyl rifampicin. *In vitro* studies have not explored the use of rifampicin as a substrate for HLM assays, to analyse the effect of herbal medicines on its pathway by measuring the enzyme kinetics of 25-O-desacetyl rifampicin.

In this study the effects of the selected herbs on the rifampicin metabolism pathway was investigated to understand the changes in its pharmacokinetics associated with 25-O-desacetyl rifampicin. The potential of the herbs tested to inhibit this pathway was ranked based on their IC<sub>50</sub> values.



### 1.3 HIV/AIDS and tuberculosis

Drug interactions pose an increasingly complex challenge for clinicians treating HIV infected patients. Current treatment guidelines recommend the use of a combination of at least three anti-retroviral drugs for the treatment of HIV-infected patients (Combination Antiretroviral Therapy, cART). In addition to medications to treat HIV infection, patients often receive therapy for concomitant conditions or for prophylaxis of opportunistic infections. Due to the amount of drugs received by an HIV-infected patient, clinicians are often forced to rely on clinical judgment and predict drug interactions without having supporting data (HIV Clinical Resource, 2010).

Drug interactions involving metabolism are the most common, and their clinical relevance is often difficult to predict. Most drugs used in cART, especially NNRTIs and protease inhibitors, are metabolized via the CYP system. NNRTI-based cART remains a first-line regimen for the treatment of HIV-infected patients with TB in resource-limited settings. Efavirenz is a preferred NNRTI with favourable treatment outcomes (Meintjes et al., 2017; Manosuthi et al., 2016).

TB is an opportunistic infection with a higher prevalence in immune compromised patients, such as HIV-infected individuals. Medications used to treat TB can have significant interactions with other drugs. The interaction that is clinically most relevant is that of the enzyme induction associated with rifampicin, a first-line agent used in combination with isoniazid for the treatment of TB infection (Kerantzas et al., 2017; Kreek et al., 1976). The standard treatment of drug-susceptible TB comprises of a 2-month initial intensive phase comprising of rifampicin, pyrazinamide, isoniazid and ethambutol, followed by 4 months of continuation phase of rifampicin and isoniazid. cART should be initiated in all HIV-infected patients with TB, within the first 8 weeks of starting antituberculous treatment and within the first 2 weeks for patients with CD4 cell counts <50 cells/mm<sup>3</sup> (WHO TB guidelines, 2017; Manosuthi et al., 2016). Isoniazid, a CYP3A4 inhibitor (Wen et al., 2002) when used along with rifampicin may also induce various CYP isoenzymes leading to the formation of acetyl-isoniazid from isoniazid. The latter is further converted to monoacetyl hydrazine and catalysed by CYPs to form other hepato-toxic compounds, thereby increasing isoniazid toxicity (Chen and Raymond, 2006).

Selection of the appropriate drugs prescribed for HIV/AIDS and TB, is critical. The treatment guidelines take into account the effect of each drug on the CYP system as shown in Table [1] below.

**Table [1]** Drugs and CYP Interactions (Lee et al., 2014; FDA Clinical Pharmacology Guidelines, 2012; HIV Clinical Resource, 2010; Arbex et al., 2010; Kivisto et al., 1995)

DRUG	EFFECT ON THE CYP SYSTEM
<b>HIV/AIDS TREATMENTS</b>	
<b>Non-Nucleoside Reverse Transcriptase Inhibitors</b>	
Delavirdine (DLV)	CYP3A4 inhibitor
Efavirenz (EFV)	CYP3A4 inducer (inhibitor <i>in vitro</i> )
Etravirine (ETR)	CYP3A4 Inducer, CYP2C9 and CYP2C19 inhibitor
Nevirapine (NVP)	CYP3A4 inducer
<b>Protease Inhibitors</b>	
Amprenavir (APV), Fosamprenavir (FPV)	CYP3A4 inhibitor/inducer
Atazanavir (ATZ)	CYP3A4 inhibitor, CYP1A2, CYP2C9 inhibitor
Darunavir/r (DRV/r)	CYP3A4 inhibitor
Indinavir (IDV)	CYP3A4 inhibitor
Lopinavir/ritonavir (LPV/r)	CYP3A4 inhibitor CYP2D6 inhibitor (CYP3A4 inhibition > CYP2D6) CYP3A4 and CYP1A2 inducer
<b>Protease Inhibitors</b>	
Amprenavir (APV), Fosamprenavir (FPV)	CYP3A4 inhibitor/inducer
Atazanavir (ATZ)	CYP3A4, CYP1A2, CYP2C9 inhibitor
Darunavir/r (DRV/r)	CYP3A4 inhibitor
Indinavir (IDV)	CYP3A4 inhibitor
Lopinavir/ritonavir (LPV/r)	CYP3A4, CYP2D6 inhibitor; (CYP3A4 inhibition > CYP2D6); CYP3A4 and CYP1A2 inducer
Nelfinavir (NFV)	CYP3A4 inhibitor/inducer
Ritonavir (RTV)	CYP3A4, CYP2D6 inhibitor; (3A4 inhibition > CYP2D6); CYP3A4 and CYP1A2 inducer
Saquinavir (SQV)	Weak CYP3A4 inhibitor
Tipranavir/ritonavir (TPV/r)	CYP3A4 inhibitor Potent P-gp inducer
<b>TUBERCULOSIS TREATMENTS</b>	
Pyrazinamide	No significant CYP interactions
Rifampicin	CYP3A4 and CYP2C9 Inducer
Isoniazid	Inhibitor on CYP2C9, CYP2C19, and CYP2E1, but its effect on the CYP3A family is minimal.
Ethambutol	Strong inhibitory potential against CYP1A2 and CYP2E1, moderate against CYP2C19 and CYP2D6 and weak against CYP2A6, CYP2C9 and CYP3A4



## 1.4 Inhibition of CYP enzyme Activity

Clinical DDI or HDI involving inhibition or time-dependent inactivation of the CYP enzymes can result in clinically relevant side effects resulting from reduced clearance/increased exposure of the drug being affected, and diminished clinical efficacy with increased dosage requirements. Enzyme inhibition is classified as reversible or irreversible. In the case of reversible inhibition, the inhibitor binds non-covalently; irreversible inhibitors commonly bind with the enzyme through the formation of covalent bonds or react with residues/ by-products involved in the catalysis and alter them chemically. Since reversible inhibitors do not react with the enzyme and form any chemical bonds, they are formed rapidly and can be easily separated, thus the enzyme-inhibitor complex gets dissociated quickly in contrast to irreversible inhibition (Alsanosi et al., 2014).

### 1.4.1 Reversible inhibition

In reversible inhibition (further subdivided into competitive, non-competitive, uncompetitive, and mixed types) the enzyme activity is fully restored when the inhibitor is removed from the system in which the enzyme functions. In reversible inhibition, there exists equilibrium between the inhibitor, I, and the enzyme, E [equation (1.4.1)]:



The equilibrium constant ( $K_i$ , the inhibitor constant) for the dissociation of the enzyme–inhibitor complex, is given by equation (1.4.2).

$$K_i=[E][I]/[EI] \quad (1.4.2)$$

Thus,  $K_i$  is a measure of the affinity of the inhibitor for an enzyme, similar to the constant  $K_s$ , which is a measure of the affinity of a substrate for an enzyme (Ochs, 2000).

#### 1.4.1.1 Competitive inhibition

In competitive inhibition, the inhibitor is commonly a structural analogue of the substrate S and competes with it to bind at the active site thereby forming the product of the reaction P (Ochs, 2000).

Thus, two reactions are possible:



The metabolic rate of the reaction ( $v$ ) can be expressed as:

$$v = V_{max} [S] / K_m(1+[I]/K_i) + [S] \quad (1.4.5)$$

Where,  $V_{max}$  is the maximum velocity of the reaction, and  $K_m$  is the Michaelis-Menten constant- the substrate concentration at which the reaction rate is half of  $V_{max}$  (Nelson and Cox, 2008).

#### 1.4.1.2 Non-competitive inhibition

In non-competitive inhibition, the inhibitor binds to the enzyme at a site different from the substrate binding site, and has no structural resemblance to the substrate. There is no competition between the inhibitor and the substrate, and increasing substrate concentration cannot overcome the inhibition (Ochs, 2000). The inhibitor binds either to the enzyme–substrate complex or a free enzyme; in both cases, the complex formed is catalytically inactive. Non-competitive reactions are shown in equations (1.4.6) and (1.4.7).



The value of  $V_{max}$  is reduced by the inhibitor because the concentration of active enzyme is reduced;  $K_m$  is unaffected.

The metabolic rate of the reaction can be expressed as:

$$v = \{V_{max}/(1+[I]/K_i)\} [S]/K_m + [S] \quad (1.4.8)$$

#### 1.4.1.3 Uncompetitive inhibition

In uncompetitive inhibition, the inhibitor binds to the enzyme once the substrate binding is complete, and not alone. Consequently, the likelihood of uncompetitive inhibition occurs in conditions where the concentrations of both substrate and enzyme are high.

The metabolic rate of the reaction can be expressed as:

$$v = \{V_{max}/(1+[I]/K_i)\} [S]/K_m/(1+[I]/K_i) + [S] \quad (1.4.9)$$

A special case of uncompetitive inhibition is substrate inhibition, where the substrate itself binds to the enzyme at high concentrations, and blocks its activity.

#### 1.4.1.4 Mixed inhibition

Mixed inhibition is considered a ‘mixture’ of competitive inhibition and uncompetitive inhibition. The inhibitor can bind to the enzyme alone, before the substrate binds (such as in competitive inhibition), or can bind to the enzyme substrate complex formed (such as in uncompetitive inhibition) (Ramsay and Tipton, 2017; Ochs, 2000). However, the inhibitor has a greater affinity for one of the two states, and not both.

The metabolic rate of the reaction can be expressed as:

$$v = V_{max}/(1+[I]/K_i) + (1 + K_s/[S]) \times (1 + [I]/K_i) \quad (1.4.10)$$

### 1.4.2 Irreversible inhibition

In irreversible inhibition, also referred to as mechanism-based inhibition (MBI) or time-dependent inhibition (TDI), the rate of metabolic recovery is similar to reversible inhibition, and dependent upon the production of new enzyme, rather than the dissociation of the enzyme-inhibitor complex, and elimination of the inhibitor.

In TDI, the potency of the inhibitor increases upon prolonged exposure to the enzyme during the pre-incubation period, thereby reducing the enzyme activity. Metabolites that possess stronger inhibitory activity than the parent molecule are formed during such reactions, which bind irreversibly to the enzyme and inactivate it.

MBI can further be divided into quasi-irreversible and true irreversible inhibition. In quasi-irreversible inhibition, the metabolite of the reaction interacts with the ferrous structure of the CYP heme, and forms a metabolic intermediate complex that makes the enzyme inactive. In true irreversible inhibition, the substrate forms strong covalent bonds with the enzyme and cannot be dissociated (Tihanyi and Vastag, 2011).

## 1.5 Traditional medicines

Traditional medicines which include herbal remedies, homeopathy, oriental medicine and acupuncture, and spiritual therapies have been used for millennia to treat acute and chronic diseases. At least 28,000 plant species are currently recorded as being of medicinal use, although less than 16% of the species used in plant-based medicines are cited in a medicinal regulatory publication (SOTWP, 2017). In many regions of the world, people rely on traditional herbal medicines (THMs) for their primary healthcare (Tapsell et al., 2006). In Germany, it is estimated that 90% of the population use herbal medicines (WHO TMS, 2014; Payyappallimana and Subramanian, 2015; Ong et al., 2005). Herbal medications have been used in India, African countries, China, Central and South America, and Europe for centuries. The Indian traditional medical system called *Ayurveda* (*Sanskrit: Ayur* means ‘longevity’ or ‘life’ and *Veda* means ‘science’) dates back to over 5000 years and the practices that are derived from *Ayurvedic* medicine are regarded as part of complementary and alternative medicines (CAM) (NCCAM-NIH, 2006), and along with Siddha Medicine and Traditional Chinese medicine (TCM), forms the basis for systems medicine. In December 2016, the Chinese government recognised improved scientific understanding of the TCM and its value in treating chronic conditions by

announcing their aim to integrate the same into their healthcare system by 2020 (Xinhuanet, 2016).

Traditional African medicine is a holistic discipline involving indigenous herbs and African spirituality, typically involving herbalists, spiritual healers, diviners and midwives. Practitioners of traditional African medicine claim to be able to cure diverse health conditions such as HIV-AIDS, TB, cancers, psychiatric disorders, high blood pressure and cholera (Helwig, 2005). In recent years, the herbal remedies and treatment methods used in traditional African medicine have gained more appreciation from researchers in medicine and technology. Developing countries have started assessing the high costs involved in the establishment of modern health care systems with new and advanced technologies (Mahomoodally, 2013). Due to this reason, many African countries have recently expressed interest in the integration of traditional African medicine into the continent's national health care systems (Ubani, 2011). Organizations like the National Research Foundation of South Africa, have allocated funds for research on promoting indigenous knowledge systems in the country.

The traditional health practitioners (THPs) use a wide variety of treatments ranging from 'magic' and spiritual healing, to biomedical methods such as fasting and dieting, herbal therapies, bathing, massage, and other surgical procedures (Conserve Africa, 2002). Conditions such as migraine, abscesses, pleurisy and cough are often cured using the method of "bleed-cupping" and the application of herbal ointments followed by herbal drugs (Mehta and Dhapte, 2015). In some African cultures, hot herbal ointment is rubbed across the patient's eyelids to cure migraines and headaches. Herbal mixtures are often used as cures for malaria, which involves steam inhalations of herbal concoctions (Onwuanibe, 1979).

In tropical Africa, over 4000 plants are popularly used as medicinal plants (Ubani, 2011; Stanley, 2004). Medicinal plants are used to treat many diseases, illnesses and other health conditions, the uses and effects of which are of growing interest to Western societies and the pharmaceutical researchers. Plants such as *Siphonochilus aethiopicus* (African ginger), *Lessertia frutescens* (Cancer bush), *Aloe ferox*, *Hypoxis hemerocallidea* (African potato), *Harpagophytum procumbens* (Devil's claw), *Tulbaghia violacea* (Wild garlic), etc., are some of the plants classified as medicinal in Southern Africa (Directorate Plant Pr., 2013). Certain pharmacological and toxicological effects of plants such as *Lessertia* and *Hypoxis* have been identified when used for patients with HIV/AIDS (Mills et al., 2005).

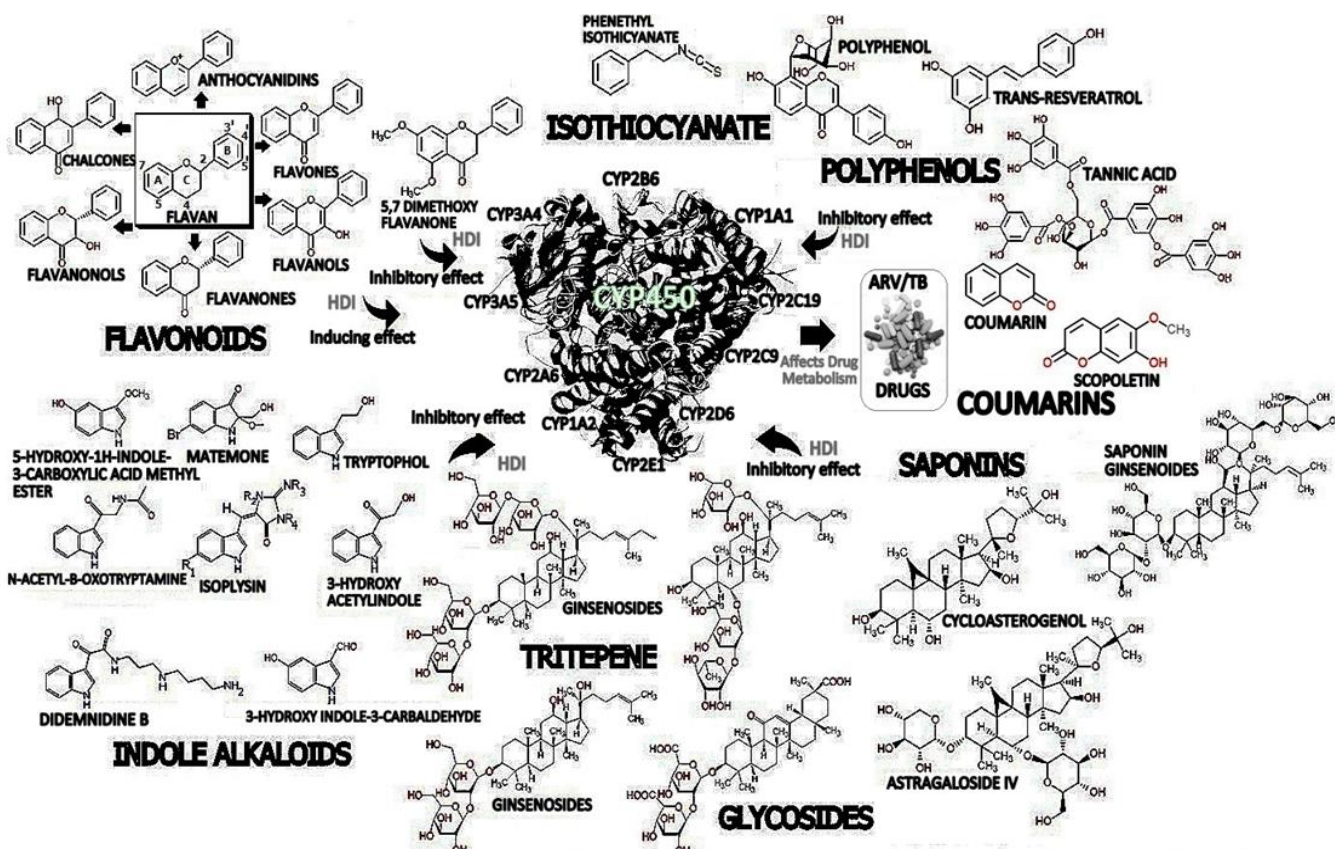
Some of the commonly used medicinal plants in Cameroon, Tanzania, Madagascar and Malawi include *Prunus africana* (Pygeum), *Securidaca longipedunculata*, *Justicia flava*, *Lessertia frutescens*, *Agaura salicifolia*, *Turraea holstii*, *Jatropha multifida*, *Sterculia africana*, *Spirostachys africana*, *Turraeanthus africanus*, *Antiaris africana* and *Albizia adianthifolia* (Ntie-Kang et al., 2013; van den Bout-van den Beukel et al., 2008). *Acacia senegal* (Senegal gum), *Artemisia herba-alba*, *Aspalathus linearis* (rooibos), *Centella asiatica*, *Catharanthus roseus*, *Momordica charantia* (bitter melon), *Cyclopia genistoides*, *Momordica charantia* and *Pelargonium sidoides* are among the other commonly used medicinal plants that form part of African herbal pharmacopeia with commercial importance (Mahomoodally, 2013).

## 1.6 Herb-drug interactions

Today herbs are widely used in teas, vitamins and natural supplements. Besides the potential benefits of herbal medicine, it is important to recognize possible HDI, which might have pharmacological or toxicological effects. Overdose of certain herbs or conventional drugs can be toxic. Synergistic therapeutic effects may lead to adverse complications in the dosing of long-term medications – e.g., herbs traditionally used to decrease glucose concentrations in diabetes (Bailey and Day, 1989) could theoretically precipitate hypoglycaemia if taken in combination with conventional drugs (Bailey et al., 1989). Herbal medicines are complex mixtures of more than one active ingredient (phytocompounds). The multitude of such phytocompounds which are pharmacologically active increases the likelihood of interactions with other conventional drugs. Hence, the chances of HDI are theoretically higher than drug–drug interactions, because synthetic drugs usually comprise of a single molecule or a few chemical entities.

Various classes of chemical compounds are present in plants, which include flavonoids, phenols, saponins, glycosides, alkaloids, terpenoids, and coumarins. Some of these compounds are potentially capable of interacting with the CYPs, and altering their expression and activity. Previous research has reported particular class of phytocompounds including the structure of some of the molecules (Fig. 3) responsible for causing HDI. This model connects the phytochemical constituents of the herb to its effect (previously reported) on the CYPs.





**Fig. 3** Phyto-CYP Interaction assessment prediction model : This model outlines various class of phytochemicals and their molecules that have been previously reported to cause interactions with CYP-mediated metabolism in HLMs, rat and human subjects. The model links the potential of a herb to cause HDI to its phytochemical fingerprint.

As illustrated in Fig. 3, flavonoids have the potential to modulate the activity of cytochromes P450, mainly the CYP1A subfamily, significantly. The administration of rutin and quercetin enhances all measured specific activities of certain CYPs, but to a lesser extent compared to significantly high induction effect of isoquercitrin (Křížková et al., 2009). Many indole alkaloids have previously been reported as inhibitors of CYP2E1 and CYP2A6 (Wang et al., 2015). Coumarins are competitive inhibitors of CYP1B1 (Mammen et al., 2005), CYP1A1 and CYP1A2 (Cai et al., 1996). Phytophenols such as tannic acid and trans-resveratrol have been proven to have an inhibitory effect on the enzymatic marker of CYP2E1, while gallic acid also had a time-dependant inhibitory effect on CYP3A4 (Pu et al., 2015).

The inhibition and mechanism-based inactivation potential of phenethyl isothiocyanate (PEITC) on CYP activities have been investigated using microsomes expressing specific human CYP isoforms, extracted from baculovirus-infected insect cells (Nakajima et al., 2001; Thapliyal and Maru, 2001). Saponins such as deglycosylated ginsenosides can moderately inhibit CYP activities *in vitro* with  $IC_{50}$  values 10-50  $\mu$ M (Yu et al., 2012), while cycloastragenol inhibits CYP3A4 activity in rat liver microsomes (Wei et al., 2014). 5,7-dimethoxyflavanone constituent present in a pacific beverage *kava* inhibits CYP1A2, CYP2C9, CYP2C19, CYP2D6

and CYP3A4 (Showman et al., 2015), and the triterpene astragaloside IV from *Astragalus* has an inhibitory effect on CYP2C9 and CYP3A4 (Shan et al., 2012).

### 1.6.1 Herb - drug interactions of African herbal medicines

Usage of herbal medicines, such as *Hypoxis hemerocallidea*, *Lessertia frutescens*, *Harpagophytum procumbens*, *Spirostachys africana* and *Hoodia gordonii*, remains popular as an alternative or complementary form of treatment, especially in Africa (Pereira et al., 2010; Mills et al., 2005; Prevoe et al., 2004; van Wyk, 1997). *L.frutescens* and *H.hemerocallidea* were recommended by the South African Ministry of Health in the treatment of HIV/AIDS (Giraldo, 2002), including phase II trials conducted by the South African Herbal Science and Medicine Institute (SAHSMI) on *L.frutescens* (Aboyade et al., 2014).

The misconception that herbal remedies are completely safe due to their “natural” origins imperils human safety. In addition to potential inherent toxicity, different types of adverse interactions can occur with concomitant use of herbal medicines with conventional pharmaceuticals. Previous *in vitro* studies showed the inhibition of CYP3A4 and pregnane X receptor (PXR) by *L.frutescens* (Mills et al., 2005; Fasinu et al., 2013), CYP1A2, CYP2A6, CYP2B6, CYP2C8, CYP2C9 and CYP3A4/5 by *H.hemerocallidea* (Fasinu et al., 2013; Mills et al., 2005), CYP1A2, CYP2D6 and P-gp transporters by *H.procumbens* (Cordier and Steenkamp, 2004) and CYP3A4 by hoodigogenin A, extracted from *H.gordonii* (Madgula et al., 2010); while *S.africana* significantly induced CYP3A4 mRNA levels (van den Bout-van den Beukel et al., 2008).

Some of the CYPs being highly polymorphic pose a problem for pharmaceutical drug tailoring and design, to meet an individual's specific metabolic activity characteristics (The Royal Society, 2005). This is further complicated by the influence of herbal remedies. Unlike the more popular herbal medicines used in developed countries, very few data exists on the potential of African herbal medicines to cause HDI. Therefore, further research in the specific field of HDI for African herbal medicines is needed.

### 1.7 Herbal medicines selected for this research project

The herbs selected for this study viz., *Withania somnifera*, *Glycyrrhiza glabra*, *Astragalus membranaceus*, *Inula helenium*, *Althaea officinalis* and *Ocimum basilicum* are commonly used in Africa by THPs as CAM for HIV/AIDS and TB. These herbs are available in the pharmacies

as health supplements, essential oils and tea extracts. *O.basilicum* is a common culinary spice, while *G.glabra* and *A.officinalis* extracts are used in confectionaries also. However further research is required on the effect of these herbs on the metabolism of HIV/TB medication.

### 1.7.1 *Withania somnifera*

*Withania somnifera* (L.) Dunal, known commonly as *ashwagandha* or the Indian *ginseng* (winter cherry (*Eng.*); koorshout (*Afr.*); ubuvuma (*Xhosa*); ubuvimbha (*Zulu*)) is a popular herb in *Ayurvedic* medicine, as well as African herbal medicine (fig. 4).

In southern Africa this plant grows at altitudes of 15 - 2300 m. The berries and leaves are applied externally to tumours, tubercular glands, carbuncles, and ulcers (Mirjalili et al., 2009). The roots are used to prepare the herbal remedy *ashwagandha*, which has been traditionally used to treat various symptoms and conditions, having antioxidant properties (Scartezzini and Speroni, 2000) and constituents such as steroidal lactones (Mirjalili et al., 2009). Studies have also been done on *ashwagandha* being used in the treatment of neurodegenerative disorders (ven Murthy et al., 2010) and even a stress reliever (Cooley et al., 2009). In India and many parts of Africa, the root extract of *Withania* is used as a cure for diseases such as candidiasis and HIV/AIDS (Masevhe et al., 2015). Recent studies have even shown *ashwagandha* to neutralize the toxic effects induced by  $\beta$ -amyloid-induced toxicity and HIV-1Ba-L (clade B) infection using a human neuronal SK-N-MC cell line (Kurapati et al., 2013). *In vitro* studies have also been conducted on the root extracts of *ashwagandha* to understand the anti-HIV activity of these extracts (Rege et al., 2010).



**Fig. 4 *Withania Somnifera* (from *Materia Medica*, 2013)**

HDI data: A research study in 2013 showed the lack of any significant CYP3A interactions of Withaferin A, Withanolide A and Withanoside IV from *Withania* using rat and human liver



microsomes (Savai et al., 2013). Other studies showed no *in vitro* CYP1A, CYP3A4 and CYP2D6 inhibition of *Withania* in human and rat liver microsomes (Savai et al., 2014).

### 1.7.2 *Glycyrrhiza glabra*

Historical sources for the use of *Glycyrrhiza* species as medicine, for symptoms of viral respiratory tract infections and hepatitis, include ancient manuscripts from China, India and Greece (Fiore et al., 2008). Popularly known as ‘liquorice’, *Glycyrrhiza glabra* L. is common to northern parts of Africa (irksos (*African/ Arabic*)) (fig. 5).

Studies have been done on antiviral effects of the extracts. Reduction of membrane fluidity lead

to inhibition of fusion of the viral membrane of HIV-1 with the cell, induction of interferon gamma in T-cells, inhibition of phosphorylating enzymes in vesicular stomatitis virus infection and reduction of viral latency (Fiore et al., 2008). The phytocompound *glycyrrhizin* (or *glycyrrhizic acid*), found in liquorice, has been proposed as being useful for liver protection



Fig. 5 *Glycyrrhiza glabra* plant, fruits and roots (from Medicinal Herbs, 2010)

in tuberculosis therapy (Gupta et al., 2008). Glycyrrhizin has demonstrated hepatoprotective, antimicrobial, antiviral and anti-inflammatory properties, and increasing effects on blood-pressure *in vitro* and *in vivo*, as is supported by the finding that intravenous glycyrrhizin (based on the theory that oral delivery of the compound has limited entry into the circulation) slows the progression of viral and autoimmune hepatitis (Sato et al., 1996; van Rossum et al., 1998; Chien et al., 2011; Yasui et al., 2011). Liquorice extract has demonstrated activity in a clinical trial, when applied topically, against atopic dermatitis (Reuter et al., 2010). Additionally, liquorice has lipid-lowering effects in patients with hyperlipidaemia (Hasani-Ranjbar, 2010). Liquorice has demonstrated efficacy in treating inflammation-induced skin hyperpigmentation (Leyden et al., 2011). Liquorice extracts may be useful in preventing bacterial plaques in the oral cavities and neurodegenerative disorders (Messier et al., 2012). Anti-ulcer, laxative, anti-diabetic, anti-

inflammatory, immunomodulatory, antitumor and expectorant properties of liquorice have also been noted (Mazumdar et al., 2012; Ming and Yin, 2013; Fiore et al., 2008).

HDI data: *G. glabra* and its principle bioactive compound, glycyrrhizin, showed a weak interaction potential with CYP3A4 and CYP2D6 isoenzymes (Pandit et al., 2011). In other studies, liquorice compounds especially isoprenylated flavonoids and aryl coumarins, affected the activities of CYP isozymes *in vitro*. Glabridin inhibited CYP3A4, CYP2B6, and CYP2C9 (Kent et al., 2002) and glycyrrhetic acid inhibited CYP2C9, CYP2C19 and CYP3A4 (Zhao et al., 2012) while glycyrrhizin induced human CYP3A4 (Tu et al., 2010).

### 1.7.3 *Astragalus membranaceus*

*Astragalus membranaceus* (Fisch.) Bunge (Milk Vetch Root and Huang Qi (*Chinese*)) is one of the 50 fundamental herbs used in TCM (Duke and Ayensu, 1985) (fig. 6). It has been asserted as a medicinal tonic in improving the functioning of the adrenal glands, lungs and the GIT, increase metabolism and sweating, promote healing and immunity, and reduce fatigue (Balch, 2006).



**Fig. 6 *Astragalus membranaceus* root and plant (from Tipdisease, 2015)**

Biotechnology firms are working on deriving a telomerase activator from *Astragalus* (de Jesus et al., 2011). The chemical constituent cycloastragenol (also called TAT2) is being studied to help combat HIV based on its telomerase activation properties, as well as infections associated with chronic diseases or aging (United Press Int., 2009). *Astragalus* roots are being sold as supplementary medicine for HIV and other chronic diseases, in pharmacies in South Africa. It has gained more popularity in Africa as a health supplement for supporting the immune system, preventing colds and upper respiratory infections, and is available in the form of capsules, tablets, tinctures and powder (NCCAM-NIH, 2010).

**HDI data:** In an *in vitro* study using HLMs, *A.membranaceus* inhibited CYP3A4-mediated metabolism of testosterone and increased the  $C_{max}$  and AUC of midazolam (Pao et al., 2012). The pure molecules astragaloside IV and cycloastragenol are inhibitors of CYP3A4, and CYP2C9 (Wei et al., 2014; Shan et al., 2012).

#### 1.7.4 *Inula helenium*

*Inula helenium* L. (*Elecampane*) also called scabwort (horseheal, elf dock (*Eng.*); rasan (*African/ Arabic*)) is a perennial composite plant common in many parts Africa, Great Britain, and can be found throughout central and Southern Europe and in Asia. The roots of this plant are used as folk medicine in many countries in Europe and Asia (fig. 7). The phytoconstituents include flavonoids, phenols, inulin (Afemei et al., 2012), alantolactones and helenin (Bruneton, 1995). It is used by herbalists for respiratory conditions; it loosens phlegm and is good in cases of colds, bronchitis and emphysema. It is also useful during the menopause to help reduce night sweats (Bartram, 1998). Some herbalists prescribe *Inula* as medicine for a wide range of other diseases including TB. A bioassay guided research-based analysis on the constituents of *Elecampane* such as eudesmanolides, alantolactone, isoalantolactone, and 11- $\alpha$  H,13-dihydroisoalantolactone, exhibited significant activity against *Mycobacterium tuberculosis* with minimum inhibitory concentrations of 32-128  $\mu$ g/mL (Cantrell et al., 1999).



**Fig. 7 *Inula helenium* plant and root (from Planetary Herbology, 2015)**

**HDI data:** Alantolactone showed a potent inhibitory effect on CYP3A4 activity with  $IC_{50}$  values of 3.56  $\mu$ M (HLMs) and 3.90  $\mu$ M (recombinant CYP3A4) (Qin et al., 2015), while isoalantolactone showed potent inhibition of CYP2C19 (Kong et al., 2014).

#### 1.7.5 *Althaea officinalis*

*Althaea officinalis* L., commonly known as ‘marshmallow’ (Baer-ul-Khtmk (*African/ Arabic*); Gulkhairi (*Pers.*)) is a perennial species indigenous to Africa, which is used as a medicinal plant and ornamental plant (fig. 8). A confection made from the root since ancient Egyptian



time evolved into today's marshmallow treat. The generic name, *Althaea*, is derived from the Greek word 'althein' which means 'to cure', from its healing properties (Simonetti, 1990).

Marshmallow is traditionally used in the treatment of irritations in the mucous membranes, including use as a gargle tonic for mouth and throat ulcers, as well as gastric ulcers (Williamson and Wyandt, 1997). A study on rats concluded that an extract from the flowers has potential benefits for health conditions such as hyperlipidemia,



**Fig. 8**  
*Althaea officinalis*  
plant and roots  
(from Tiptidisease, 2014)

gastric ulcers and platelet aggregation (Hage-Sleiman et al., 2011). The root has been used since the middle ages in the treatment of sore throat (Rachel, 2006). Extracts for marshmallow are claimed to cure tuberculosis, pertussis, pneumonia, dry cough, night sweats, five heart heats, small rapid pulse and other wide range of diseases and body conditions (Cravotto et al., 2010; Sutovska et al., 2007; Basch et al., 2003). A phytochemical study on the constituents of the plant led to the isolation of three phytocompounds, altheahexacosanyl lactone (n-hexacos-2-enyl-1,5-olide), 2 $\beta$ -hydroxycalamene (altheacalamene) and altheacoumarin (Rani et al., 2010).

HDI data: No relevant research data on potential interactions of *A.officinalis* with CYPs have been published.

#### 1.7.6 *Ocimum basilicum*

*Ocimum basilicum* L. or sweet basil (Basilikum, Soet balsam (Afr.); Mrihani (Swahili)) is a common culinary herb, native to India, China, Southeast Asia, New Guinea and many parts of Africa. It was originally domesticated in India, having been cultivated there for more than 5,000 years (Soule, 2011).



**Fig. 9** *Ocimum basilicum*  
(from Indiabiodiversity, 2015)

Its leaves are mostly used in Italian and Southeast Asian cuisines (fig. 9). Basil is used for its medicinal properties in Ayurveda and Siddha medicine (a traditional *Tamil* system of medicine). The volatile oil of basil comprises of eugenol (Akgül, 1989) which is used in medicine as a local antiseptic and anaesthetic (Jadhav et al., 2004). The anti-TB activity of the crude methanolic extract from the aerial parts (leaves, fruits and flowers) of the plant has been reported (Siddiqui et al., 2012). *In vitro* studies have shown that compounds in basil oil have antioxidant, antiviral, and antimicrobial properties (Bozin et al., 2006; Chiang et al., 2005; de Almeida et al., 2007; Manosroi et al., 2006). *O.basilicum* is traditionally used for supplementary treatment of stress, asthma and diabetes in India (Duke, 2008).

HDI data: A methanol-dibutyl ether extract of basil showed inhibitory activity on CYP1A2 (Jeurissen et al., 2007). Another study showed that the methanolic extract of basil inhibits CYP2D6, CYP3A4, CYP3A5, and CYP3A7 (Nguyen et al., 2014).

## **1.8 Experimental *in vitro* assays to assess CYP enzyme activities**

As the liver is the major drug-metabolizing organ, liver-derived systems are most effective and suitable for metabolic studies. Liver microsomes, recombinant enzymes, liver slices and primary hepatocytes are most recommended for *in vitro* metabolism data. In this study, HLM was used and analyses of the samples were carried out using an HPLC-PDA system.

### **1.8.1 CYP inhibition - liver microsome assays**

During drug development and HDI studies, liver microsomes are often the first hepatic model used in metabolic studies. Microsomes are easily prepared from homogenized liver tissue, separated by differential centrifugation into microsomal, S9, cytosolic and mitochondrial fractions.

Selecting the most appropriate substrate specific to a particular CYP is critical for measuring its activity in *in vitro* studies (Frye, 2004). In recent years, the scientific literature has detailed information on the substrates metabolized by the major human CYPs (Walsky and Obach, 2004; Donato and Castell, 2003; Yao et al., 2007) and the U.S. Food and Drug Administration (FDA Clinical Pharmacology Guidelines, 2012) also outlines the *in vitro* and *in vivo* CYP specific substrates, inducers and inhibitors.

Classical inhibition assays involve the co-incubation of HLM and co-factors (glucose-6-phosphate, glucose-6-phosphate dehydrogenase, magnesium chloride, NADPH) at 37 °C with several concentrations of the tested plant extract and selective substrates (such as efavirenz for CYP2B6) in phosphate buffer for individual CYP enzymes (Masimirembwa et al., 2003; Bjornsson et al., 2003; Walsky and Obach, 2004). The assay is terminated after the incubation time, using chilled acetonitrile, centrifuged and the supernatant is analysed using HPLC-PDA (Polasek et al., 2006). Inhibition potency of the extracts can be ranked in order of  $IC_{50}$  or  $K_i$ . To a standardized comparison of the results obtained from different incubations, a typical CYP inhibitor (positive control, recommended as per FDA guidelines) must be included in each assay and compared to reference values. Before performing CYP inhibition assays, characterization and optimization of the kinetic parameters of the CYP substrate in the microsomal system is necessary (Bu et al., 2001).

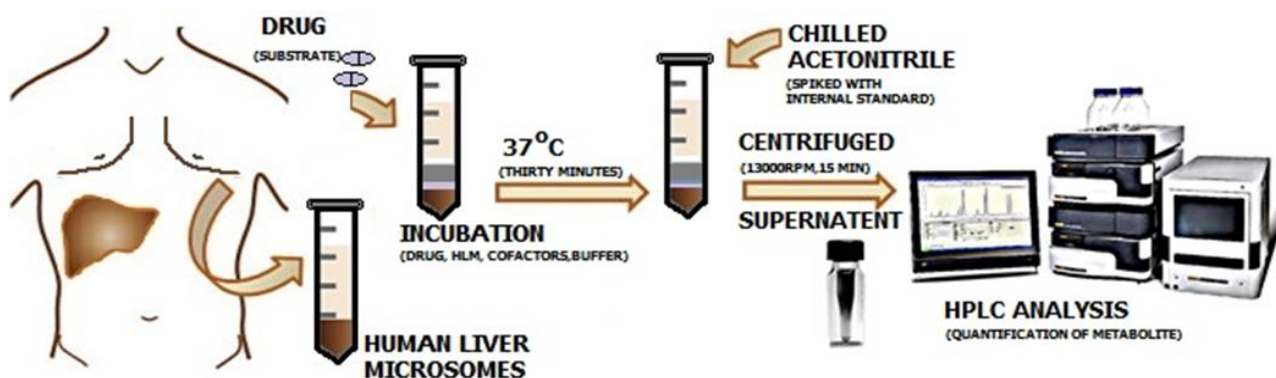


Fig. 10 Stepwise diagrammatic representation of HLM assays using HPLC analysis for inhibition studies.

The Cytochrome P450 TDI  $IC_{50}$  shift assay is used to identify both reversible and time-dependent inhibitors. The  $IC_{50}$  shift assay determines the  $IC_{50}$  value of a test compound based on three different experimental conditions; 0 min pre-incubation, 30 min pre-incubation with NADPH and no pre-incubation with NADPH. The TDI shift is a comparison of the  $IC_{50}$ s for the preincubation with NADPH to the one with no preincubation.

HLMs contain a wide variety of drug metabolizing enzymes and are commonly used to support *in vitro* ADME studies. The CYP activities in the HLM are determined using validated LC-MS/MS methods and provided in the datasheet. HLMs have long-term stability and can be cryopreserved. Relevant animal models for pharmacokinetic and toxicological studies can be identified by comparing the CYP metabolic profiles (liver microsomes) from different species with those of the human liver microsomes (Jia and Liu, 2009).

A major limitation of this *in vitro* model is that microsomes lack drug metabolizing cytosolic enzymes (glutathione S-transferases, sulfotransferases, xanthine oxidase, soluble epoxide hydrolases, alcohol dehydrogenase etc.).

#### **1.8.1.1 Analysis of HLM assay samples:**

Most *in vitro* assays involve HPLC separations, MS analysis or the use of radiometric probes for metabolite detection (Lahoz et al., 2006). Selective fluorescence activity assays are applied to biological models expressing single CYPs.

##### **1.8.1.1.1 HPLC (photo diode array/ variable wavelength detection)**

The HPLC-PDA platform uses full wavelength detection range from 190 nm to 800 nm and provides superior separation for analytes (drug and its metabolites, internal standard) which have UV-Vis absorption. The PDA passes a wide spectrum of light through the sample, after which it is separated into individual wavelengths and passed onto an array of photosensitive diodes. Each diode is specifically sensitive to one wavelength (Müllertz et al; 2016).

The VWD uses a deuterium or similar lamp which produces a broad spectrum of wavelengths separated by a diffraction grating. The instrument is adjusted so that only a particular wavelength of interest passes through the sample. The concentration of the sample is measured equivalent to the light that wasn't absorbed by the sample.

The HLM assay samples are run through specific columns and the separation of the drug, its metabolite and the internal standard is analysed using the peak retention times and areas for each, and quantification of the metabolite (ratio of the peak areas of the metabolite to the internal standard).

The HPLC method provides good sensitivity and stability for measuring light-absorbing compounds (~pg level), and is easy to operate. The advantage of using HPLC methodology for analysis of *in vitro* assay samples is that it is fast, sensitive and cheaper compared to many other techniques (Müllertz et al; 2016).

##### **1.8.1.1.2 LC-MS analysis**

HPLC coupled with electrospray ionization quadrupole time-of-flight (Q-TOF) tandem mass spectrometry has been demonstrated to be a powerful tool for analysis of biological samples



including the characterization of structural features and fragmentation behavior patterns (Li et al., 2011). LC-MS/MS (Triple quadrupole QqQ, Q-TOF), with its high sensitivity and specificity, has become a preferred analytical method for P450-involved HDI studies. The principle of an LC-MS is to convert the specific analyte to a gas-phase ion using electrical charge, which converts the resultant flux of the charged ions into a proportional electric current. This is converted into digital information by the system which displays it as a mass spectrum. The drug, its metabolite and the internal standard have specific masses which can be detected and quantified. Single or multiple reaction monitoring allows mass transitions that form the fingerprint of a specific analyte. There are several modes of MS detections including electrospray ionization (ESI) and atmospheric pressure chemical ionization ion trap mass spectrometry (APCI-IT-MS). Electrospray ionisation and tandem MS (ESCI-LC-MS) combines high speed switching between ESI and APCI within the ion source, enabling the use of MS methods with differing combinations and durations of both, within the same analysis. A QqQ MS has two quadrupole mass analysers in series with a non-mass analysing quadrupole in between which causes the fragmentation of the analyte (drug/ metabolite) through interaction with the collision gas (radiofrequency-only quadrupole). In Q-TOF MS, the analytes are converted to charged ions and accelerated by an electric field. The time taken by each ion to reach the detector is based on velocity which depends on its mass-to-charge ratio. The ion can be identified using this ratio and other known experimental parameters.

Advantages of LC-MS analyses are high sensitivity, broad quantitative range and the possibility to analyse fluorescent and non-fluorescent probes. Being more suitable for increased throughput drug screening (Turpeinen et al., 2006; Fung et al., 2003; Chu et al., 2000), the samples are analysed by using fast gradients and short columns, thereby reducing the HPLC run time significantly.

### **1.8.2 S9 fractions and hepatocytes**

S9 fractions are obtained by the differential centrifugation of liver homogenates in isotonic potassium chloride. These subcellular fractions contain both phase I and II enzymes and therefore provide an ideal *in vitro* system for studying CYP activity as well as better representation of *in vivo* drug metabolism. S9 fractions provide a simple, convenient cost-effective and efficient alternative to other complex liver models and can be used to determine whether a drug undergoes oxidative metabolism and analyse its kinetic parameters, based on metabolite formation (Kawaguchi et al., 2018). However, the enzyme activity in S9 fractions is



substantially lower when compared to human liver microsomes (Brandon et al., 2003), which may result in undetected metabolite formation (Hakura et al., 1999).

*In vitro* hepatocyte models are reliable and readily available for inhibition studies. Cryopreserved hepatocytes are used to study inhibitory effect of herbal medications on cytochromes (Fasinu et al., 2013; Thelingwani et al., 2012).

### **1.8.3 Recombinant CYP systems**

Human CYP enzymes heterologously expressed in different host systems show catalytic properties comparable to those of HLMs (Gonzalez and Korzekwa, 1995; Tang et al., 2005; Wang et al., 2000). Recombinant human CYPs are isolated in microsomal forms and are commercially available for CYP phenotyping, metabolic stability screening and inhibitory potential evaluation; moreover, they can be used for metabolism or toxicity studies including HDI assays (Naritomi et al., 2004; Bort et al., 2004).

A major advantage of recombinant CYPs in relation to HLM is the use of non-selective fluorimetric probes for high-throughput activity assays.

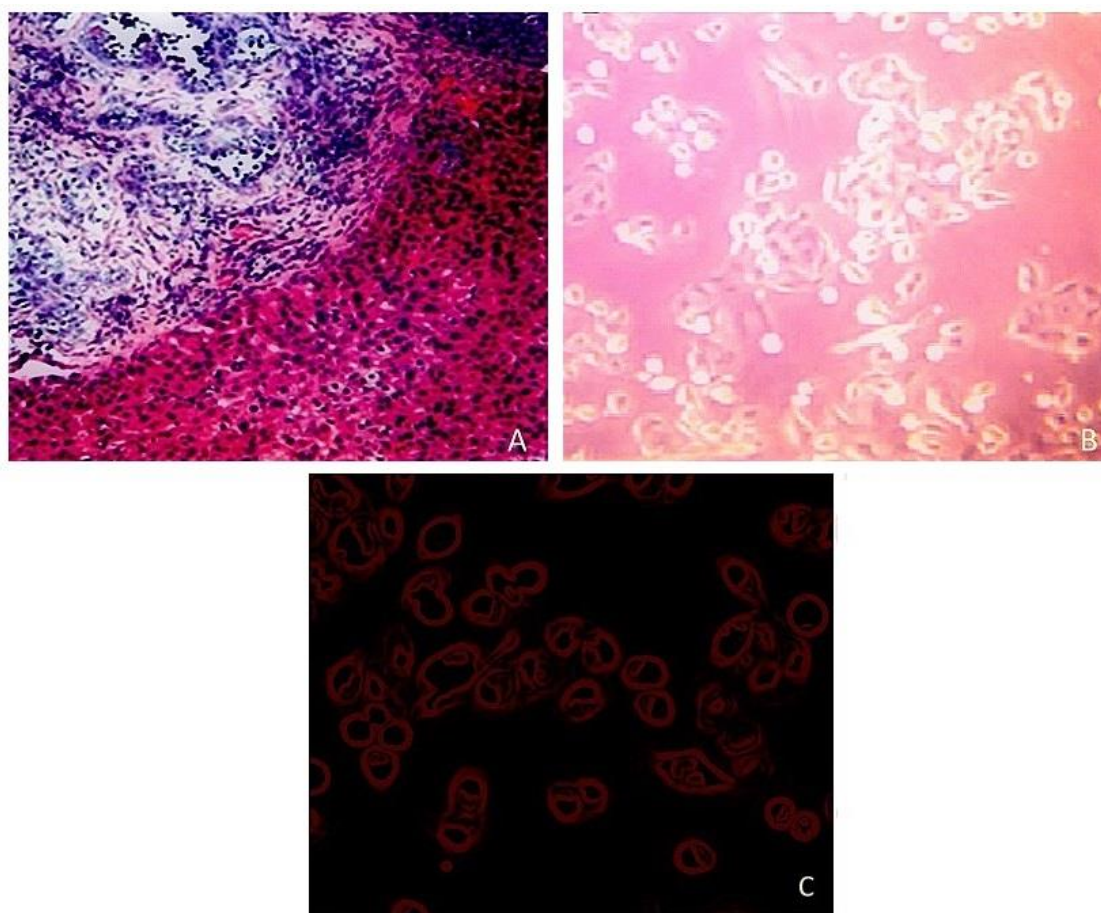
### **1.8.4 CYP induction**

Induction of a drug metabolism enzyme refers to a process where the enzyme activity increases upon administration of a particular compound (inducer) through increased expression or synthesis, or by stabilization of the enzyme (Barry and Feely, 1990). Most drug transporters and oxidative and conjugative enzymes are inducible to a varying degree. However, the induction of CYP enzymes is of most concern, due to their prominent role in the drug metabolism. The induction of CYP enzymes are regulated by three principle nuclear receptors, namely, the pregnane X receptor (PXR), the constitutive androstane receptor (CAR), and the aryl hydrocarbon receptor (AhR) (Lin, 2006).

The investigation of the induction of CYPs by herbal extracts is equally important as the inhibition assays. The key recommendations for CYP induction assays as per the US FDA, are to use human hepatocytes, mRNA level evaluation (recommended as endpoint) and initial study on CYP1A2, CYP2B6 and CYP3A4 (FDA Clinical Pharmacology Guidelines, 2012; Zhao, 2012). Induction of CYPs occurs when a drug or herbal extract triggers increased gene transcription thereby generating a higher amount of active protein compared to the normal

biological baseline. This may result in increased hepatic drug clearance. In the presence of an inducer of a particular CYP, the AUC of the co-administered drug metabolised by this CYP, is often reduced. St John's wort is an example of a CYP3A4 inducer which reduces the AUC of midazolam, a CYP3A4 substrate often used as marker drug for *in vivo* interaction studies, by 79% on co-administration (Mueller et al., 2006).

In this study induction of CYP was measured using mRNA expression in HepG2 (human liver carcinoma) cells (Fig. 11 a, b, c), through RT-PCR, followed by AGE.



**Fig. 11** A Clusters of HepG2 cells (stock culture), B & C Individual HepG2 cells in normal and neon view.

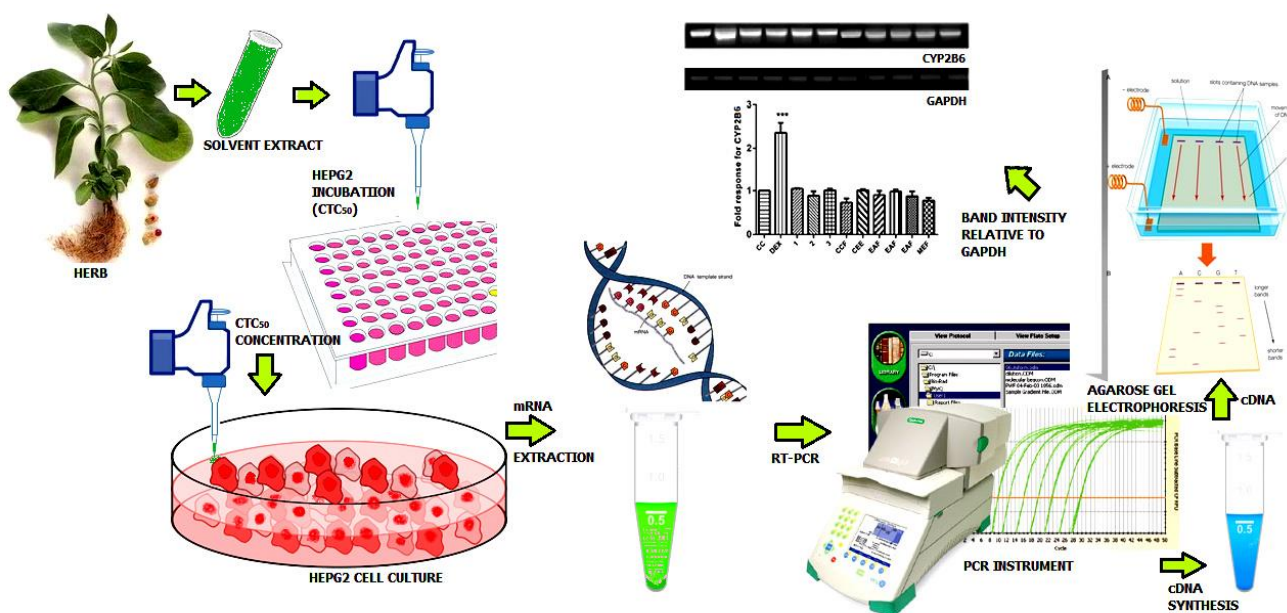
#### 1.8.4.1 mRNA quantification – Cell-based assays

The mRNA quantification assays provide an invaluable tool in the assessment of induction in drug discovery programs of both *in vitro* and *in vivo* test samples, as well as in HDI studies. The quantification includes methods that use agarose gel electrophoresis (AGE) and radiolabeled probes as well as high-throughput methods based on polymerase chain reaction (PCR) using

complementary DNA (cDNA) technology or other advanced hybridization/amplification techniques, such as, branched DNA (bDNA) technology.

In the cDNA technology, the stock cells (such as HepG2) cells are cultured in 96-well microtiter plates and incubated, with the test sample (herbal extract) for 24 h at various concentrations. The cells are then treated with MTT dye and the absorbance is measured at 540 nm. The percentage of cell growth inhibition is calculated using the absorbance values obtained for each concentration of the extract and the extract concentration required to cause 50% cytotoxicity within the HepG2 cells ( $CTC_{50}$ ) is generated from the dose-response curve.

The  $CTC_{50}$  concentration of each extract is used for subsequent 24 h incubations with the cells, and the total cellular RNA is isolated from these cells post incubation. cDNA is synthesized from the RNA using RT-PCR procedure and primers specifically designed for the CYPs. The amplified samples are then subjected to AGE and relative fold-expression levels are quantified (Reddy et al., 2015) (Fig. 11 d).



**Fig. 11 D** Stepwise diagrammatic representation of mRNA - Rt-PCR technique using HepG2 cells for induction studies using mRNA expression.

Analysis equipment availability and cost are often the deciding factor in the final choice of the analysis technique. The cDNA – RT-PCR method is highly efficient in assessing the fold-level induction of CYPs by herbs. However the cost involved in procuring CYP-specific primers can be high, and the method optimization can be time-consuming and tedious.

The bDNA technology is a hybridization-based method similar to an enzyme-linked immunosorbent (ELISA) assay (Urdea et al., 1991; Collins et al., 1997), where cell lysates are

applied to a plate coated with oligonucleotides with a specific sequence not related to the target mRNA, the capture probe. Two additional oligonucleotide probe sets are added, one that hybridizes with the capture probe and mRNA of interest (the capture extender probe), and the other that hybridizes with the mRNA of interest and has a sequence that can hybridize with a label probe (the bDNA). The label probe is coupled with an enzyme that allows for quantification of the mRNA, commonly alkaline phosphatase (AP).

This methodology is commonly used in clinical applications for the detection of HIV (Zhang and Surapaneni, 2012; Patel et al., 2009). Disadvantages of bDNA assays are the requirement of specialized reagents and technical know-how, and high cost involved.

#### 1.8.4.2 Reporter gene assays

The reporter gene assay is an invaluable tool for analysing the molecular mechanisms of mRNA transcription for many genes. Additionally, it has become an effective methodology to help researchers define the mechanism by which enhancer elements in DNA interact with receptors that are responsible for the inducible nature of many drug metabolizing enzymes, such as CYP3A4, CYP2B6 and CYP1A2 (Garrison et al., 1996; Moore et al., 2000; Dickins, 2004). A reporter construct has two essential constituents within the regulatory region, *namely*, the enhancer element and the promoter element. These elements can vary widely. The promoter element can be derived from a general promoter, commonly thymidine kinase (TK) or cytomegalovirus (CMV) or even from a CYP of interest such as CYP3A4, CYP2C9, CYP1A2, CYP2B6, etc. The enhancer element, which is the element to which the receptor of interest will bind, is of critical importance in this methodology.

Well characterized PXR-based reporter gene assays have been developed. Reporter assays require the transfection of PXR, since PXR is not expressed in most if not all transformed cell lines. These assays are based on higher - density plate formats (384 -or 1536 - well) and provide a convenient high – throughput assay to screen molecules for PXR transactivation. A reasonable correlation of PXR activity in reporter gene assays with enzymatic activity and mRNA in primary human hepatocytes validates this assay for CYP induction studies (Luo et al., 2002). Several PXR ligand binding assays have been developed to help in the screening of various molecules in drug discovery programs (Jones et al., 2000; Moore et al., 2000; Zhu et al., 2004; Shukla et al., 2009).

#### 1.8.4.3 Protein quantification

The most popular techniques for protein quantification include Western blot, ELISA, multiplexed immunoassay with beads, and quantitative proteomics.

The ELISA assay to measure protein levels, was first described in 1971 for immunoglobulin G (Engvall and Perlmann, 1971). The general procedure for this assay involves the following steps: The test sample (hepatocytes incubated with plant extract) containing an unknown amount of antigen (or target protein) is coated/fixed on to a microtiter plate through surface binding and left overnight at 4 °C. Then the blocking of the proteins is done using 3% BSA in PBS. The primary CYP antibody is then added, which binds to the antigen followed by the secondary detection antibody which is most often linked to a reporter enzyme such as AP or HRP. In the final step, a substrate is added (3,3',5,5'-Tetramethylbenzidine (TMB) or phosphatase), which is acted upon by the reporter enzyme to generate a quantifiable signal, for example, fluorescence or luminescence. The specific pure CYP isoenzymes are coated in various dilutions and blocked using the same procedure, and the optical density at a particular wavelength is measured, to prepare a calibration curve and the concentrations of the proteins/antigens in the unknown samples can be extrapolated using the standard curve.

The advantages of this method are its high sensitivity to provide high-throughput assays. Using a small amount of sample, it provides accurate protein quantification within a short turnaround time. Multiple samples can be analysed within a single plate, and comparative analysis of levels of induction by each sample in duplicates is achieved with better accuracy (less coefficient of variation (CV)). Being highly adaptable, this method has been applied to study drug - induced changes in expression levels of CYP isozymes, GSTs, and drug transporters (Nilsen et al., 1998; Kyokawa et al., 2001; Devi and Devaraj, 2006). However the cost involved in procuring CYP-specific antibodies is high. Also the CYPs induced by herbs are relative to the total content of the P450 enzyme system within the liver fraction or hepatocytes used in the assays; ELISA only quantifies the proteins from the assay.

Other methods used for protein quantification are as follows.

Mass spectrometry has been used for both absolute and relative quantitation of proteins and peptides, in enzyme induction research. Matrix-assisted laser desorption/ionization – Time of flight (MALDI – TOF) has been used to quantify P450 isozymes in various rat and rabbit liver microsomes (Galeva et al., 2003) and highly homologous P450s such as CYP2B1 and CYP2B2



were easily differentiated. In this ionization technique, a laser energy absorbing matrix is used to create ions from large molecules with minimal fragmentation (Hillenkamp et al., 1991), and involves three steps: 1. the test sample with a suitable matrix material is applied on to a metal plate, 2. a pulsed laser is passed through the sample and the matrix material causing their ablation and desorption, and 3. finally, the resultant molecules are ionized and accelerated into a mass spectrometer for further analysis (Karas and Kruger, 2003).

In the LC-MS analysis the analyte and internal standard peptides co-elute, with the exception of mass difference whereby the concentration of the corresponding peptide analyte can be quantified relative to the internal standard. To aid in the design and optimization of proteomic experiments, several software packages are available to predict MRM transitions and peptides for a given protein based on unique peptide sequences (Mallick et al., 2007; Kohl et al., 2008). Recently, a detailed comparison between immunoassays and a nano-LC-MS assay was conducted for quantitation of CYP2D6.1 and CYP2D6.2 allelic isozymes (Yu et al., 2009).

Western blots are performed with chemiluminescence detection (Kricka, 2003). A general procedure of Western blot starts with gel electrophoresis of a protein sample followed by transfer to a nitrocellulose membrane. The membrane is then probed for the antigen of interest with a primary antibody, and subsequently, a secondary detection antibody is applied to recognize the primary antibody, using a reporter enzyme such as horseradish peroxidase (HRP). Some of the other common techniques include reporter gene assays, and hepatocyte-cell based assays.

#### 1.8.4.4 Hepatocyte cell - based assays

Several *in vitro* hepatocyte models have been characterized for their ability to accurately assess new chemical entities for their induction liabilities. Primary human hepatocytes are the best characterized system to assess induction, and accepted by the regulatory agencies. Several reports have demonstrated the usefulness of such cells to assess the induction potential of these chemical entities (Hewitt, et al. 2007; LeCluyse et al., 2000). Cryopreserved human hepatocytes have been used for several induction studies including herbal medicines, to understand the effect on the cytochromes (Jackson et al., 2017; Cho et al., 2014; Klieber et al., 2010; Garcia et al., 2003).

Hepatocyte-like cell-based assays are also being used currently. The guidelines issued by the FDA (FDA Clinical Pharmacology Guidelines, 2012) allows for P450 induction data generated in immortalized cells. Fa2N-4 is a nontumorigenic immortalized cell line that has been evaluated extensively for its potential use as a reliable *in vitro* model system to assess CYP enzyme induction. The induction of various important genes such as CYP1A1/2, CYP3A4, CYP2C9, CYP2B6, UGT1A, and MDR1 as measured by mRNA expression levels (Nagarajappa et al., 2016) and CYP metabolism-activity has been demonstrated (Mills et al., 2004).

## **1.9 Phytochemical extraction, separation and analysis**

Phytochemicals are non-nutritive, biologically active compounds found in plants. Some of these phytochemicals have potential disease preventing properties and used as medicine. The common phytochemical classes include flavonoids, catechins, anthocyanidins, polyphenols, coumarins, isothiocyanates, alkaloids, terpenoids, glycosides and saponins. One or more active molecules of these classes are present in the plants, some of which have the potential to interfere with the activity of the CYP system.

### **1.9.1 Extraction**

The general techniques of plant extractions include maceration, infusion, percolation, digestion, decoction, hot continuous extraction (Soxhlet), aqueous-alcoholic extraction by fermentation, and ultrasound extraction (sonication). For aromatic plants, hydrodistillation techniques (water and steam distillation), hydrolytic maceration followed by distillation are employed (ICS-UNIDO, 2008).

In this study four solvents were used for extraction namely, water, methanol, ethanol and ethyl acetate. Hot water decoctions and exhaustive extractions were used for preparing various extracts (Pandey and Tripathi, 2014).

The basic parameters influencing the quality of an extract are:

1. Plant part used as starting material (dried roots, leaves, bark and inflorescence).
2. Choice of solvent used for extraction (water, ethanol, methanol, ethyl acetate, chloroform, hexane, DMSO).
3. Extraction procedure (maceration, hot water decoction, infusion and exhaustive extraction).

### 1.9.2 Qualitative analysis

The qualitative biochemical analysis is of critical importance in HDI studies whereby the intensity of the various classes of phytochemicals present in the herb can actually be linked to a prediction model based on known research data (illustrated in fig. 3), as to indicate if the herb has the potential groups of phytochemicals (such as flavonoids, phenols, glycosides, terpenoids etc.) capable of causing clinically relevant interactions with the CYPs. This would be the precursor step to analysing the activity of the herbal extracts further using *in vitro* assays and detailed phytochemical fingerprinting using HPLC/MS where various reference standards can be used for the particular class of phytochemicals present in each herb (for *e.g.* rutin and quercetin for flavonoids and gallic acid for phenols), predominantly (Raaman, 2006).

Qualitative analysis of the extracted plant sample is performed by various biochemical tests (Raaman, 2006; Harborne, 1973) such as:

1. Formation of white precipitate in the presence of Mayer's reagent or brown coloration on addition of Wagner's reagent, indicating the presence of alkaloids.
2. Formation of green coloration in the presence of ferric chloride solution, indicating the presence of phenols.
3. Keller-Kiliani test, where addition of glacial acetic acid followed by ferric chloride and sulphuric acid to form prominent brown ring coloration indicates the presence of glycosides.
4. Formation of reddish-brown precipitate on the addition of vanillin reagent, indicating the presence flavonoids.
5. Liebermann-Burchard test, where the addition of chloroform followed by acetic anhydride and concentrated sulphuric acid results in the formation of dark bluish precipitate, indicating the presence of phytosteroids in the sample.
6. Salkowski Test, where the addition of chloroform followed by concentrated sulphuric acid, results in the formation of reddish-brown layer in the sample, indicating the presence of terpenoids.

### 1.9.3 Separation and purification

Methods of separation and purification of compound include:

1. Paper chromatography
2. Thin-layer chromatography
3. Gas-liquid chromatography
4. HPLC (PDA/VWD), HPLC-MS

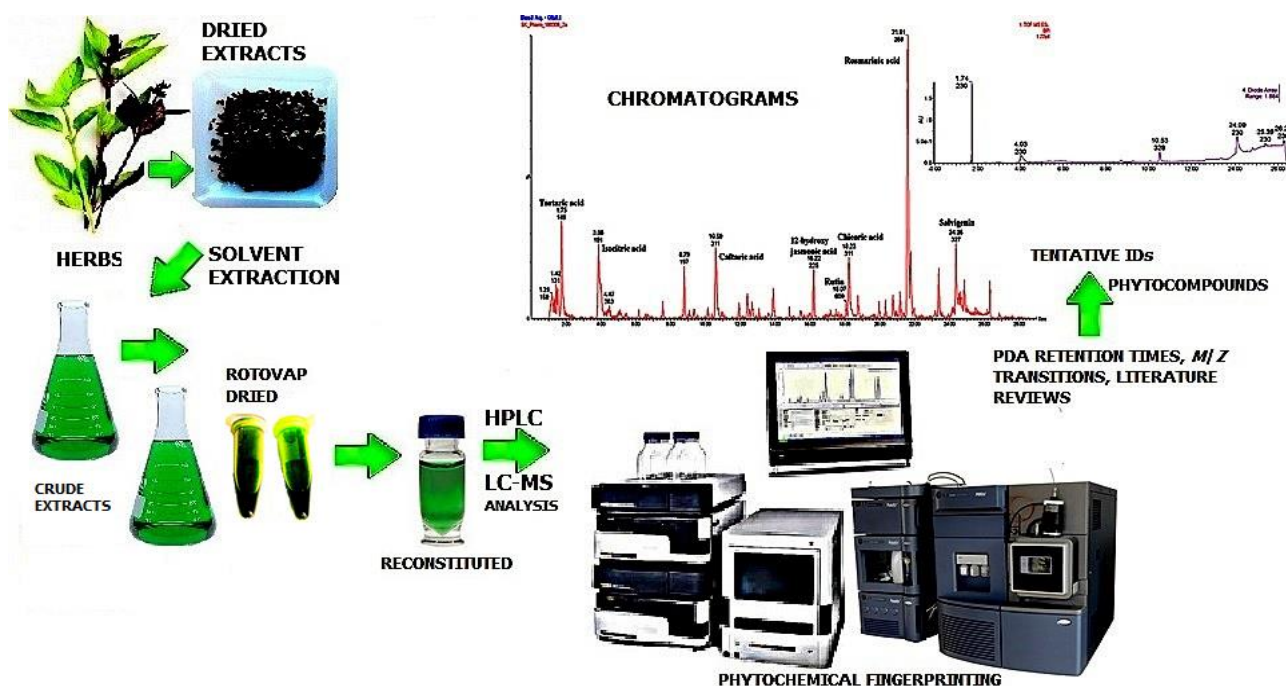


## 5. Gel permeation chromatography

### 1.9.3.1 LC-MS/PDA analysis

The standard procedure of analysis using both HPLC and LC-MS analysis includes identification of a compound by comparison of specific data obtained from HPLC and MS analyses with those of reference compounds (Yang et al., 2010). LC-MS methodology, merging the separation of phyto-components with quantitative analysis or qualitative identification, provides an effective means of analysing complex samples, being the most popular and advanced chromatographic technique in analysing plant samples and herbal mixtures.

Full mass scan analysis of the sample in both positive and negative ionisation modes, allows the extraction all possible masses which can then be interpreted and linked to known compounds, using high quality mass spectral databases and online repositories. A full scan mode will monitor a range of masses known as mass to charge ratio (abbreviated  $m/z$ ). A typical mass scan range can be set in the MS and will detect compound fragments within that range over a set time period. The various solvent extracts of each herb are analysed by LC-MS coupled with PDA as well as MS/MS fragmentation, and the resultant chromatograms are compared with the reference compounds for identification and relative quantification (Fig. 12).



**Fig. 12** Diagrammatic stepwise illustration of phytochemical analysis of various solvent extracts of herbs, using HPLC/LC-MS analysis - identifying the possible molecules using matching retention times,  $m/z$  transitions and molecular formula.

The tentative identification of possible compounds depends on various factors such as match of the PDA retention times at a particular wavelength,  $m/z$  transitions matching known compounds and the derived molecular formula for the positive or the negative scans, based on literature reviews as well as online mass spectral databases (Stander et al., 2017; Bolleddula et al., 2012). Tentatively identified compounds are compounds that can be detected by the analytical testing methods developed using LC-MS, but the exact identity and concentration cannot be confirmed without further analytical investigation, such as isolation and purification. These compounds are detected and classified using LC-MS but the analysis is not target-specific (Murphy et al., 2003).

Phytochemical fingerprinting is a major step in understanding the natural composition of any herb. Fingerprint approach using LC-MS chromatography is one of the most effective tools in assessing the quality of herbal medicines. In many industries, such techniques are mandatory for quality assurance of various synthesized chemicals.

#### 1.9.3.2 Limitations

Since the fragment information of MS is easily affected by ionization modes and HPLC conditions, it is necessary to establish universal database with the help of the reference substances development, for the HPLC-MS like the GC-MS. Another limitation of HPLC/MS is that the peak capacity of an HPLC column is limited. In LC-MS analysis, chemical identification and structural elucidation of a particular compound based on mass spectral database can be tedious since various compounds have the same  $m/z$  ratio. Also in LC-MS analysis, some of the extracts might have peaks within the same transitions of the reference standards, which could indicate the possibility of another compound (plant metabolite) matching the same transition such as an isomeric form or an isobar (Bjarnholt et al., 2014).

### 1.10 Motivation and rationale of this study

The South African healthcare system is under considerable pressure because of the high prevalence of tuberculosis and HIV/AIDS, along with non-communicable diseases such as cardiovascular diseases, diabetes, cancers, chronic respiratory diseases and mental illness (Wyk et al., 2013). These diseases affect the overall quality of life of an individual and have resulted in increased healthcare expenses. The challenges associated with such health conditions and the sociocultural outlook and family history of many patients especially in Africa have increased the use of THMs for many diseases (Hughes et al., 2015). Herbal medicines are easily available

in the form of supplement tablets, teas, extracts, and essential oils, in most of pharmacies, health stores, or local market.

Although often herbal medicines are presumed safe by consumers, considering they are natural, there are serious risks associated with their inappropriate use. Therefore, there is a need to develop standards to assure the safety of herbal medicines, especially in Africa (Kuete, 2017). One of the concerns is the possibility of HDIs when herbal medicines are co-administered with conventional drugs, as discussed above.

The objective of the present research study is to understand and critically evaluate how the following herbs: *Withania somnifera*, *Glycyrrhiza glabra*, *Astragalus membranaceus*, *Inula helenium*, *Althaea officinalis* and *Ocimum basilicum*, can interact with drug pharmacokinetics, especially with the CYP enzymes responsible for most of drug metabolism. These herbs have been used as medicines by THPs for centuries in Africa, for various medical conditions such as HIV and TB. No adequate research has been performed on the appropriate dosage regimens for these herbs and their potential to interact with the CYPs involved in drug metabolism. The THPs involved in this study, had shown their interest in understanding the possibilities of HDI, whereby they could also ensure safer use of herbs and make their customers aware of the potential interactions.

One of the greatest challenges faced by many countries in Africa, especially South Africa is the control of concomitant HIV and tuberculosis epidemics. Drug-resistant tuberculosis (DR-TB) is a major threat to the efforts to end TB in South Africa (Cox et al., 2017) and the mortality rate is high for this epidemic. The increasing DR-TB cases, HIV-TB co-infection and TB mortality constitutes a situation crisis in Africa which needs urgent attention. The two drugs used in this research, efavirenz and rifampicin are part of first line regimens for treatment of HIV and TB in South Africa. The pharmacokinetic profile of these drugs can be affected if the enzymes that metabolize them undergo inhibition or induction. This can ultimately affect the effective dose regimens of the drugs or even have toxic effects.

CYP2B6 is predominantly involved in the metabolism of efavirenz, whereas esterases are involved in the metabolism of rifampicin. However, not much research has been done on the effect of HDI on the metabolism pathway of rifampicin to 25-O-desacetyl rifampicin. This study focuses on this pathway, to understand the inhibition potential of the selected herbs,

which are typical and relevant examples of herbal medicines used by THPs for the treatment of TB and HIV. Introducing rifampicin as a substrate with HLMs, to assess its metabolism profile and analyse if these herbs have the potential to induce or inhibit the formation of 25-O-desacetyl rifampicin is critical, because if the pharmacokinetics of this metabolism pathway is affected, it may lead to incomplete metabolism of rifampicin, which may result in toxicity, including mortality (Sridhar et al., 2012; Cheng et al., 1988).

The research presented in this thesis can be divided into four parts: (1) biochemical qualitative phytoprofilng of the various groups of phytochemicals present in the extracts, (2) inhibition assays using HLM to assess if these herbs can inhibit CYP2B6 and the metabolism pathway of rifampicin to 25-O-desacetyl rifampicin, (3) induction assays using HepG2 cells to study the possibility of induction of mRNA expression for CYP2B6 and CYP3A4 by the herbs, and (4) LC-MS/PDA phytochemical analyses of the chosen herbal extracts to identify and relatively quantify the major phytoconstituents present in each extract and predict the compounds capable of causing clinically relevant HDI.

### 1.11 Potential benefits of this study

Metabolism studies are required to understand the interactions between herbal medicines and synthetic drugs, before conclusions are derived on the appropriate use of such herbal medicines, especially when consumed with conventional drugs. This research is beneficial in providing new information on the possibility of HDI of the traditional African herbal medicines *Withania somnifera*, *Glycyrrhiza glabra*, *Astragalus membranaceus*, *Inula helenium*, *Althaea officinalis* and *Ocimum basilicum*.

It forms a critical step in the evaluation of these herbal medicines and their constituents for inhibitory or inducing effect on drug metabolising enzymes, to develop appropriate, scientifically based product information of such supplements. The results of this research will be important for planning and designing future clinical pharmacokinetic interaction studies. Together, the new information gained by these research investigations will be important in formulation of health and safety policy recommendations for the use of herbal supplements, including the risk of HDI, and to provide advice to health care practitioners and patients on the risk of HDI when co-administering conventional medicines together with herbal medicines.

### 1.12 Hypothesis

The hypothesis of this study was that *Withania somnifera*, *Glycyrrhiza glabra*, *Astragalus membranaceus*, *Inula helenium*, *Althaea officinalis* and *Ocimum basilicum*, which are consumed as THM for many diseases including HIV and TB, will interact with the CYP2B6-mediated metabolism of conventional drugs such as efavirenz and/or with the B-esterases-mediated rifampicin metabolism pathway, in the human liver.

### 1.13 Research: Aim and objective

The primary aim of this research was to analyse selected African herb varieties used as traditional medicines for various diseases including HIV/AIDS and TB, namely *Withania somnifera*, *Glycyrrhiza glabra*, *Astragalus membranaceus*, *Inula helenium*, *Althaea officinalis* and *Ocimum basilicum*, and investigate their potential interactions on CYP2B6 mediated metabolism of efavirenz (to 8-Hydroxy efavirenz), esterase-mediated rifampicin (to 25-O-desacetyl rifampicin) metabolism pathway, and inducing effect on CYP2B6/CYP3A4 mRNA expression. This was achieved through *in vitro* assays using HLM and HepG2 cells. The active extracts were subjected to detailed phytochemical analysis using LC-MS/PDA, to link the potential phytoconstituents to the activity on CYPs. Statistical analysis of the IC<sub>50</sub> and TDI fold-shift, as well as fold-response of each extract on CYP2B6/CYP3A4 mRNA expression in HepG2 cells, was performed to compare the possible inhibition and induction effects of the investigated herbal extracts.

### 1.14 Ethical consideration

This study was approved by the University of Stellenbosch Health Research Ethics Committee (Reference number X15/04/002, Appendix 2).

## *Chapter 2*

# MATERIALS AND METHODS

### 2.1 Materials

#### 2.1.1 Chemicals and reagents

Pure 8-Hydroxy efavirenz (E8H), 25-O-desacetyl rifampicin (25ODESRIF) and neostigmine methyl sulphate (NEO) were obtained from Clearsynth Labs Ltd. (Mumbai, India) while efavirenz (EFV), rifampicin (RIF), ticlopidine (TICL) and nelfinavir mesylate hydrate (NELF) were obtained from Sigma-Aldrich (Steinheim, Germany).

Purified water (Adrona B30 purification systems, Adrona SIA, Latvia), HPLC-grade methanol (Sigma-Aldrich, Germany), ethanol (Merck KGaA, Darmstadt, Germany) and ethyl acetate (BDH Chemicals, England) were used for the extractions.

The following reagents were used to conduct the biochemical tests for detecting classes of phytochemicals:

- Vanillin reagent: 1% Vanillin in 70% concentrated sulphuric acid (BDH chemicals, England) – to test for flavonoids.
- Wagner's reagent: 2 g of iodine (BDH chemicals, England) and 6 g of potassium iodide (Merck, Germany) in 100 mL of water – to test for alkaloids.
- Dilute Ammonia Solution (Hopkin and Williams, England) – to test for alkaloids.
- 0.1% ferric chloride solution, neutral ferric chloride solution (Sigma-Aldrich, Germany) – to test for tannins and phenols.
- 10% sodium hydroxide solution (BDH chemicals, England) – to test for coumarins.
- Magnesium solution (Hopkin and Williams, England) – to test for tannins.
- Glacial acetic acid, concentrated sulphuric acid (BDH chemicals, England), ferric chloride (Sigma-Aldrich, Germany) – to test for glycosides.
- Chloroform, acetic anhydride (BDH chemicals, England) – to test for steroids.

For the HPLC/MS analysis, LC grade acetonitrile and methanol was purchased from Sigma-Aldrich (Steinheim, Germany) and Merck KGaA (Darmstadt, Germany), and purified filtered water was prepared using Millipore purification systems (Billerica, MA, USA). Leucine

enkephalin (lock mass reference standard) for LC-MS analysis was purchased from Sigma-Aldrich (Steinheim, Germany).

### 2.1.2 Human liver microsomes and HepG2 cell lines

The HLM screening was performed using pooled human liver microsomes (mixed gender - H0630) from Xenotech LLC (Kansas, USA). HepG2 (human hepatocellular carcinoma cells) cell line was procured from National Centre for Cell Sciences (NCCS), Cell Repository, Pune, India.

### 2.1.3 Reagents and apparatus for inhibition/ induction assays

For the inhibition assays, glucose-6-phosphate sodium salt, glucose-6-phosphate dehydrogenase, magnesium chloride, phosphate buffer solution 1 M, and  $\beta$ -nicotinamide adenine dinucleotide phosphate hydrate (NADPH) were purchased from Sigma-Aldrich (Steinheim, Germany).

For the induction assays, microtiter 96-well U-bottom plates from Tarsons Products Pvt. Ltd., Kolkata, India were used for the induction assays. 25 cm<sup>2</sup> cell culture flasks were purchased from Corning® Inc. (New York, US).

MTT (thiazolyl blue tetrazolium bromide), phosphate buffered saline pH 7.4 (PBS), nutrient mixture F-12 Ham, EDTA, Dulbecco's modified Eagle's medium – high glucose (DMEM), trypsin, and the antibiotics for cell culture were purchased from HiMedia Laboratories (Mumbai, India). Dimethyl sulfoxide (DMSO) was purchased from Finar Ltd. (Gujarat, India). Gibco™ Fetal bovine serum (FBS) was purchased from Thermofisher Scientific (MA, USA). Tri-Xtract™ for the isolation of RNA was purchased from G-Biosciences Ltd. (MO, US).

Esco class II biosafety cabinet (Esco Technologies, PA, USA) was used for cell culturing. CO<sub>2</sub> Incubator manufactured by Nuaire (MN, USA) was used for all incubations. AE-series inverted microscope (Motic Asia, Hong Kong) was used for tissue culture inspection. BioTek Epoch automated microplate reader with Gen5 2005 software v1.10.8 (BioTek Instruments, Inc. USA) was used for plate incubations and readings. Polymerase chain reactions were done using the MJ mini thermocycler (Bio Rad, Hercules, CA, USA).



### 2.1.4 Data analysis

For the statistical data plots, including the non-linear regression graph plots for determining the IC<sub>50</sub>, GraphPad Software Inc. (San Diego, CA; [www.graphpad.com](http://www.graphpad.com)) Prism version 5.00 for Windows, was used.

Digital imaging and relative sample expression levels for gel electrophoresis was done using inGenius - gel documentation system comprising of GeneTools analysis software (Syngene, MD, USA).

### 2.1.5 Herbs

The dried roots of *Withania somnifera*, *Glycyrrhiza glabra*, *Astragalus membranaceus*, *Inula helenium*, *Althaea officinalis* and leaves, seeds and inflorescence of *Ocimum basilicum* were obtained in packed form, from Pharma Germania, Benoni, Gauteng; the contents being pure, and certified (Table 2). A voucher specimen could not be submitted, because the dried herb parts were in semi-powdered form.

**Table [2]** Herbs, CoA# and Code names

Plant Species	Part	Certificate of Analysis (CoA #)	Code for Sample Preparation/Reference			
			Aqueous	Methanol	Ethanol	Ethyl acetate
<i>Withania somnifera</i> (Ws)	Dried roots	137202	WsA1	WsM2	WsE3	WsEtA4
<i>Glycyrrhiza glabra</i> (Gg)	Dried roots	4243500	GgA1	GgM2	GgE3	GgEtA4
<i>Astragalus membranaceus</i> (Am)	Dried roots	B311400/FP136095	AmA1	AmM2	AmE3	AmEtA4
<i>Inula helenium</i> (Ih)	Dried roots	462563	IhA1	IhM2	IhE3	IhEtA4
<i>Althaea officinalis</i> (Ao)	Dried roots	471255	AoA1	AoM2	AoE3	AoEtA4
<i>Ocimum basilicum</i> (Ob)	Dried leaves and inflorescence	PFI-2645	ObA1	ObM2	ObE3	ObEtA4

**Note:** The code name for each extract was derived using the initials of the scientific name, the type of solvent in serial order (1<sup>st</sup>: aqueous – A1, 2<sup>nd</sup>: methanol – M2, 3<sup>rd</sup>: ethanol – E3, 4<sup>th</sup>: ethyl acetate – EtA4).

## 2.2 Methods

The methods are divided into four phases of study:

1. Biochemical analysis of each extract using various chemical reagents that are reactive-specific for certain groups of phytochemicals (based on precipitate formation and reaction color intensity).



2. *In vitro* assays with HLM and the analysis of samples using HPLC.
3. Induction assays for mRNA expression of CYP2B6 and CYP3A4 in HepG2 cells.
4. Phytochemical fingerprinting of the active herbal extracts using LC-MS/PDA analysis, which includes tentative identification of major compounds based on the peak intensity, mass transitions and UV-maxima.

## PHASE – I: EXTRACTION AND BIOCHEMICAL PHYOPROFILING

### 2.2.1 Extraction of herbal supplements for bioassays

#### 2.2.1.1 Exhaustive extraction

Water decoction extract (A1): The dried roots of *W.somnifera*, *G.glabra*, *A.membranaceus*, *I.helenium*, *A.officinalis* and dried leaves of *O.basilicum* were powdered, weighed (4 g) and extracted exhaustively with water (Adrona B30, upto 500 mL for 9 days) using mechanical agitation. The extracts obtained were filtered and concentrated/ evaporated at 50 °C using a centrifugal vacuum concentrator (miVac, England) to complete dryness and marked as WsA1, GgA1, AmA1, IhA1, AoA1 and ObA1, and stored in a desiccator at 2-4 °C.

Methanol extract (M2): The dried roots of *W.somnifera*, *G.glabra*, *A.membranaceus*, *I.helenium*, *A.officinalis* and dried leaves of *O.basilicum* were powdered, weighed (4 g) and extracted exhaustively with methanol (HPLC grade, upto 500 mL for 9 days) using mechanical agitation. The extracts obtained were filtered and concentrated/ evaporated at 45 °C using a centrifugal vacuum concentrator (miVac, England) to complete dryness and marked as WsM2, GgM2, AmM2, IhM2, AoM2 and ObM2, and stored in a desiccator at 2-4 °C.

Ethanol extract (E3): The dried roots of *W.somnifera*, *G.glabra*, *A.membranaceus*, *I.helenium*, *A.officinalis* and dried leaves of *O.basilicum* were powdered, weighed (4 g) and extracted exhaustively with ethanol (HPLC grade, upto 500 mL for 9 days) using mechanical agitation. The extracts obtained were filtered and concentrated/ evaporated at 45 °C using a centrifugal vacuum concentrator (miVac, England) to complete dryness and marked as WsE3, GgE3, AmE3, IhE3, AoE3 and ObE3, and stored in a desiccator at 2-4 °C.

Ethyl acetate extract (EtA4): The dried roots of *W.somnifera*, *G.glabra*, *A.membranaceus*, *I.helenium*, *A.officinalis* and dried leaves of *O.basilicum* were powdered, weighed (4 g) and extracted exhaustively with ethyl acetate (HPLC grade, upto 500 mL for 9 days) using mechanical agitation. The extracts obtained were filtered and concentrated/ evaporated at 45 °C

using a centrifugal vacuum concentrator (miVac, England) to complete dryness and marked as WsEtA4, GgEtA4, AmEtA4, IhEtA4, AoEtA4 and ObEtA4, and stored in a desiccator at 2-4 °C.

Percentage of yield was calculated as per equation (2.2.1):

$$\text{Extract \% yield} = (W_1/W_2) \times 100 \quad \text{Eq. (2.2.1)}$$

Where,  $W_1$  is net weight of residue extract in grams after extraction and  $W_2$  is total weight of dried herb in grams taken for extraction.

### 2.2.2 Biochemical phyto-profiling

A series of standard biochemical tests were performed to assess and evaluate the phytochemistry of the herbs, and rank them based on the intensity of the compounds present in each extract, as outlined below (Raaman, 2006; Harborne, 1973). The analysis was mainly done to assess the presence of nine specific classes of phytochemicals, viz., alkaloids, saponins, phenols, tannins, glycosides, terpenoids, flavonoids, steroids and coumarins. These compounds had previously been reported of causing HDI for many popular herbs. The objective of this analysis was to evaluate the content of each extract based on the precipitate formation, color and intensity, for each extract depending on the biochemical reactions (as below) for identifying the specific class of phytochemical and rank each herb for its potential to interfere with CYP activity.

For all the biochemical analyses, the test solution was prepared for each extract by dissolving it in its respective solvent, at a final concentration of 1 mg/mL.

#### 2.2.2.1 Test for alkaloids

##### a. Wagner's test

To 150 µL of test solution, equal amount of Wagner's reagent was added. Formation of reddish-brown coloration indicated the presence of alkaloids.

##### b. Harborne test

120 µL of dilute ammonia solution was added to 150 µL of test solution of each plant extract followed by addition of concentrated sulphuric acid (Harborne, 1973). Formation of yellow coloration indicated the presence of alkaloids.

#### 2.2.2.2 Test for saponins

About 150  $\mu\text{L}$  of the test solution was mixed with 120  $\mu\text{L}$  of distilled water and shaken vigorously for a stable persistent froth, which indicated the presence of saponins.

#### 2.2.2.3 Test for glycosides (Keller-Kiliani test)

To 150  $\mu\text{L}$  of the test solution, 100  $\mu\text{L}$  of glacial acetic acid was added. To the resultant, a pinch of ferric chloride was added. Finally, 50  $\mu\text{L}$  of sulphuric acid was added to the resultant solution. Formation of a prominent brown ring indicated the presence of glycosides.

#### 2.2.2.4 Test for tannins

To 150  $\mu\text{L}$  of test solution, 100  $\mu\text{L}$  of 0.1% ferric chloride was added and observed for formation of blue-black precipitate, which indicated the presence of tannins.

#### 2.2.2.5 Test for flavonoids

To 150  $\mu\text{L}$  of the test solution, equal amount of Vanillin reagent was added. Formation of reddish-brown colour precipitate indicated the presence of flavonoids.

#### 2.2.2.6 Test for phenols

To 150  $\mu\text{L}$  of the test solution, 100  $\mu\text{L}$  of neutral ferric chloride solution was added. Formation of greenish colour indicated the presence of phenols.

#### 2.2.2.7 Test for steroids (Leibermann-Burchard test)

To 150  $\mu\text{L}$  of the test solution, 100  $\mu\text{L}$  of chloroform was added. Then 3-4 drops of acetic anhydride and 3 drops of concentrated sulphuric acid were added. Dark bluish precipitate was formed if phytosteroids were present.

#### 2.2.2.8 Test for terpenoids (Salkowski test)

200  $\mu\text{L}$  of the plant extract solution was mixed with 75  $\mu\text{L}$  of chloroform, and 125  $\mu\text{L}$  of concentrated sulphuric acid was carefully added from the sides of the test-tube. The gradual formation of a reddish-brown layer indicated the presence of terpenoids in the plant extract.

#### 2.2.2.9 Test for coumarins

To 150  $\mu\text{L}$  plant sample extract solution, equal quantity of 10% sodium hydroxide solution was added and heated at 100  $^{\circ}\text{C}$  for 5 min. Formation of yellow color indicated the presence of coumarins in the plant extract.

### PHASE – II: CYP INHIBITION STUDY

#### 2.2.3 HLM assay validation

The HLM assay was validated, by incubating EFV and RIF as substrates and NEO as internal standard. Briefly, a standard 200  $\mu\text{L}$  incubation mixture containing liver microsomes (ranging from 0.25 – 1.00 mg/mL protein concentration), EFV/ RIF (100  $\mu\text{M}$ ) in 0.2 M phosphate buffer pH 7.4 at 37  $^{\circ}\text{C}$  was incubated in duplicate. The reactions were initiated with NADPH (final concentration 1.3 mM) along with the co-factors  $\text{MgCl}_2$  (final concentration 3.3 mM), glucose-6-phosphate (final concentration 1.3 mM) and glucose-6-phosphate dehydrogenase (1 U/mL), and then terminated with 200  $\mu\text{L}$  of chilled acetonitrile spiked with the internal standard NEO (20  $\mu\text{M}$ ). The samples were centrifuged using 0.22  $\mu\text{m}$  polypropylene centrifuge tube filters (Spine-X<sup>®</sup> Costar, USA) at 13000 rpm for 5 min and 15  $\mu\text{L}$  of the supernatants were subjected to HPLC analysis.

1. **HPLC–EFV method:** The HPLC gradient method was slightly modified from a previous study on CYP1A interaction (Varghese et al., 2014). The HLM-EFV assay incubates for determination of CYP2B6 activity, were run on a C-18 Phenomenex-Evo column (150 x 2.6 mm, 3.5  $\mu\text{m}$ ) by gradient elution using mobile phase comprising of water (A): acetonitrile (B) at a flow rate of 0.7  $\text{mL min}^{-1}$  for clear separation EFV, its major metabolite E8H and the internal standard. The detection wavelength was 245 nm.

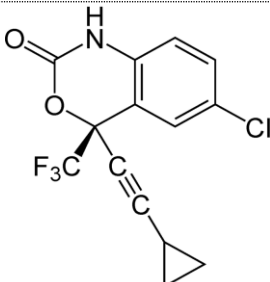
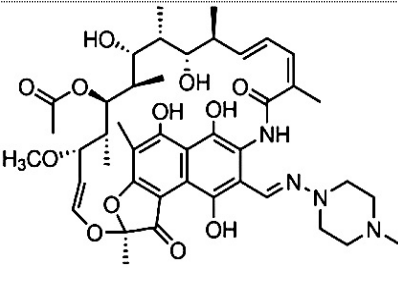
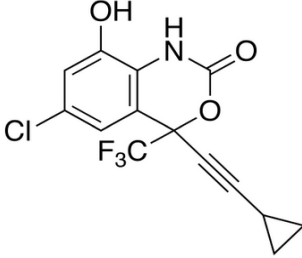
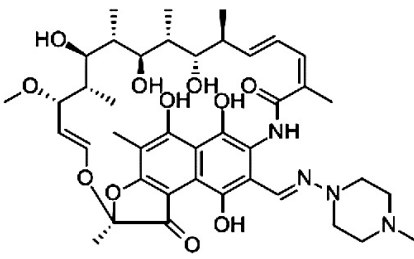
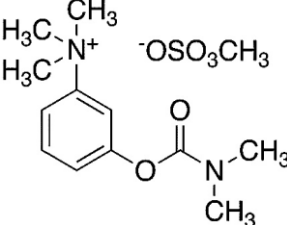
2. **HPLC–RIF method:** For determination of CYP3A4 activity, the RIF incubates were run on a C-18 Phenomenex Luna column (150 x 4.6 mm, 5  $\mu\text{m}$ ) by gradient elution using mobile phase comprising of water (A): methanol (B) at a flow rate of 0.8  $\text{mL min}^{-1}$  for separating rifampicin, its metabolite 25ODESRIF and the internal standard NEO. The detection wavelength was 254 nm. The gradient solvents program was set as ( $TT\text{min}/\%$  solution B) and 0/10, 5/80, 10/95, 9/80, and 11.5/10 for both methods.

Both the HPLC methods for EFV and RIF were validated for consistency, linearity and precision. A linear response was obtained in the concentration range 0 – 200  $\mu\text{M}$  for both EFV

and RIF, and their metabolites ( $R^2 = 0.9930$  and  $0.9950$  respectively). This concentration range was selected based on the literature search of the maximum concentration used in *in vitro* incubation assays for both drugs (100-150  $\mu\text{M}$ ). LLOD and LLOQ were determined for EFV (7.57  $\mu\text{M}$ , 22.95  $\mu\text{M}$ ), E8H (7.99  $\mu\text{M}$ , 24.24  $\mu\text{M}$ ), RIF (5.86  $\mu\text{M}$ , 17.75  $\mu\text{M}$ ) and 25ODESRIF (7.78  $\mu\text{M}$ , 23.57  $\mu\text{M}$ ), based on the International Council for Harmonisation (ICH) guidelines.

Based on the rate of metabolite formation the optimum protein concentration was determined (0.5 mg/mL for EFV and 0.25 mg/mL for RIF), and the same assays were repeated for various incubation time slots – 15, 30, 45 and 60 min with fixed protein concentration, in duplicate. Based on the rate of metabolite formation, the optimum time of incubation was selected. The optimum protein concentration and time of incubation for both EFV and RIF assays, were used further to determine the kinetics of both drugs (Table 3).

**Table [3]** HLM assay constituents and HPLC parameters/ conditions for sample analysis.

Substrate	EFV (CYP2B6)	RIF (esterases)
Concentration ( $K_m$ )	15 $\mu\text{M}$	48 $\mu\text{M}$
Structure		
Metabolite	E8H	25ODESRIF
Structure		
Internal Standard	NEO	
Concentration	20 $\mu\text{M}$	
Structure		
Enzyme concentration	0.5 mg/mL	0.25 mg/mL
NADPH concentration	1.3 mM	1.3 mM
Phosphate buffer	0.2 M	0.2 M

HPLC Column	C-18 Phenomenex-Evo column (150 x 2.6 mm, 3.5 $\mu$ m)	C-18 Phenomenex Luna column (150 x 4.6 mm, 5 $\mu$ m)
Flow rate	0.7 mL min <sup>-1</sup>	0.8 mL min <sup>-1</sup>
Run Time	10.5 min	11.5 min
Injection	15 $\mu$ L	15 $\mu$ L
Mobile Phase ( <i>Gradient</i> )	Water: Acetonitrile 90:10 (0 min) $\rightarrow$ 20:80 (5 min) $\rightarrow$ 5:95 (10 min) $\rightarrow$ 90:10 (11.5 - 12.5 min)	Water: Methanol 90:10 (0 min) $\rightarrow$ 20:80 (5 min) $\rightarrow$ 5:95 (10 min) $\rightarrow$ 90:10 (11.5 - 12.5 min)
Column Temperature	20 °C	27 °C
Wavelength	245 nm	254 nm

**3. Rate of metabolite formation:** The rate of metabolite formed per sample analysed in the HPLC was based on the ratio of area under the curve for E8H and 25ODESRIF to that of the internal standard NEO, in each chromatogram. The standard calibration curve was derived for pure E8H and 25ODESRIF in concentrations ranging from 0-150  $\mu$ M (spiked with 20  $\mu$ M NEO) based on the ratio of the peak areas for E8H and 25ODESRIF to that of the internal standard NEO plotted against the concentration of E8H and 25ODESRIF. This was further used to calculate the reaction rate (pmol/mg protein/min) and the kinetics constants ( $K_m$  and  $V_{max}$ ).

#### 2.2.4 Determination of kinetics of EFV and RIF

For determining the kinetics of EFV, various concentrations in the range of 0-125  $\mu$ M were incubated with HLM for 30 min using the same procedure as in section 2.2.5, and the reactions were terminated using 200  $\mu$ L of chilled acetonitrile spiked with the internal standard, centrifuged and the top layer of the supernatant was subjected to HPLC analysis. The optimal incubation time (30 min) and the concentration of HLM (0.5 mg/mL protein) for the kinetics study was selected based on the rate of metabolite formation from the time/protein-variant incubations.

For determining the kinetics of RIF, various concentrations in the range of 0-150  $\mu$ M were incubated with HLM, buffer and the co-factors for 30 min, and the reactions were terminated using 200  $\mu$ L of chilled acetonitrile spiked with NEO, centrifuged and the top layer of the supernatant was subjected to HPLC analysis. The optimal incubation time was maintained at 30 min and the concentration of HLM at 0.25 mg/mL protein.

Apparent kinetic constants ( $K_m$  and  $V_{max}$ ) were estimated by fitting formation rates of metabolite versus substrate concentrations to appropriate kinetic equations by nonlinear regression analysis

using GraphPad Software. The *in vitro* intrinsic clearance,  $CL_{int}$  was calculated as below (eq. 2.2.2) (Ogburn et al., 2010):

$$CL_{int} = V_{max}/K_m \quad Eq. (2.2.2)$$

## 2.2.5 Inhibition assays – HLM and plant extracts

### 2.2.5.1 Two-point screening

The inhibitory potential of each extract was assessed using a two-point screening with HLM, maintaining the final concentrations of each extract at 20 and 200  $\mu\text{g/mL}$ . The activity potential of each extract was compared with the control incubation of HLM. Based on the  $K_m$  values determined, 15  $\mu\text{M}$  EFV and 48  $\mu\text{M}$  RIF were used as substrates for CYP2B6 and the formation of 25ODESRIF (B-esterases), respectively, with 20  $\mu\text{M}$  NEO as the internal standard (Ward et al., 2003). This basic screening was done to analyse if the extracts showed any inhibitory activity. Briefly, a standard 200  $\mu\text{L}$  incubation mixture containing liver microsomes and substrate (0.5 mg/mL protein concentration for 15  $\mu\text{M}$  efavirenz and 0.25 mg/mL protein concentration for 48  $\mu\text{M}$  rifampicin) in 0.2 M phosphate buffer (pH 7.4) and the plant extract (final concentration 20, 200  $\mu\text{g/mL}$ , dissolved in < 1% solvent) at 37 °C was incubated for 30 min, in duplicates. The reactions were initiated with the mix comprising of NADPH (final concentration 1.3 mM) along with the co-factors magnesium chloride (final concentration 3.3 mM), glucose-6-phosphate (final concentration 1.3 mM) and glucose-6-phosphate dehydrogenase (1 U/mL – dissolved in 5 mM sodium citrate buffer), and terminated with the addition of 200  $\mu\text{L}$  of chilled acetonitrile spiked with NEO. The samples were centrifuged using 0.22  $\mu\text{m}$  polypropylene centrifuge tube filters at 13,000 rpm for 10 min and the supernatants were subjected to HPLC analysis, using same methodology as described in section 2.2.5. TICL (10 and 75  $\mu\text{M}$ ) was used as the standard inhibitor control for CYP2B6 (Flockhart, 2007). NELF (10 and 75  $\mu\text{M}$ ) was used to in the rifampicin metabolism assays to evaluate if any inhibition could be observed on its metabolism pathway (Polsky-Fisher et al., 2006).

Enzyme activity in the control incubate (no inhibitor), as well as solvent vehicle controls were also measured, in duplicate assays.

The amount of metabolite formed relative to the control was expressed in percentage remaining activity and calculated as in equation (2.2.3):

$$\% \text{ residual activity} = (\text{Test} - \text{test control}) / (\text{Control} - \text{control blank}) \times 100\% \quad Eq. (2.2.3)$$

### 2.2.5.2 Assays for determination of IC<sub>50</sub>

For the IC<sub>50</sub> screening of the active extracts, assays were done using the same methodology as in section 2.2.7.1, with concentration range from 1-200 µg/mL of the extract (six-point screening), in triplicates. The assays were repeated to verify inter-day variability and consistency. The reference inhibitors TICL and NELF were screened in the concentration range 1-100 µM, to determine their IC<sub>50</sub>s. The percentile of residual activity was calculated relative to the test control (no inhibitor), and plotted against the log-transformed concentrations of the herbal extract or the positive control. Non-linear regression analysis (dose-response inhibition) was done to obtain sigmoidal plots of enzyme kinetic data for IC<sub>50</sub>, using GraphPad Software. The IC<sub>50</sub> graphs demonstrate the IC<sub>50</sub> value (µM) calculated using non-linear regression (dose-response inhibition equations) with the actual IC<sub>50</sub> plot curve-fit based on the concentration of the substrate (0-200 µM and not the log(X) transformation) (Thomford et al., 2016; Awortwe et al., 2014).

### 2.2.5.3 Time-dependent inhibition of CYP – Determination of TDI IC<sub>50</sub> fold-shift

For the TDI IC<sub>50</sub> fold-shift assays, the procedure was similar to the IC<sub>50</sub> screening as in section 2.2.7.2. The plant extracts were pre-incubated with HLM and the co-factors for 30 min prior to addition of the substrate.

All active extracts with concentration range from 1-200 µg/mL (six-point screening) were added to the enzyme/phosphate buffer reaction mixture consisting of phosphate buffer (0.2 M), final protein concentration of 0.5 mg/mL for EFV and 0.25 mg/mL for RIF and 90 µL of the same was mixed with 100 µL of reaction mix comprising of glucose 6-phosphate dehydrogenase (1 U/mL – dissolved in 5 mM sodium citrate buffer), and cofactors (1.3 mM NADPH, 3.3 mM MgCl<sub>2</sub>, 1.3 mM glucose 6-phosphate) in test-tubes. The concentration of HLM was similar to that used in the IC<sub>50</sub> assay. The tubes were pre-incubated at 37 °C for 30 min followed by addition of 10 µL of substrate reaction mixture of 15 µM EFV (CYP2B6) and 48 µM RIF, in phosphate buffer (0.2 M) to each tube and incubated for additional 30 min, along with the test control tubes (no inhibitor). The reaction was terminated using chilled ice-cold acetonitrile spiked with 20 µM NEO and the samples were centrifuged using 0.22 µm polypropylene centrifuge tube filters at 13000 rpm for 5 min, and the supernatants were subjected to HPLC analysis. The percentile of residual activity was calculated relative to the test control (no inhibitor), and plotted against the log-transformed concentrations of the herbal extract or the positive control. Non-linear regression analysis was done to obtain sigmoidal plots of enzyme kinetic data for the fold shift in IC<sub>50</sub> for each herbal extract. The TDI fold-shift was determined



for each herbal extract based on the ratio of the  $IC_{50}$ s of the co-incubation assay  $IC_{50}(-)$  to that of the pre-incubation assay  $IC_{50}(+)$ , with NADPH (equation. 2.2.4).

$$TDI_{\text{fold-shift}} = \text{co-incubation assay } IC_{50}(-) / \text{pre-incubation assay } IC_{50}(+) \quad Eq. (2.2.4)$$

Extracts with fold shifts  $\geq 1.5$  were classified as positive for TDI (Nomeir et al., 2004; Nebert and Russell, 2002).

### PHASE – III: CYP INDUCTION STUDY

#### 2.2.6 Induction assays – mRNA expression in hepatocytes

##### 2.2.6.1 Cytotoxicity testing

*In vitro* cytotoxicity of the plant extracts were tested against HepG2 (human liver carcinoma) cell line. The plant extracts were taken at concentrations ranging from 1000.00-31.25  $\mu\text{g/mL}$  to determine their percentage growth inhibition and toxic concentrations on HepG2 cell line (Nagarajappa et al., 2016; Denizot and Lang, 1986).

##### 2.2.6.1.1 Preparation of herb extract test solution

For cytotoxicity studies, 100  $\mu\text{L}$  of each plant extract was separately suspended and volume was made up with DMEM supplemented with 2% inactivated FBS to obtain a stock solution of 10%  $w/v$  concentration, and filtered using 0.22  $\mu\text{m}$  syringe filter. Serial two fold dilutions were prepared from this for carrying out the cytotoxicity studies.

##### 2.2.6.1.2 Cell line and culture medium

HepG2 cells were cultured in DMEM supplemented with 10% inactivated FBS, penicillin (100 I U/mL), streptomycin (100  $\mu\text{g/mL}$ ) and amphotericin B (5  $\mu\text{g/mL}$ ) in a humidified atmosphere of 5%  $\text{CO}_2$  at 37  $^{\circ}\text{C}$  until confluent. The cells were dissociated with trypsin phosphate versene glucose solution (0.2% trypsin, 0.02% EDTA, 0.05% glucose in PBS). The stock cultures were grown in 25  $\text{cm}^2$  culture flasks and all experiments were carried out in 96-well microtiter plates (Freimoser et al., 1999).

##### 2.2.6.1.3 Cytotoxicity testing and determination of $CTC_{50}$

Cytotoxicity of the plant extracts was assessed based on the method described in a previous study on *Coleus forskohlii* (Nagarajappa et al., 2016). The HepG2 monolayer cell culture was trypsinized and the cell count was adjusted to 100,000 cells/mL using DMEM containing 10% FBS. To each well of the 96-well microtitre plate, 0.1 mL of the diluted cell suspension was

added. After 24 h, when a partial monolayer was formed, the supernatant was flicked off, the monolayer washed once with the medium and 100 µL of different test concentrations of the herbal extracts were added on to the partial monolayer in the microplates. The plates were then incubated at 37 °C for 72 h in 5% CO<sub>2</sub> atmosphere, and microscopic examination was carried out; observations were noted every 24 h. After 72 h, the extract solutions in the wells were discarded and 50 µL of tetrazolium dye (MTT) in PBS was added to each well. The plates were gently shaken and incubated for 3 h at 37 °C in 5% CO<sub>2</sub> atmosphere. The supernatant was removed and 100 µL of iso-propanol was added and the plates were gently shaken to solubilize the formazan crystals formed. Finally, the absorbance was measured using a microplate reader at a wavelength of 540 nm. The percentage growth inhibition was calculated using the standard formula:

$$\% \text{growth inhibition} = (\text{Control absorbance} - \text{test absorbance}) / \text{Control absorbance} \times 100 \text{ Eq. (2.2.4)}$$

From the dose-response curves for each extract against the cell lines, the concentration of the test extract needed to inhibit cell growth by 50% (CTC<sub>50</sub>) was generated (Nagarajappa et al., 2016).

## 2.2.6.2 Plant sample analysis - extraction and purification of total mRNA

### 2.2.6.2.1 Extraction and purification of total mRNA

The mRNA expression levels of CYP3A4 and CYP2B6 were carried out using semi-quantitative RT-PCR. Briefly, the HepG2 cells were cultured in 60 mm petridishes and maintained in DMEM medium for 48 h. The DMEM medium was supplemented with FBS and amphotericin. Each plant extract (CTC<sub>50</sub> concentration) was added to a petridish comprising of the cells and incubated for 24 h. Total cellular RNA was isolated from the untreated (control) and treated cells using Tri-Xtract™ according to the protocol described by the manufacturer (G-Biosciences Ltd). The mRNA pellet was dissolved in nuclease-free water. Purity of RNA was estimated using the 260/280 absorbance ratio, and samples were frozen at -80 °C for further analysis.

### 2.2.6.2.2 RT-PCR Procedure

cDNA was synthesized from the total isolated RNA from the treated HepG2 cells, by reverse transcriptase kit according to the manufacturer's instructions (Thermo Scientific). The reaction mixture consisted of 1x cDNA synthesis buffer, dithiothreitol (0.5 M), RiboLock RNase inhibitor (20 U), deoxynucleotide mix (1.6 mM), oligo dT (100 ng), reverse transcriptase (25

U), and total RNA. Primers for CYP3A4 and CYP2B6 were selected as per a method developed previously for studying the modulation of CYPs (Park et al., 2009). 50 µL of the reaction mixture was subjected to PCR for amplification of hepatic cells. cDNAs using specifically designed primers (procured from Eurofins, India) were used and as an internal control, the house keeping gene glyceraldehyde 3-phosphate dehydrogenase (GAPDH) was co-amplified with each reaction. Dexamethasone (10 µM) and rifampicin (50 µM) were used as positive controls for CYP2B6 and CYP3A4, respectively (Nagarajappa et al., 2016).

#### 2.2.6.2.3 Amplification conditions for pro-apoptotic gene

**CYP3A4:** Polymerase chain reaction conditions were set for initial denaturation at 95 °C for 5 min followed by 35 cycles of denaturation at 95 °C for 30 seconds, annealing of primers at 62 °C for 30 seconds and extension at 72 °C for 45 seconds. This was followed by final extension at 72 °C for 10 min.

For the first strand synthesis, oligo dT primer was used and for second strand synthesis, 5' ATTCAGCAAGAAGAACAAGGACA 3' and 5' TGGTGTTCCTCAGGCACAGAT 3' were used as the forward and reverse primers, respectively (Product size: 314 bp).

**CYP2B6:** Polymerase chain reaction conditions were set for initial denaturation at 94 °C for 5 min followed by 35 cycles of denaturation at 94 °C for 15 seconds, annealing of primers at 62 °C for 30 seconds and extension at 72 °C for 45 seconds. This was followed by final extension at 72 °C for 10 min.

For the first strand synthesis, oligo dT primer was used and for second strand synthesis, 5' ATGGGGCACTGAAAAAGACTGA 3' and 5' AGAGGCGGGGACACTGAATGAC 3' were used as the forward and reverse primers, respectively (Product size: 283 bp).

#### 2.2.6.2.4 Analysis of amplified sequences

The amplified samples were analysed through 1.8% agarose gel electrophoresis. The gel was scanned with UV illumination using digital imaging, and relative sample expression levels were calculated using Syngene inGenius documentation system and GeneTools analysis software.

#### 2.2.6.2.5 Statistical analysis

Experimental data were expressed as the mean  $\pm$  standard deviation or standard error of mean. The results were subjected to one-way analysis of variance, followed by Dunnett's multiple comparison tests, by fixing the significance level at  $p < 0.05$ ,  $p < 0.01$  and  $p < 0.001$ .

(Nagarajappa et al., 2016). The statistical analyses were performed using GraphPad Prism Version 5.0.

## **PHASE – IV: PHYTOCHEMICAL FINGERPRINTING**

### **2.2.7 Preparation of extracts**

About 8-10 mg of each extract was weighed in an Eppendorf tube and 1 mL of 50% methanol in water containing 2% formic acid was added, followed by dissolution in an ultrasonic bath (0.5 Hz, Integral Systems, RSA) for 20 min at room temperature. The extracts were centrifuged (Hermle Z160m, 3000 g for 5 min) and transferred to vials.

### **2.2.8 Preparation of standards**

The stock solutions of the reference standards quercetin and gallic acid were prepared in 1000 mg/L concentrations in 50 mL volumetric flasks using 50% methanol in water containing 2% formic acid; 4 mL of DMSO was used to dissolve quercetin completely before the solution was made up to 50 mL in the volumetric flask. Using both stock solutions a cocktail was prepared in the concentration of 200 mg/L of each standard, to enable isomers and compounds with similar elemental formulas to be differentiated.

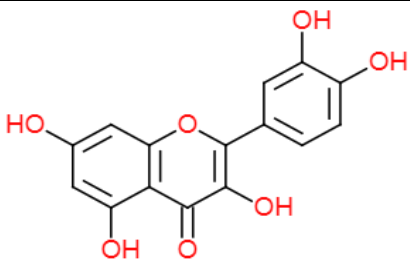
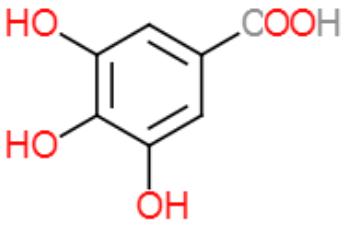
### **2.2.9 LC-MS analysis conditions: Phytochemical fingerprinting**

Phytochemical fingerprinting of the extracts was done using high-resolution UPLC-MS analysis. A Waters Synapt G2 Quadrupole time-of-flight (QTOF) mass spectrometer (MS) connected to a Waters Acquity ultra-performance liquid chromatograph (UPLC) (Waters, Milford, MA, USA) was used for the same. Electrospray ionization was used in both negative and positive modes with a cone voltage of 15 V, desolvation temperature of 275 °C, desolvation gas at 650 L/h. The MS settings were optimized for best resolution and sensitivity (Stander et al., 2017). Data were acquired by scanning all extracts, from 150 to 1500  $m/z$  in resolution mode as well as in  $MS^E$  mode. In  $MS^E$  mode two channels of MS data were acquired, one at a low collision energy (4 V) and the second using collision energy ramp (40–100 V) to obtain fragmentation data as well. The UPLC-MS was calibrated with sodium formate and leucine enkephalin was used as reference mass (lock mass) for accuracy in the mass determination. A Waters HSS T3, 2.1 × 100 mm, 1.7  $\mu$ m column was used for the separation. 2  $\mu$ L of each extract was injected, and a mobile phase comprising of 0.1% formic acid (solvent A) and acetonitrile containing 0.1% formic acid as solvent B, was used. A gradient run was used with a flow rate of 0.3 mL min<sup>-1</sup>, starting with 100% solvent A for 1 min, which was changed to 28%

B over 22 min in a linear way. The gradient solvent composition was then changed to 40% B over 50 seconds followed by a wash step with 100% solvent B for 1.5 min, and finally re-equilibration (to initial conditions) for 4 min. The column temperature was maintained at 55 °C. The PDA wavelength range was set between 230 and 600 nm.

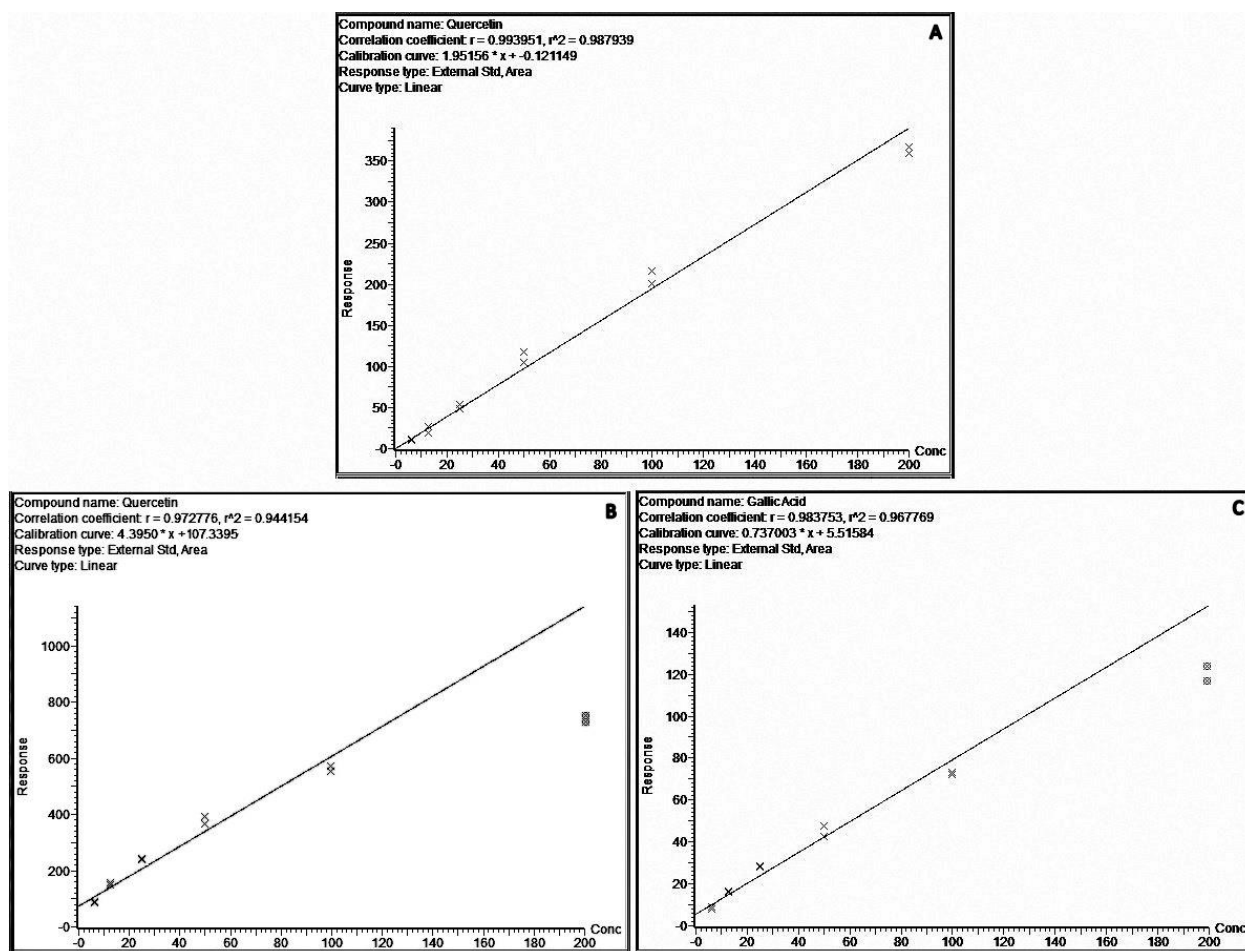
The reference standards quercetin and gallic acid along with the plant extracts were analysed as per the specific LC-MS/ PDA parameters/ conditions as outlined below in Table 4.

**Table [4]** LC-MS/PDA parameters of the phyto-profiling method.

Column	Waters HSS T3, 2.1 × 100 mm, 1.7 µm	
Phytocompound	Flavonoids	Phenols
Reference Standard	Quercetin (Ramos et al., 2017)	Gallic Acid (Vallverdú-Queralt et al., 2015)
Structure		
Flow rate	0.3 mL min <sup>-1</sup>	
Run Time	29 min for negative mode run, 15 min for positive mode run.	
Injection	2 µL	
Mobile Phase	0.1% Formic Acid in Water : 0.1% Formic Acid in Acetonitrile <i>Gradient run</i>	
Column Temperature	55 °C	
PDA Wavelength	230 – 600 nm	

The methods were tested for accuracy and linearity. A linear response was obtained for quercetin for the positive mode runs, in the range of 200.000-6.250 mg/L ( $R^2 = 0.9900$ ) (Fig. 13). This concentration range was selected to identify and compare the peak-retention factors and  $m/z$  of the herbal extract with the reference standard, along with reasonable approximations of the relative amounts of the identified peaks from the herbal extracts using the standard calibration curve of quercetin. For all the negative scans, both quercetin and gallic acid were used as reference standards to relatively quantify the peaks for major compounds with each extract. The calibration curve showed a slight bend (non-linearity) at higher concentrations (200 mg/L) of both standards in the negative mode. A quadratic linear curve fitting model was used for quercetin ( $R^2 = 0.9879$ ) for relative quantification of the unknown phytochemicals, based on the area peak area (response) for the concentration range used (200.000-6.250 mg/L).

whereas a linear fit model was used for gallic acid ( $R^2 = 0.9680$ ) since the peak area (response) was less for low concentrations.



**Fig. 13**—Concentration range linearity for quercetin in positive mode scan, **B** & **C** —regression line-fit concentration range linearity for gallic acid & quercetin in negative mode scans, respectively (LC-MS).

Tentative identification of the possible phytochemicals was derived based on:

- Accurate masses
- $m/z$  transitions (MS/MS fragments)
- UV maxima
- Relative retention times and comparison with literature review on matching compounds
- Online mass spectral repositories:
  - ✓ Metlin Scripps (<https://metlin.scripps.edu/>)
  - ✓ NIST Standard Reference Data online Webbook library  
(<http://webbook.nist.gov/chemistry/mw-ser.html>)
  - ✓ MassBank online Spectral Database (<https://massbank.eu/MassBank/>)
  - ✓ Pubchem chemistry database (<https://pubchem.ncbi.nlm.nih.gov>).

## Chapter 3

# RESULTS

### 3.1 Solvent extraction yield of herbs

The calculated yields (per 4 g of dried herb) of the solvent extracts of the investigated herbs are shown in table 5. Highest yields were observed in the aqueous solvent extractions. *Astragalus membranaceus* had relatively high yields per solvent extracts, and had highest yield among all ethanol extracts. *Inula helenium* had the highest yield among the aqueous extracts, while *Glycyrrhiza glabra* had the highest yield among all the methanol extracts, with 27.36%. *Althaea officinalis* had the highest yield among all ethyl acetate extracts with 14.37%.

**Table [5]** Extraction yield of herbs.

Herb	Yield ( $\pm$ mg, % w/w)			
	Aqueous Extract (A1)	Methanol Extract (M2)	Ethanol Extract (E3)	Ethyl acetate Extract (EtA4)
<i>Withania somnifera</i> (Ws)	$\pm$ 443 mg, 11.08%	$\pm$ 489 mg, 12.22%	$\pm$ 237 mg, 5.92%	$\pm$ 30 mg, 0.75%
<i>Glycyrrhiza glabra</i> (Gg)	$\pm$ 364 mg, 9.10%	$\pm$ 1094 mg, 27.36%	$\pm$ 430 mg, 10.75%	$\pm$ 47 mg, 1.18%
<i>Astragalus membranaceus</i> (Am)	$\pm$ 1147 mg, 28.69%	$\pm$ 803 mg, 20.09%	$\pm$ 473 mg, 11.82%	$\pm$ 195 mg, 4.88%
<i>Inula helenium</i> (Ih)	$\pm$ 2198 mg, 54.95%	$\pm$ 18 mg, 0.44%	$\pm$ 295 mg, 7.37%	$\pm$ 262 mg, 6.54%
<i>Althaea officinalis</i> (Ao)	$\pm$ 1167 mg, 29.16%	$\pm$ 731 mg, 18.29%	$\pm$ 426 mg, 10.66%	$\pm$ 575 mg, 14.37%
<i>Ocimum basilicum</i> (Ob)	$\pm$ 1343 mg, 33.57%	$\pm$ 378 mg, 9.44%	$\pm$ 118 mg, 2.94%	$\pm$ 193 mg, 4.83%
$\pm$ mg - approximate, considering the residual amount of extract retained in the glass tubes after scraping.				

### 3.2 Biochemical analysis of herbs

Based on the color intensity and amount of precipitate formed, the biochemical tests confirmed the presence of phytoconstituents such as alkaloids, glycosides, terpenoids, phenols, coumarins and flavonoids within most extracts (Table 6). The following observations were noted:

- All investigated phytocompounds, except steroids could be detected in *Glycyrrhiza glabra* extracts, coumarins being most prominent.
- Coumarins, alkaloids and flavonoids were present in all the extracts of *Inula helenium*.
- *Astragalus membranaceus* extracts contained all phytocompounds except steroids and tannins. The intensity of precipitate formation for flavonoids was high in the aqueous, methanolic and ethanolic extracts.



**Table [6]** Biochemical Phyto-profiling.**Legend** ✓ Present, ++ Intensity, --- Absent

Sl #	Phyto Constituent	Test	Result (positive)	Extract	Inference					
					Ws	Gg	Am	Ih	Ao	Ob
1 <i>a.</i>	Alkaloids	Harborne Test	Yellow coloration in extract indicates the presence of alkaloids.	A1	✓	✓+++	✓++ +	✓+++	✓++ +	✓++
				M2	✓+++	✓+++	✓+	✓+++	✓+	✓+++
				E3	✓+++	✓+++	✓++ +	✓+++	✓+	✓++
				EtA4	✓+	✓+++	✓+	✓+++	✓+	✓++
		Wager's Test	Reddish-brown color formation indicates positive.	A1	✓+++	---	✓	✓+++	---	✓+++
				M2	✓+++	✓+++	✓++ +	✓+++	✓++ +	✓+++
				E3	✓+++	✓+++	✓++ +	✓+++	✓++ +	✓+++
				EtA4	✓+++	✓+++	✓++ +	✓++	✓++	✓+
2	Saponins	Emulsion test	Formation of emulsion, which remains consistent.	A1	✓+++	✓+++	✓+	✓+++	---	✓+++
				M2	---	✓+++	✓++ +	✓+	---	✓+++
				E3	---	---	---	---	---	✓+++
				EtA4	---	---	✓+	---	---	✓++
3	Phenols	Ferric Chloride Test	Greenish colour was observed in extract.	A1	---	✓+++	✓	---	✓	✓+++
				M2	---	✓+++	✓++ +	---	✓+	✓+++
				E3	---	✓+++	✓	---	---	✓
				EtA4	---	✓+	✓	---	---	✓
4	Tannins	Harborne Test	Blue-black color formation indicates presence of Tannin.	A1	---	✓+++	---	---	✓++ +	✓+++
				M2	---	✓+++	---	---	✓+	✓+++
				E3	---	✓+++	---	---	---	✓+
				EtA4	---	✓+	---	---	---	✓
5	Glycosides	Keller-Kiliani Test	Brown ring colour formation tests positive.	A1	✓+	✓+++	✓	✓+++	✓	✓
				M2	✓+++	✓+++	✓++ +	✓+++	✓++ +	✓+++
				E3	✓++	✓+++	✓++ +	✓+++	✓++ +	✓+++
				EtA4	✓++	✓++	✓++ +	✓+	✓++	✓
6	Terpenoids	Salkowski Test	A reddish brown colouration if observed indicates positive.	A1	✓++	✓+++	✓	✓+++	✓+	✓+++
				M2	✓+++	✓+++	✓++	✓+++	✓++	✓+++
				E3	✓+++	✓+++	✓++	✓+++	✓++	✓+++
				EtA4	✓+	✓++	✓	✓+	✓++	✓+
7	Flavonoids	Vanillin Test	A reddish brown coloured precipitate is formed.	A1	---	✓+	✓++ +	✓+	---	---
				M2	✓+++	✓++	✓++ +	✓+++	✓++ +	✓+++
				E3	✓++	✓++	✓++ +	✓++	✓++ +	✓+++
				EtA4	✓+	✓++	✓+	✓+	✓++	✓+
8	Steroids	Lieberman n-Burchard Test	Dark bluish precipitate indicates the presence.	A1	✓	---	---	---	---	---
				M2	✓	---	---	✓+	---	---
				E3	✓	---	---	✓	---	✓
				EtA4	---	---	---	---	---	✓
9	Coumarins	Sodium Hydroxide Test	Formation of yellow colour indicates presence.	A1	✓+	✓+++	✓++ +	✓+++	✓+++	✓
				M2	✓+++	✓+++	✓++ +	✓+++	✓+++	---
				E3	✓++	✓+++	✓++	✓+++	✓+	---
				EtA4	✓+	✓+++	✓+	✓+++	✓	✓

- In the extracts of *Withania somnifera* mostly glycosides, alkaloids and phytosteroids were observed.
- Tests on the extracts of *Ocimum basilicum* showed positive for all compounds, coumarins and phytosteroids being present in trace amounts.
- The extracts of *Althaea officinalis* prominently had only alkaloids, glycosides and flavonoids.

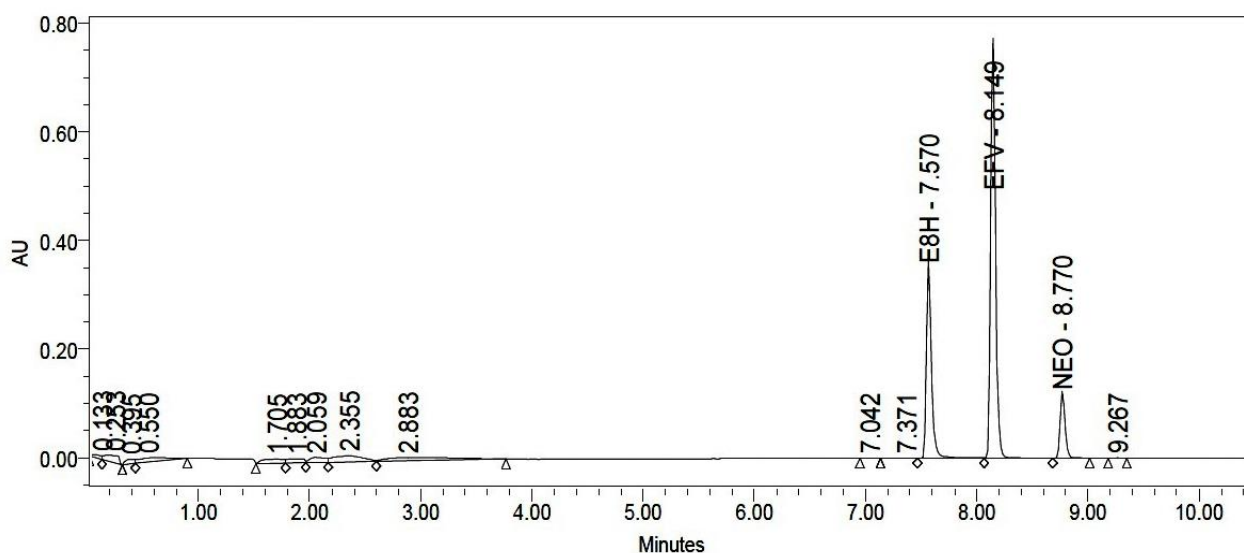
According to this qualitative analysis *G.glabra*, *O.basilicum*, *I.helenium* and *A.membranaceus* contained most of the relevant groups of phytochemicals. *W.somnifera* and *A.officinalis* had least saponins, tannins and phenols.

### 3.3 Inhibition assays

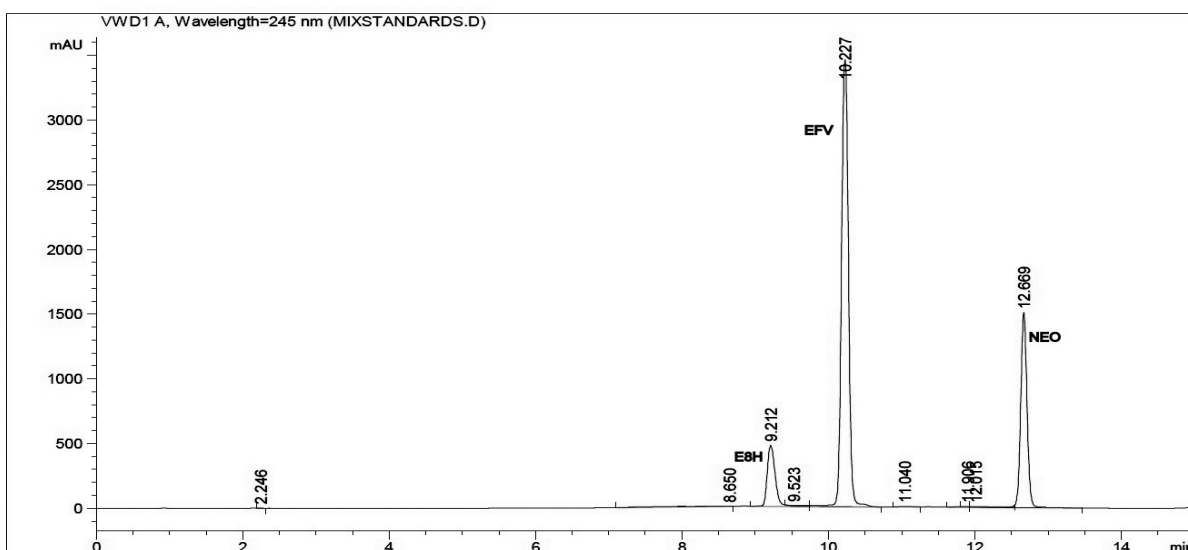
#### 3.3.1 HLM assay validation

Satisfactory separations of all three analytes EFV, E8H and NEO, per assay were not achieved when using an isocratic method, especially due to interference from co-factors and secondary metabolites in the HLM assays; hence, gradient elution was selected (Varghese et al., 2014). Method optimization was done by modifying the run conditions such as change in column length (100 mm, 150 mm and 250 mm), inner diameter (2.6 mm and 4.6 mm), particle size (3.5  $\mu\text{m}$  and 5  $\mu\text{m}$ ), and change in the elution to gradient.

1. **HPLC–EFV methods:** Satisfactory separations were achieved on the C-18 column (150 x 4.6 mm, 2.6  $\mu\text{m}$ ), by gradient elution using mobile phase comprising of water (A): acetonitrile (B) at a flow of 0.7 mL min<sup>-1</sup>. Comparative analysis was done using a biphenyl column of the same dimension and 5 $\mu\text{m}$  particle size (Restek Corp., USA) (Fig. 15). However compared to the separation achieved in the biphenyl column (Fig. 15), the HPLC retention times were consistent at  $\pm 7.57$  min,  $\pm 8.15$  min, and  $\pm 8.77$  min for EFV, E8H and NEO, respectively for the C-18 column with a shorter run time (Fig. 14).



**Fig.14** HPLC chromatographic separation of E8H, EFV and NEO using the C-18 column at 200  $\mu$ M concentrations of all the drugs.



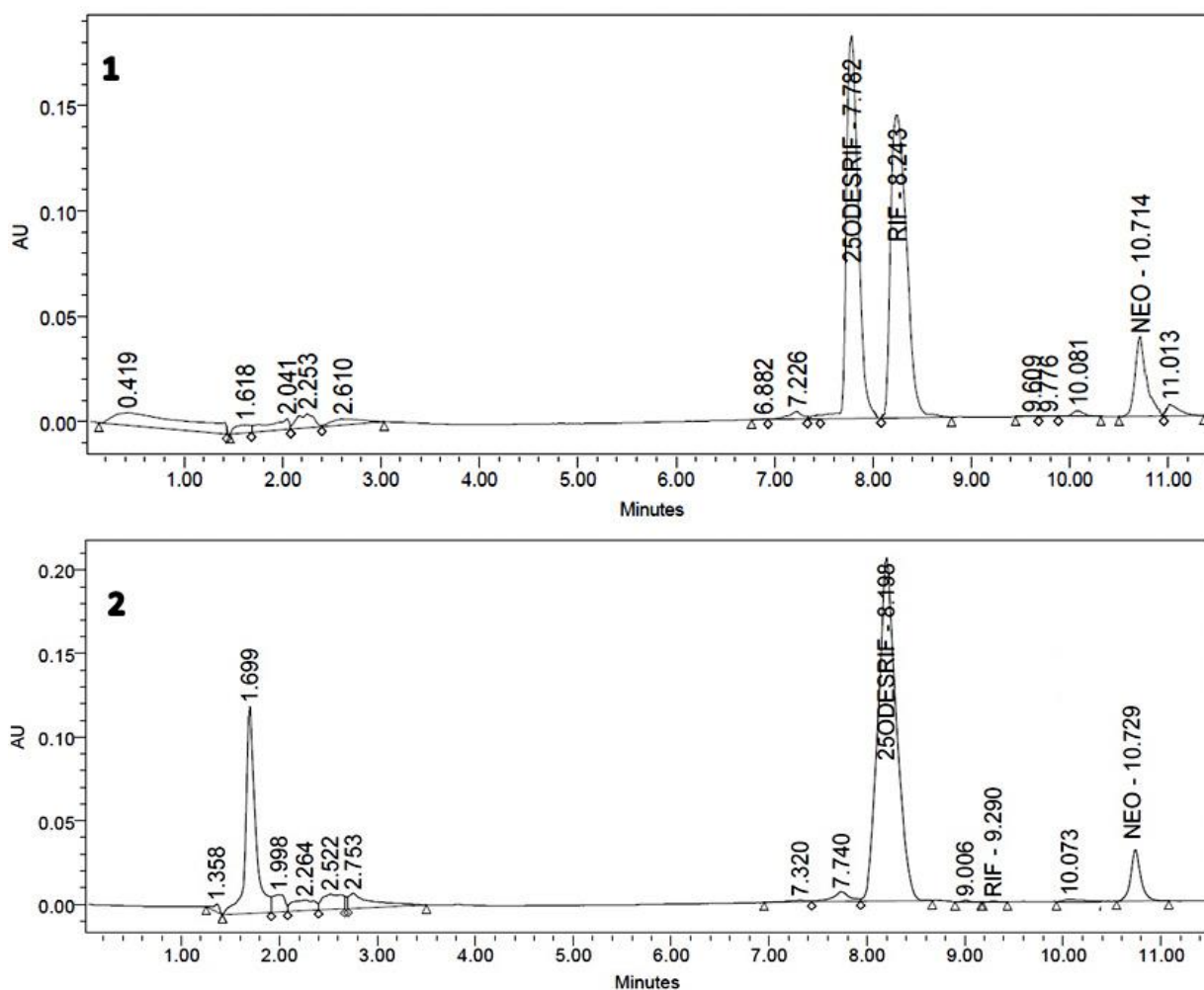
**Fig.15** HPLC chromatographic separation of E8H, EFV and NEO using the biphenyl column at 4 mM concentrations of all the drugs.

A linear response was obtained in the concentration range 0 – 200  $\mu$ M for both EFV and E8H ( $R^2 = 0.9930$ ). LLOD and LLOQ were calculated at 7.57  $\mu$ M and 22.95  $\mu$ M for EFV and 7.99  $\mu$ M and 24.24  $\mu$ M for E8H, respectively (Table 13).

The HPLC method was also validated using *in vitro* human liver microsomal incubation assay. For all four incubation times (15, 30, 45 and 60 min), the metabolite E8H was detected using this method at consistent retention times along with EFV and NEO, and the peak area of NEO was relatively constant. Linearity was established for the 15-60 min incubation range based on the ratio of the metabolite to the internal standard, with  $R^2 = 0.9934$  (Fig. 17a, Table 7).

**2. HPLC–RIF method:** A linear response was obtained in the concentration range 0 – 200  $\mu\text{M}$  for both RIF and 25ODESRIF ( $R^2 = 0.9950$ ). LLOD and LLOQ were calculated at 5.86  $\mu\text{M}$  and 17.75  $\mu\text{M}$  for RIF and 7.78  $\mu\text{M}$  and 23.57  $\mu\text{M}$  for 25ODESRIF, respectively (Fig. 17b, Table 7). The results of the system suitability tests assure the adequacy of the proposed HPLC method for routine analysis of RIF and 25ODESRIF alone or in combination (Fig. 16. 1).

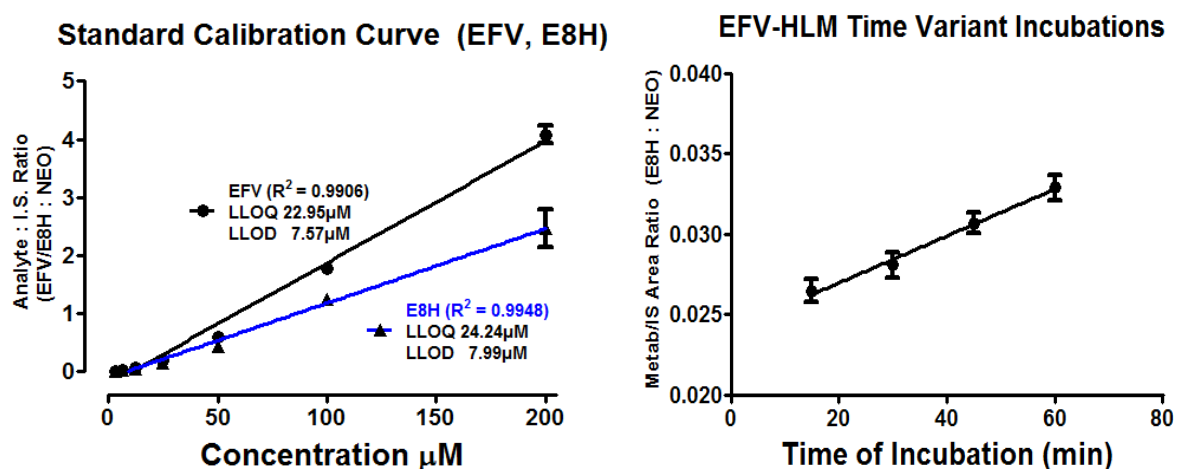
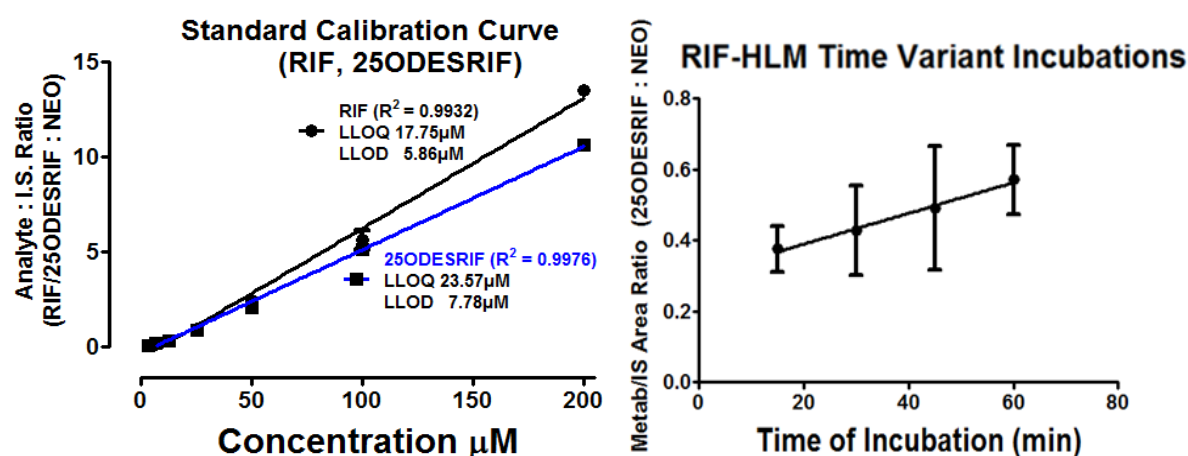
In the *in vitro* human liver microsomal incubation assays, for all four incubation times (15, 30, 45 and 60 min, in duplicates) the metabolite 25ODESRIF was detected using this method at consistent retention times along with RIF and NEO, and the peak area of NEO was relatively constant (Fig. 16. 2). Linearity was established for the 15-60 min incubation range based on the ratio of the metabolite to the internal standard, with  $R^2 = 0.9901$  (Fig. 17. a-b, Table 7).



**Fig.16** 1.HPLC chromatographic separation of RIF, 25ODESRIF and NEO using the C-18 Luna column at 100  $\mu\text{M}$  concentrations of the drug and its metabolite, 20  $\mu\text{M}$  internal standard, 2. HPLC chromatographic separation of RIF, 25ODESRIF and NEO using the C-18 Luna column, for the *in vitro* HLM-RIF incubation sample (75  $\mu\text{M}$  RIF)

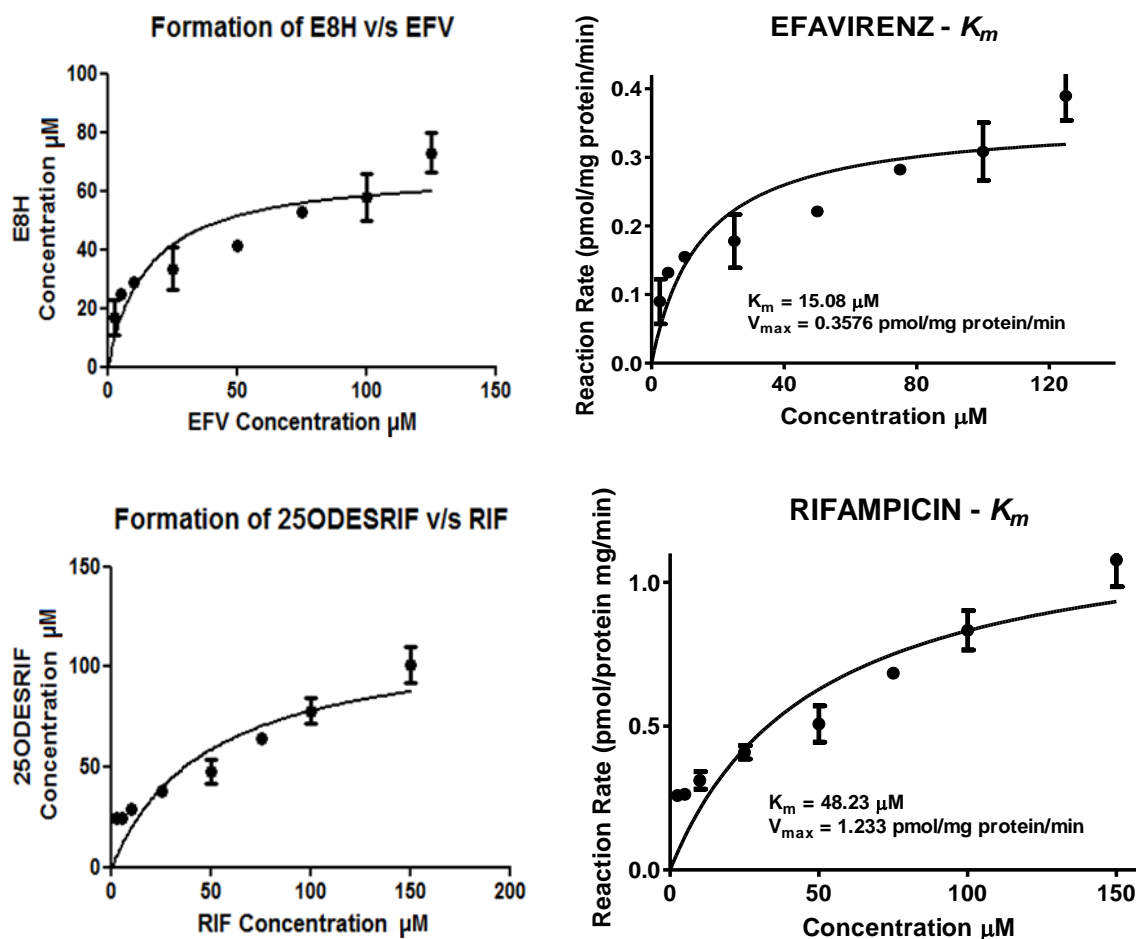
**Table [7]** HPLC analysis retention time for both methods.

Column	Phenomenex-Evo C-18 100A Column (150 x 4.6 mm, 2.6 $\mu$ m)			Phenomenex Luna C-18 Column (150 x 4.6 mm, 5 $\mu$ m)		
Drug	EFV	E8H	NEO	RIF	25ODESRIF	NEO
Retention Time (min)	7.57	8.15	8.77	7.70	8.25	10.70
LLOD ( $\mu$ M)	7.57	7.99	-	5.86	7.78	-
LLOQ ( $\mu$ M)	22.95	24.24	-	17.75	23.57	-
Linear Correlation Coefficient ( $R^2$ )	0.9906	0.9948	-	0.9932	0.9976	-
Overall Run Time (min)	10.5			11.5		
HLM Time-variant assay Linear Correlation Coefficient ( $R^2$ )15-60 min	0.9934			0.9901		

**Fig.17a** Standard calibration curves, regression statistics for EFV and E8H; HLM time variant incubation assay linearity showing the ratio of E8H to NEO, for the specific time of incubation (15, 30, 45 and 60 min).**Fig.17b** Standard calibration curves, regression statistics for RIF and 25ODESRIF; HLM time variant incubation assay linearity showing the ratio of 25ODESRIF to NEO, for the specific time of incubation (15, 30, 45 and 60 min).

### 3.3.2 Kinetic analyses of EFV, RIF and their metabolites

The kinetics for the formation of E8H from EFV, and 25ODESRIF from RIF were determined in several HLM incubations for concentrations in the range 0 – 150  $\mu\text{M}$ . Representative Michaelis-Menten kinetic plots from all assays are depicted in Fig.18, and the kinetic parameters are summarized in Table 8.



**Fig.18** Kinetic analyses of EFV and RIF by human liver microsomes, and formation of E8H versus EFV and 25ODESRIF versus RIF.

**Table [8]** Kinetics of efavirenz and rifampicin metabolism.

HLMs	Enzyme	SUBSTRATE	$K_m$	$V_{max}$	$CL_{int} (V_{max}/K_m)$
H0610, H0620, H0630, H0640	2B6	EFV	15.08	0.3576	0.0240
(Pooled HLM – Mixed, Xenotech)	B-esterases	RIF	48.23	1.2330	0.0260

$V_{max}$ , pmol/min/mg protein or pmol/min/pmol P450;  $K_m$ ,  $\mu\text{M}$ ;  $CL_{int}$ ,  $\mu\text{l/min/mg protein}$  or  $\mu\text{l/min/pmol P450}$ .

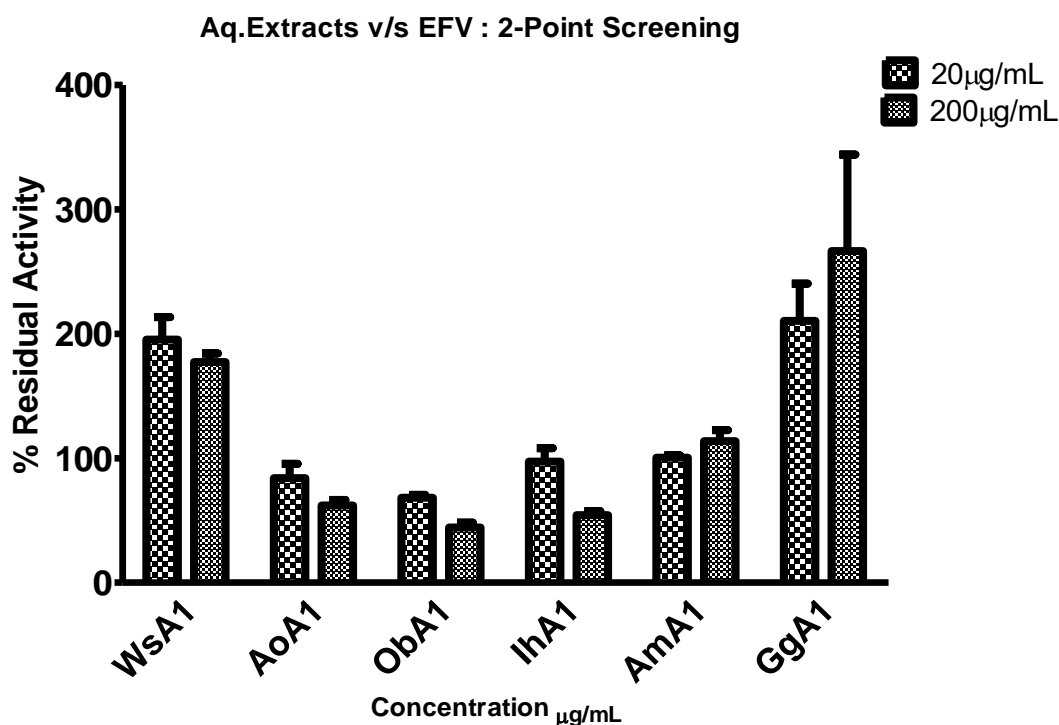
Efavirenz with its binding affinity to CYP2B6 had  $K_m$  and  $V_{max}$  values of 15.08  $\mu\text{M}$  and 0.3576 pmol/min/mg protein. The intrinsic clearance  $CL_{int}$  was calculated as  $V_{max}/K_m$  and observed to be 0.0240  $\mu\text{l/min/mg protein}$  for EFV.

For rifampicin, the analysed  $K_m$  and  $V_{max}$  values were 48.23  $\mu\text{M}$  and 1.2330 pmol/min/mg protein, and  $CL_{int}$  was calculated as 0.0260  $\mu\text{l/min/mg}$  protein.

### 3.3.3 Two-point screening of herbs

The potential of the selected herbal extracts to inhibit the enzyme activity of CYP2B6 and the metabolism pathway of rifampicin was investigated. All herbal extracts were screened at two concentrations (20  $\mu\text{g/mL}$  and 200  $\mu\text{g/mL}$ ) and the observed remaining activity was expressed as percentages of the negative control (no inhibitor). For both enzymes, less than 1% solvent was used for the total incubation, as per the assay standard guidelines. For the solvent controls (solvent concentration > 1%) ethanol reduced CYP2B6 activity by 20% (illustrated in fig. 21) and methanol inhibited rifampicin metabolism by 20% (illustrated in fig. 24), while ethyl acetate had no effect on both CYP2B6 and rifampicin metabolism. All solvent controls with concentration < 1% had no inhibitory effect on the enzyme activity.

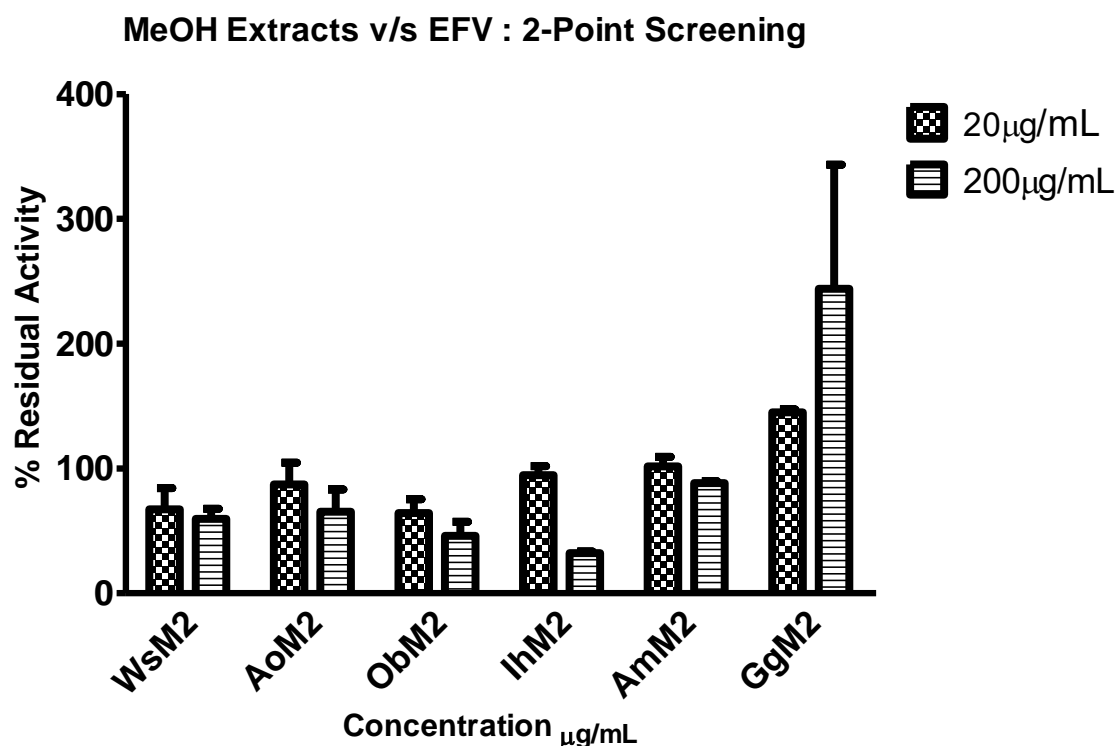
**CYP2B6:** For the aqueous extracts screened with EFV as substrate (against CYP2B6) *Ocimum basilicum* (ObA1) and *Inula helenium* (IhA1) showed most inhibitory effect. ObA1 reduced CYP2B6 activity by less than 50%, while IhA1 reduced the activity by around 50%. *Glycyrrhiza glabra* (GgA1) extract showed a major increase in the rate of metabolism by more than 200% at 200  $\mu\text{g/mL}$  concentration (Fig. 19).



**Fig.19** 2-Point screening of all aqueous extracts against CYP2B6 (Code name for each herb can be referred to table 2).



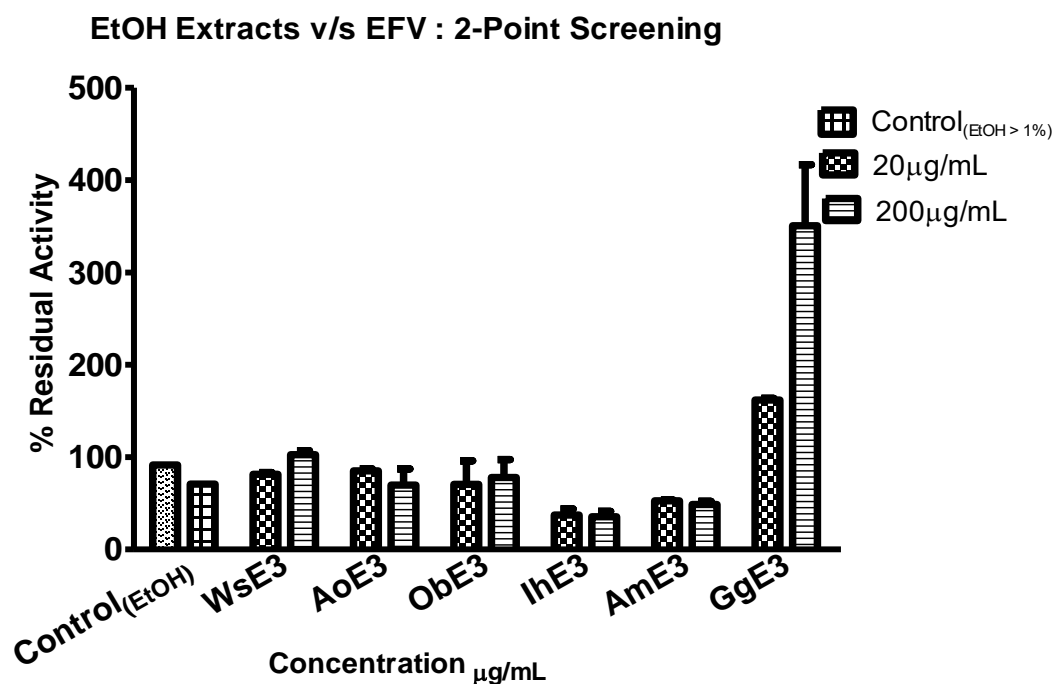
For the methanolic extracts screened against CYP2B6, most of the extracts had an inhibitory effect. *Inula helenium* (IhM2) showed the largest inhibitory effect reducing the CYP2B6 activity to around 30% and ObM2 reduced activity by less than 50%, while *Withania somnifera* (WsM2) reduced the activity to 60%. *Glycyrrhiza glabra* (GgM2) extract showed a major increase in the metabolism by more than 200% similar to its aqueous extract (Fig. 20).



**Fig.20** 2-Point screening of all methanolic extracts against CYP2B6 (Code name for each herb can be referred to table 2).

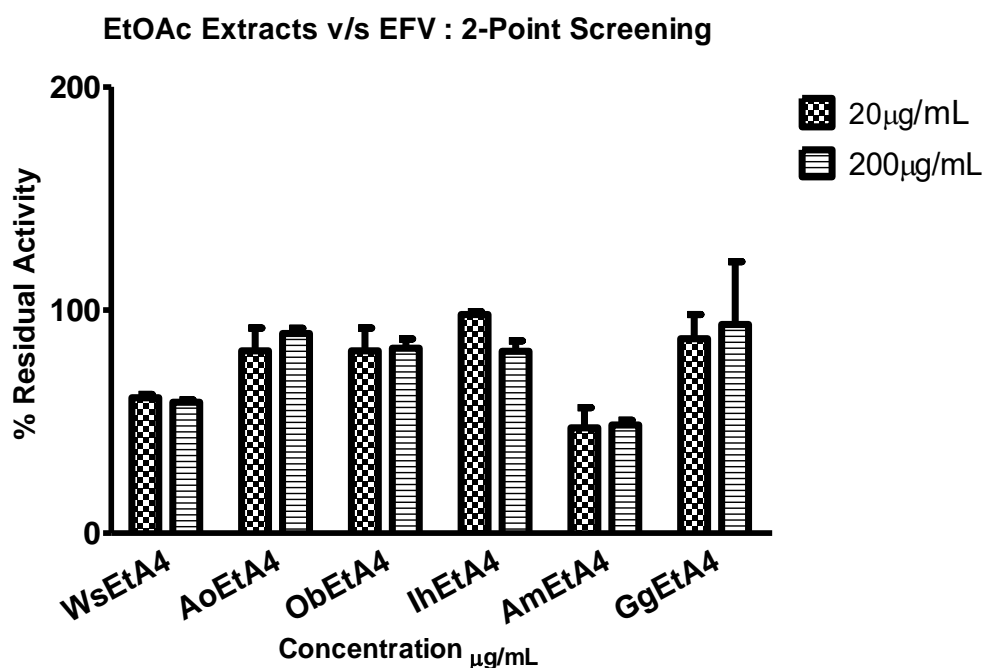
Amongst the ethanolic extracts, except for *Glycyrrhiza glabra* (GgE3) all the other extracts inhibited CYP2B6 activity; *Inula helenium* (IhE3) reduced the activity to around 30% and *Astragalus membranaceus* (AmE3) reduced the activity by 50% (Fig. 21).

GgE3 enhanced the rate of EFV metabolism, increasing the activity by 200%. This mechanism of CYP2B6 activation was similar to the trend observed for the aqueous and methanolic extracts of *G. glabra*.



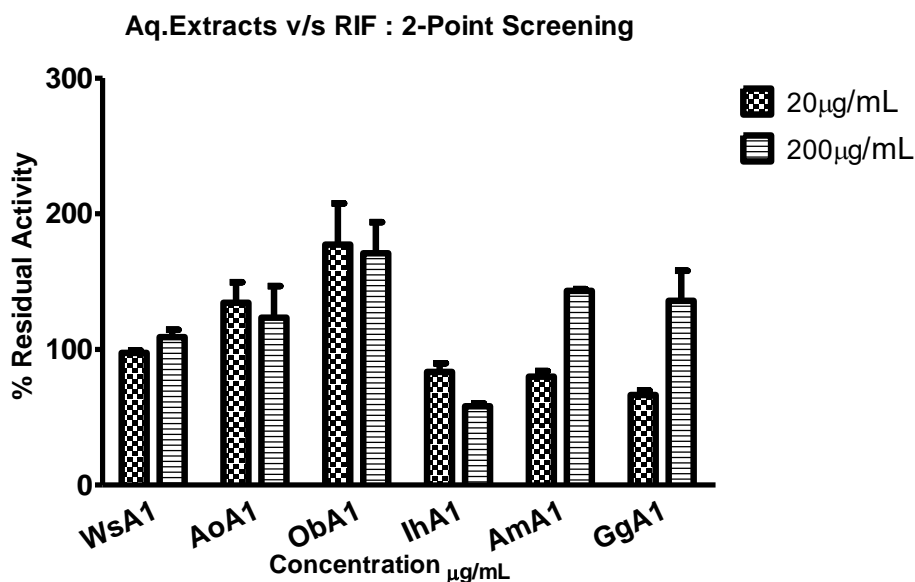
**Fig.21** 2-Point screening of all ethanolic extracts against CYP2B6 (Code name for each herb can be referred to table 2).

Amongst the ethyl acetate extracts, except for *W.somnifera* (WsEtA4) and *A.membranaceus* (AmEtA4), none of the extracts showed strong inhibition of CYP2B6 (Fig. 22). WsEtA4 reduced the activity to less than 60% and AmEtA4 reduced the activity to 47%. IhEtA4 inhibited CYP2B6 to a much lesser extent.



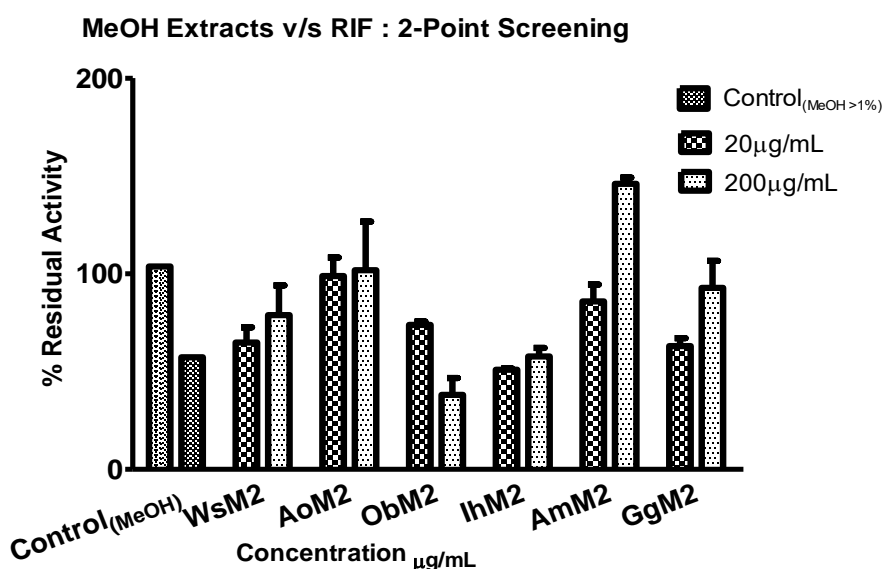
**Fig.22** 2-Point screening of all ethyl acetate extracts against RIF metabolism pathway (Code name for each herb can be referred to table 2).

**Rifampicin metabolism:** For the aqueous extracts screened against rifampicin metabolism in HLMs, most of the extracts increased formation of 25ODESRIF. Only IhA1 showed some inhibitory effect. ObA1 increased the percentage of remaining activity by almost 175%, while AmA1 induced by around 150% (Fig. 23).



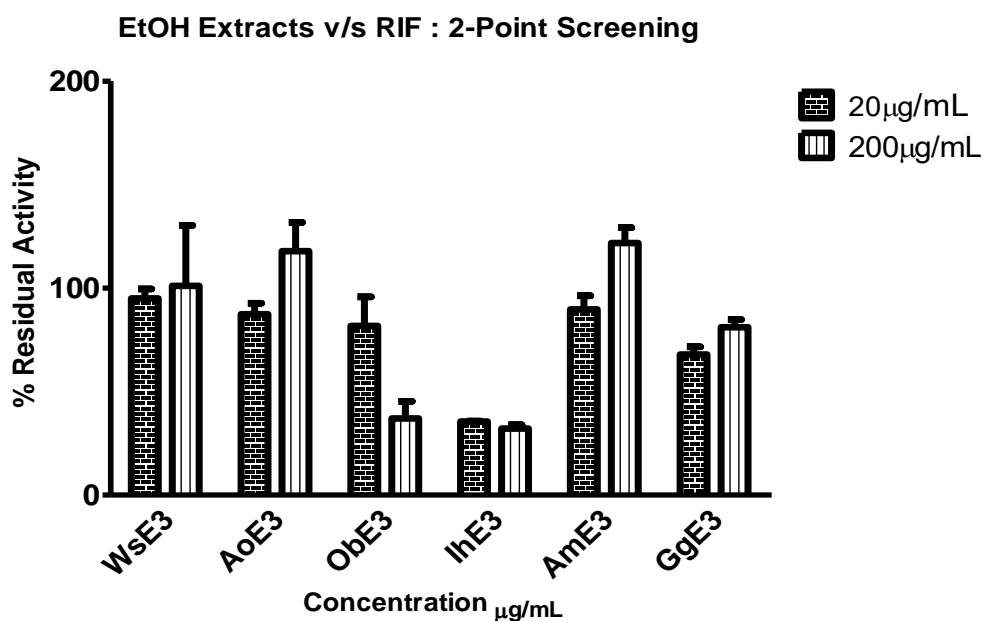
**Fig.23** 2-Point screening of all aqueous extracts against RIF metabolism pathway (Code name for each herb can be referred to table 2).

For the methanolic extracts, only ObM2 and IhM2 showed any inhibitory effect on the metabolism pathway, reducing the enzyme activity to less than 40% and 50% respectively. AmM2 extract showed increase in the formation of the metabolite similar to its aqueous extract. At a high concentration, the percentage activity increased by 150% for AmM2 (Fig. 24).



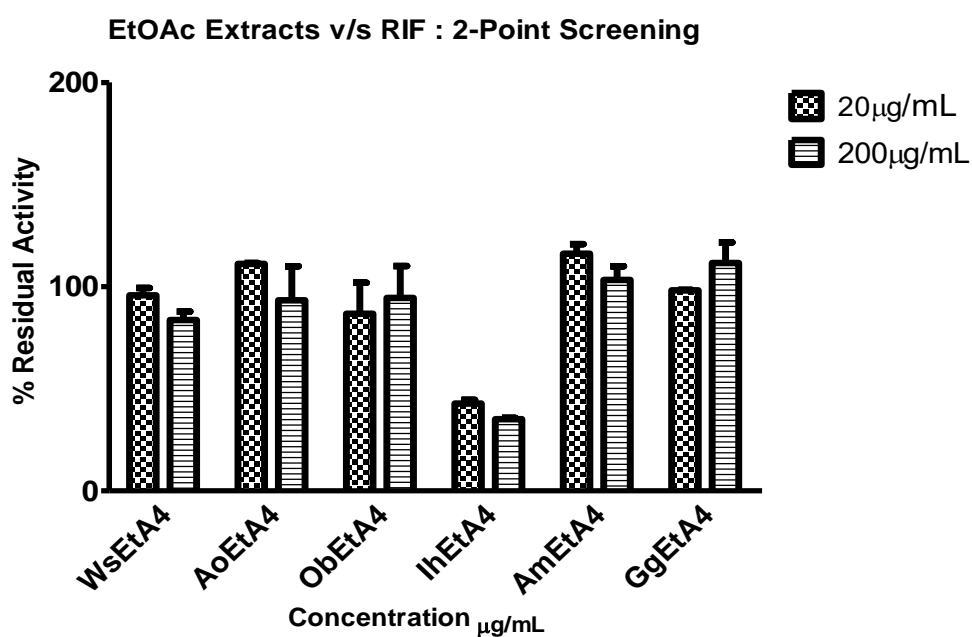
**Fig.24** 2-Point screening of all methanolic extracts against RIF metabolism pathway (Code name for each herb can be referred to table 2).

Similarly all ethanolic extracts enhanced the rate of formation of 25ODESRIF, except IhE3 and ObE3 which reduced the activity to less than 40%. AmE3 showed the highest increase in the enzyme activity (Fig. 25).



**Fig.25** 2-Point screening of all ethanolic extracts against RIF metabolism pathway (Code name for each herb can be referred to table 2).

For the ethyl acetate extracts (Fig. 26), only *I.helenium* (IhEtA4) reduced the metabolism of rifampicin to 35% at 200 µg/mL concentration. GgEtA4 showed modest increase in the metabolism rate at 200 µg/mL concentration.



**Fig.26** 2-Point screening of all ethyl acetate extracts against RIF metabolism pathway (Code name for each herb can be referred to table 2).

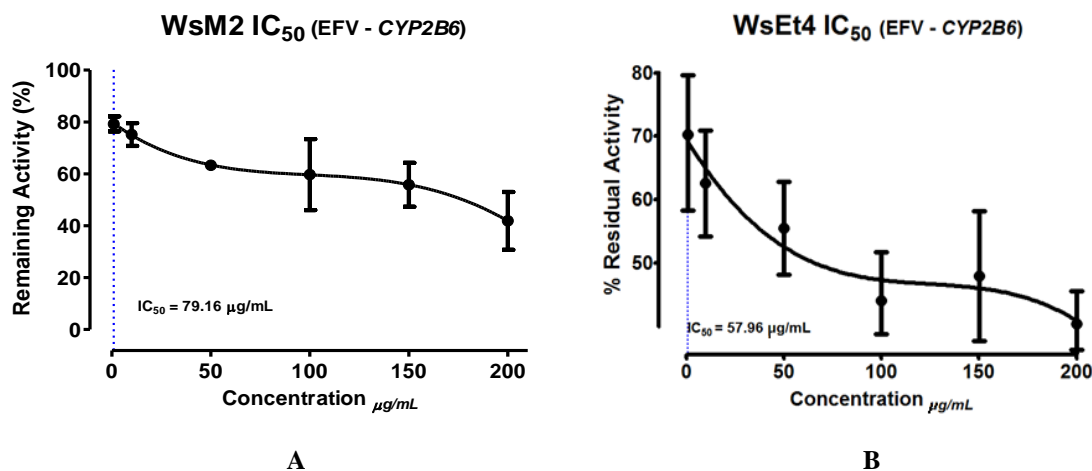
From all extracts screened, WsM2, WsEtA4, AmE3, AmEtA4, ObA1, ObM2, IhA1, IhM2 and IhE3 were selected as potential inhibitors of CYP2B6, and further screened for IC<sub>50</sub> determination with TICL as the positive control. Extracts ObM2, ObE3, IhA1, IhM2, IhE3 and IhEtA4 were chosen as potential inhibitors of rifampicin metabolism for IC<sub>50</sub> screening. All extracts of *Inula helenium* showed good inhibitory effect on both CYP2B6 and rifampicin metabolism.

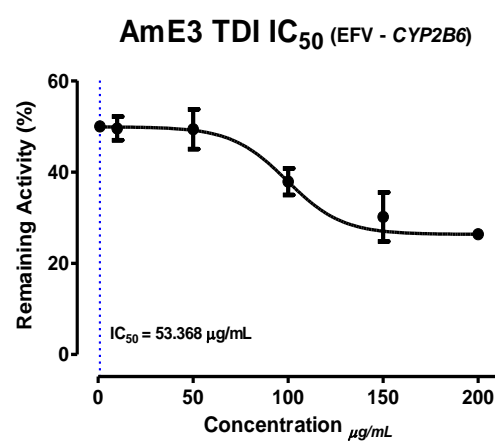
### 3.3.4 IC<sub>50</sub> determination of selected extracts

For CYP2B6 screening of the extracts, all IC<sub>50</sub> values were in the range of 36-122 µg/mL for a concentration screening range between 1 and 200 µg/mL, which indicated that none of the extracts were potent inhibitors of CYP2B6 in that concentration range. ObM2 inhibited CYP2B6 with an IC<sub>50</sub> value 36.07 µg/mL, while AmE3 had an IC<sub>50</sub> value 53.37 µg/mL. IhA1 had an IC<sub>50</sub> value 122.00 µg/mL. However at a higher concentration of 200 µg/mL the activity was less than 20% (Table 9).

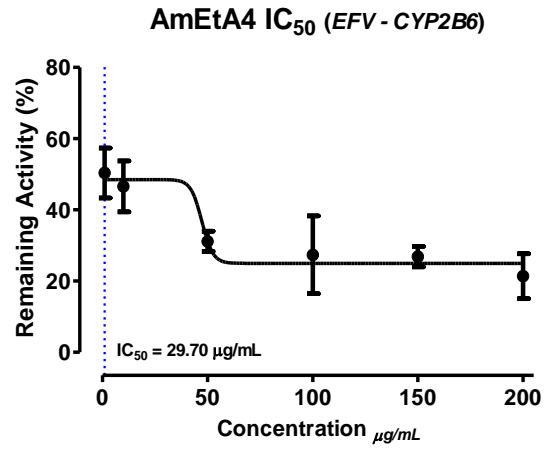
Comparatively the positive control TICL had an IC<sub>50</sub> value of 54.88 µM (14.47 µg/mL) when tested in a concentration range of 0-100 µM (Fig. 27) (Table 10).

Both ethyl acetate extracts that were screened against CYP2B6 showed clear inhibition. For *Withania*, WsEtA4 showed more inhibition of CYP2B6 with an IC<sub>50</sub> value 57.96 µg/mL compared to its methanolic extract (IC<sub>50</sub> 79.16 µg/mL). Similar for *Astragalus*, the ethyl acetate extract inhibited CYP2B6 with an IC<sub>50</sub> value 29.70 µg/mL and the ethanolic extract had an IC<sub>50</sub> value 53.37 µg/mL.

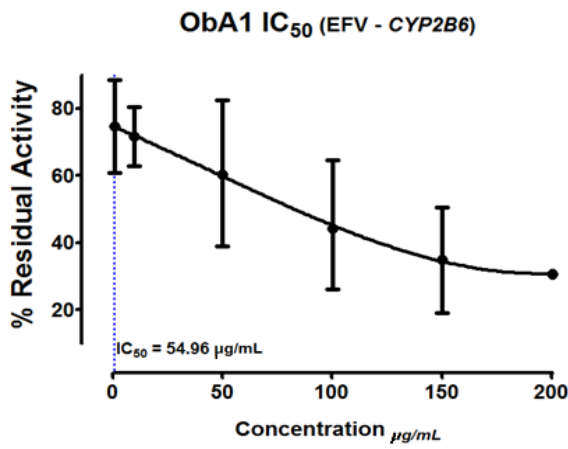




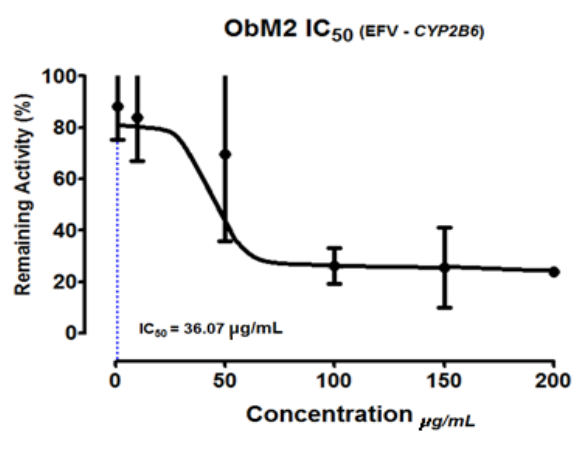
C



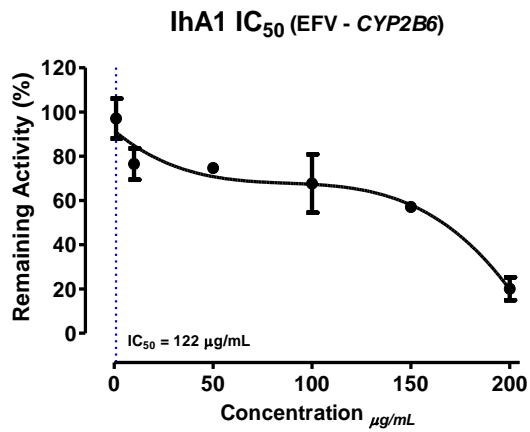
D



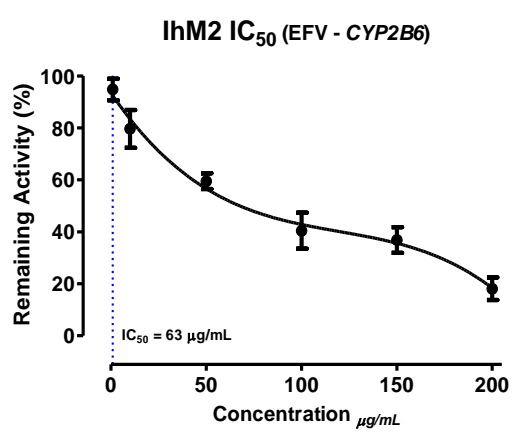
E



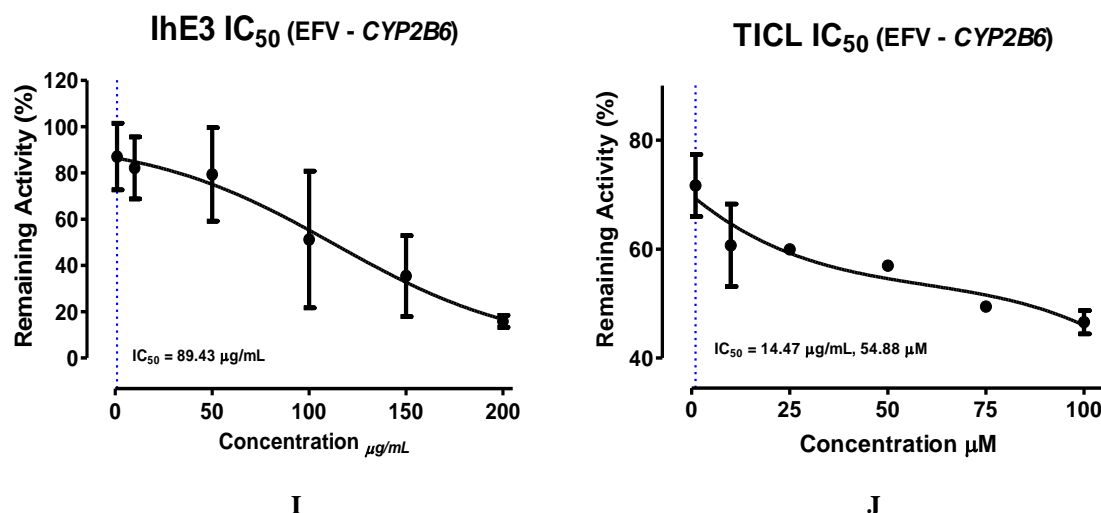
F



G



H

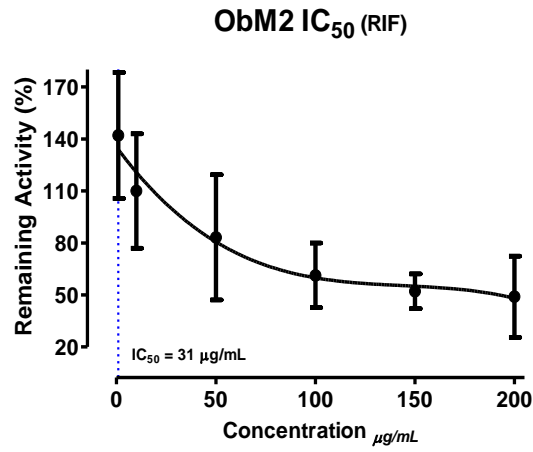


**Fig.27** Statistical plots of herbal extract concentration (with IC<sub>50</sub>s) of A: WsM2 (79.16 µg/mL), B: WsEtA4 (57.96 µg/mL), C: AmE3 (53.37 µg/mL), D: AmEtA4 (29.70 µg/mL), E: ObA1 (54.96 µg/mL), F: ObM2 (36.07 µg/mL), G: IhA1 (122 µg/mL), H: IhM2 (63 µg/mL), I: IhE3 (89.43 µg/mL) and positive control J: TICL (14.47 µg/mL, 54.88 µM) against percentage remaining activity of CYP2B6 with EFV as the substrate. The IC<sub>50</sub> is calculated as log(X) against Y. The plot demonstrates the IC<sub>50</sub> (µM) calculated using non-linear regression (dose-response inhibition) % the actual IC<sub>50</sub> plot curve-fit (Code name for each herb can be referred to table 2).

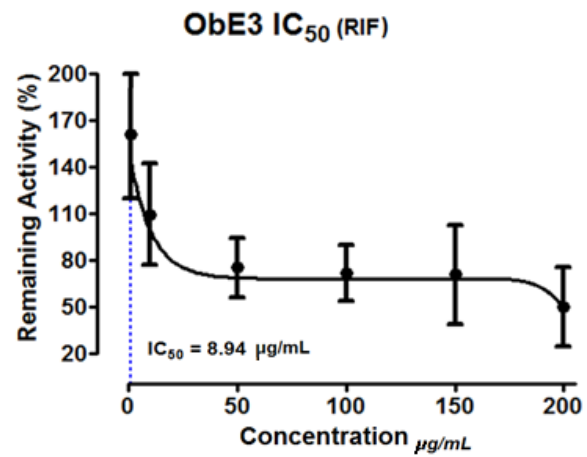
For the screening of extracts against rifampicin metabolism, all IC<sub>50</sub> values were in the range of 8-108 µg/mL for a screening range between 1 and 200 µg/mL, indicating the potent inhibition effect of these extracts on the activity of the enzymes (Fig. 28) (Table 9). ObE3 inhibited the formation of 25ODESRIF, with the lowest IC<sub>50</sub> value 8.94 µg/mL, while ObM2 had an IC<sub>50</sub> value 31.00 µg/mL. IhE3 had an IC<sub>50</sub> value 18.58 µg/mL (Fig. 28, E), while IhA1 and IhEtA4 inhibited rifampicin metabolism with values 108.00 µg/mL and 62.10 µg/mL, respectively (Fig. 28, C and F).

Comparatively it was observed that higher concentrations of NELF inhibited rifampicin metabolism in HLM and had an IC<sub>50</sub> value of 9.59 µM (5.44 µg/mL) within a concentration range of 1-100 µM (Fig. 28, G) (Table 10).

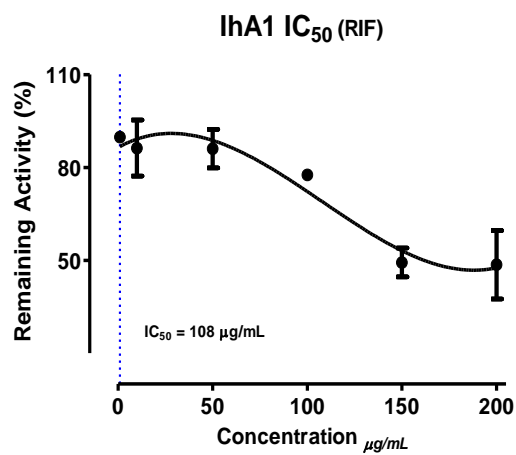




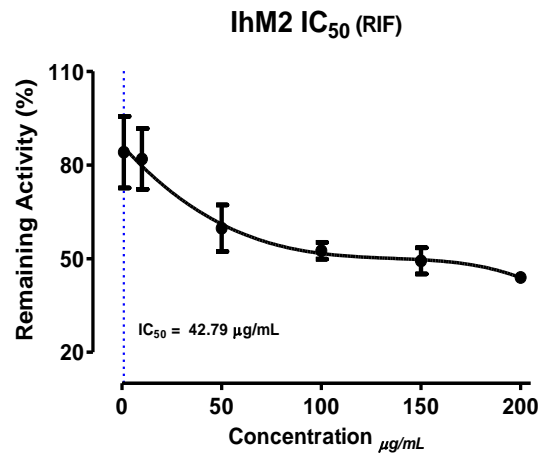
A



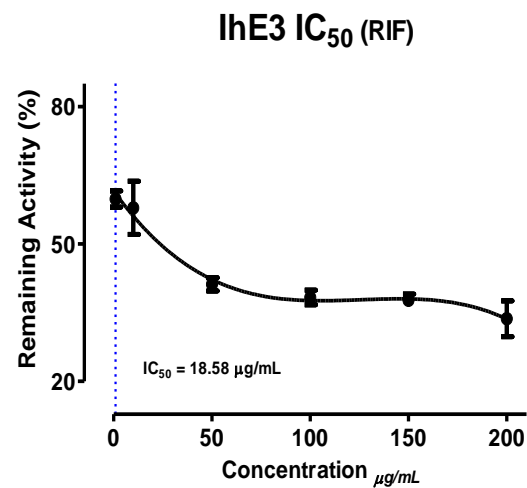
B



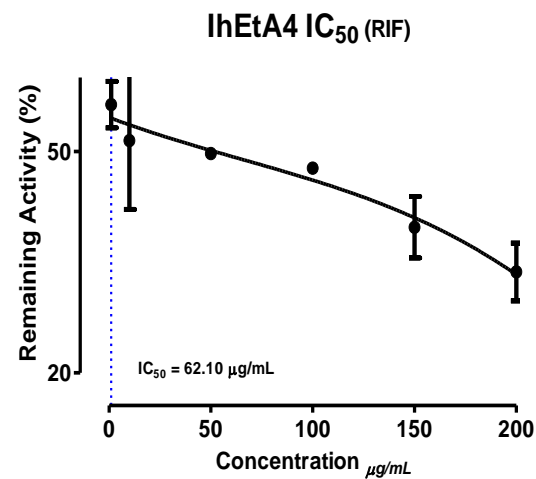
C



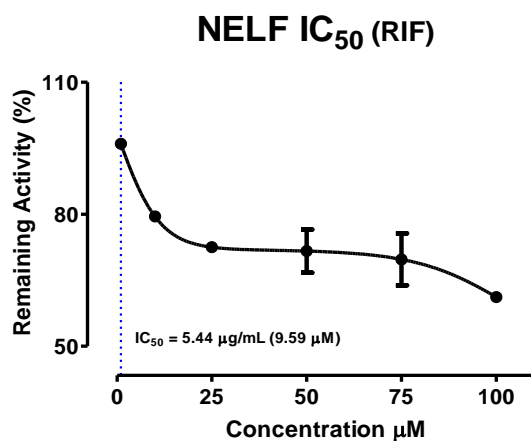
D



E



F



G

**Fig.28** Statistical plots of herbal extract concentration (with IC<sub>50</sub>s) of A: ObM2 (31.00 μg/mL), B: OBE3 (8.94 μg/mL), C: IhA1 (108.00 μg/mL), D: IhM2 (42.79 μg/mL), E: IhE3 (18.58 μg/mL), F: IhEtA4 (62.10 μg/mL) and positive control G: NELF (5.44 μg/mL, 9.59 μM) against percentage remaining activity of rifampicin metabolism. The IC<sub>50</sub> is calculated as log(X) against Y. The plot demonstrates the IC<sub>50</sub> (μM) calculated using non-linear regression (dose-response inhibition) % the actual IC<sub>50</sub> plot curve-fit (Code name for each herb can be referred to table 2).

**Table [9]** IC<sub>50</sub> values of herbal extracts.

Extract	Extract code	CYP/Metabolism pathway	Determined IC <sub>50</sub> values (μg/mL)
<i>Withania somnifera</i>	WsM1	CYP2B6	79.16
	WsEtA4	CYP2B6	57.96
<i>Astragalus membranaceus</i>	AmE3	CYP2B6	53.37
	AmEtA4	CYP2B6	29.70
<i>Inula helenium</i>	IhA1	CYP2B6	122.00
	IhM2	CYP2B6	63.00
	IhE3	CYP2B6	89.43
	IhA1	RIF metabolism	108.00
	IhM2	RIF metabolism	42.79
	IhE3	RIF metabolism	18.58
	IhEtA4	RIF metabolism	62.10
<i>Ocimum basilicum</i>	ObA1	CYP2B6	54.96
	ObM2	CYP2B6	36.07
	ObM2	RIF metabolism	31.00
	ObE3	RIF metabolism	8.94

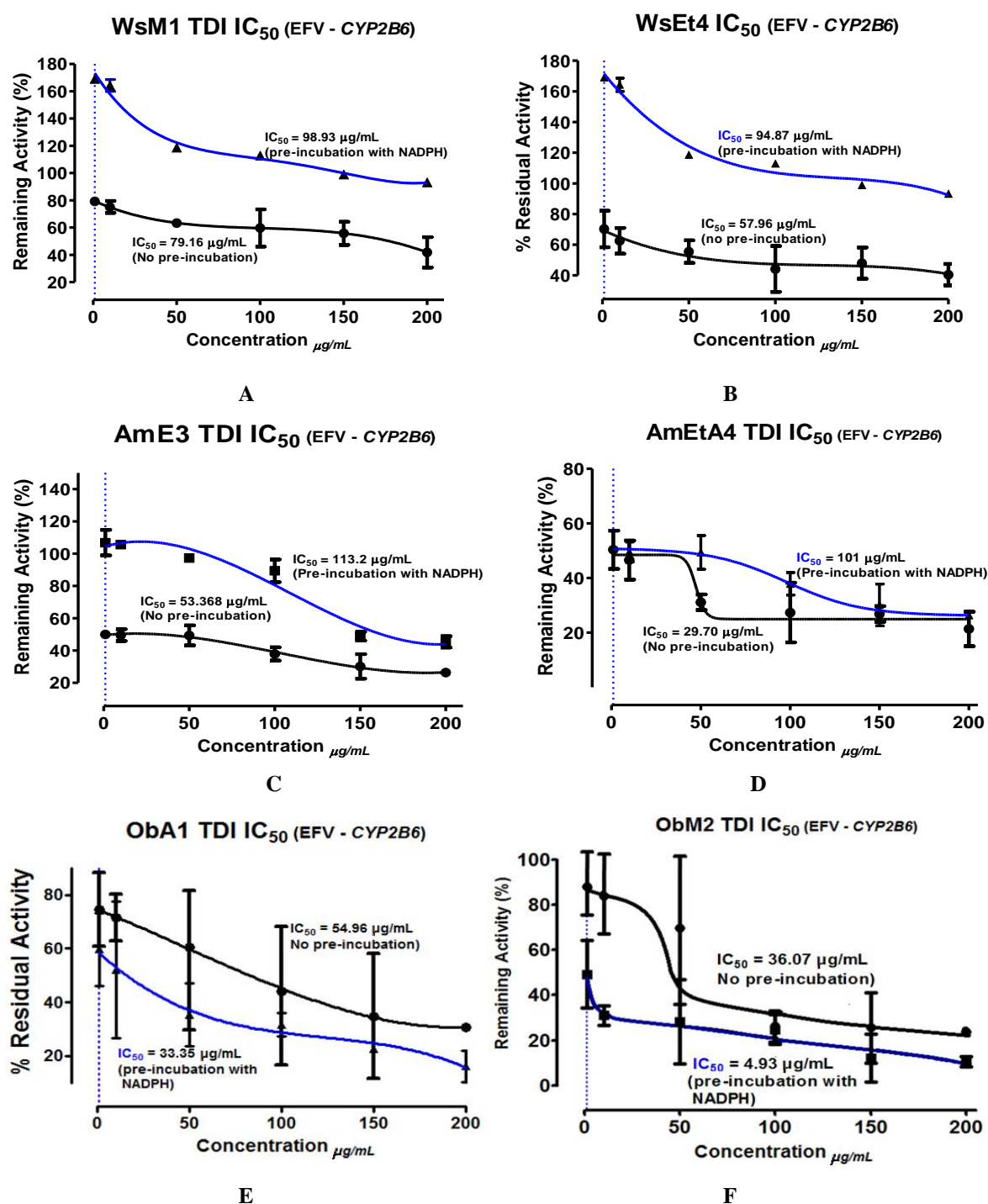
**Table [10]** IC<sub>50</sub> values of positive controls.

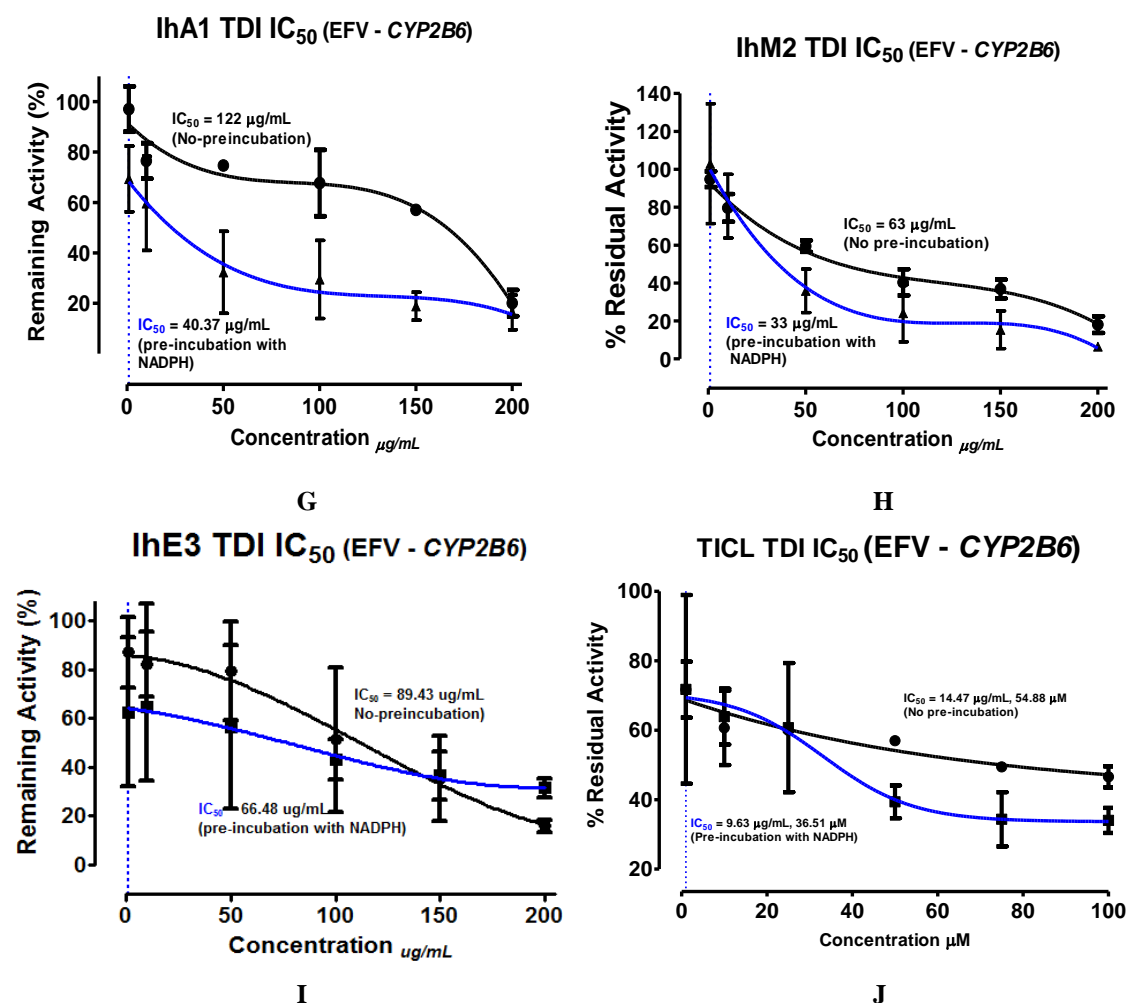
Positive Control	CYP/Metabolism pathway	Determined IC <sub>50</sub> values (μg/mL)
Ticlopidine (TICL)	CYP2B6	14.47 (54.88 μM)
Nelfinavir (NELF)	RIF metabolism	5.44 (9.59 μM)

### 3.3.5 TDI IC<sub>50</sub> fold shift determination of selected extracts

For TDI screening of the extracts, many of the extracts showed clear TDI of CYP2B6 activity (Fig. 29a, b). The aqueous, methanolic and ethyl acetate extracts of *Inula helenium* showed

clear TDI of CYP2B6 with values 40.37, 33.00 and 66.48  $\mu\text{g/mL}$  when compared to their normal  $\text{IC}_{50}$ s which did not involve preincubation with NADPH (Table. 11). Both the *Ocimum basilicum* extracts also showed TDI of CYP2B6 and ObM2 had a shift of  $\text{IC}_{50}$  from 36.07  $\mu\text{g/mL}$  to 4.93  $\mu\text{g/mL}$ . The ethyl acetate extracts WsEtA4 and AmEtA4 showed no TDI. Compared to the  $\text{IC}_{50}$  for the assays with no pre-incubation of NADPH, both extracts had higher TDI  $\text{IC}_{50}$  values of 94.87  $\mu\text{g/mL}$  and 101.00  $\mu\text{g/mL}$ , respectively (Fig. 29a, B & D). The positive control TICL showed TDI where the  $\text{IC}_{50}$  shifted to 36.51  $\mu\text{M}$  (9.63  $\mu\text{g/mL}$ ) (Fig. 29a, J).

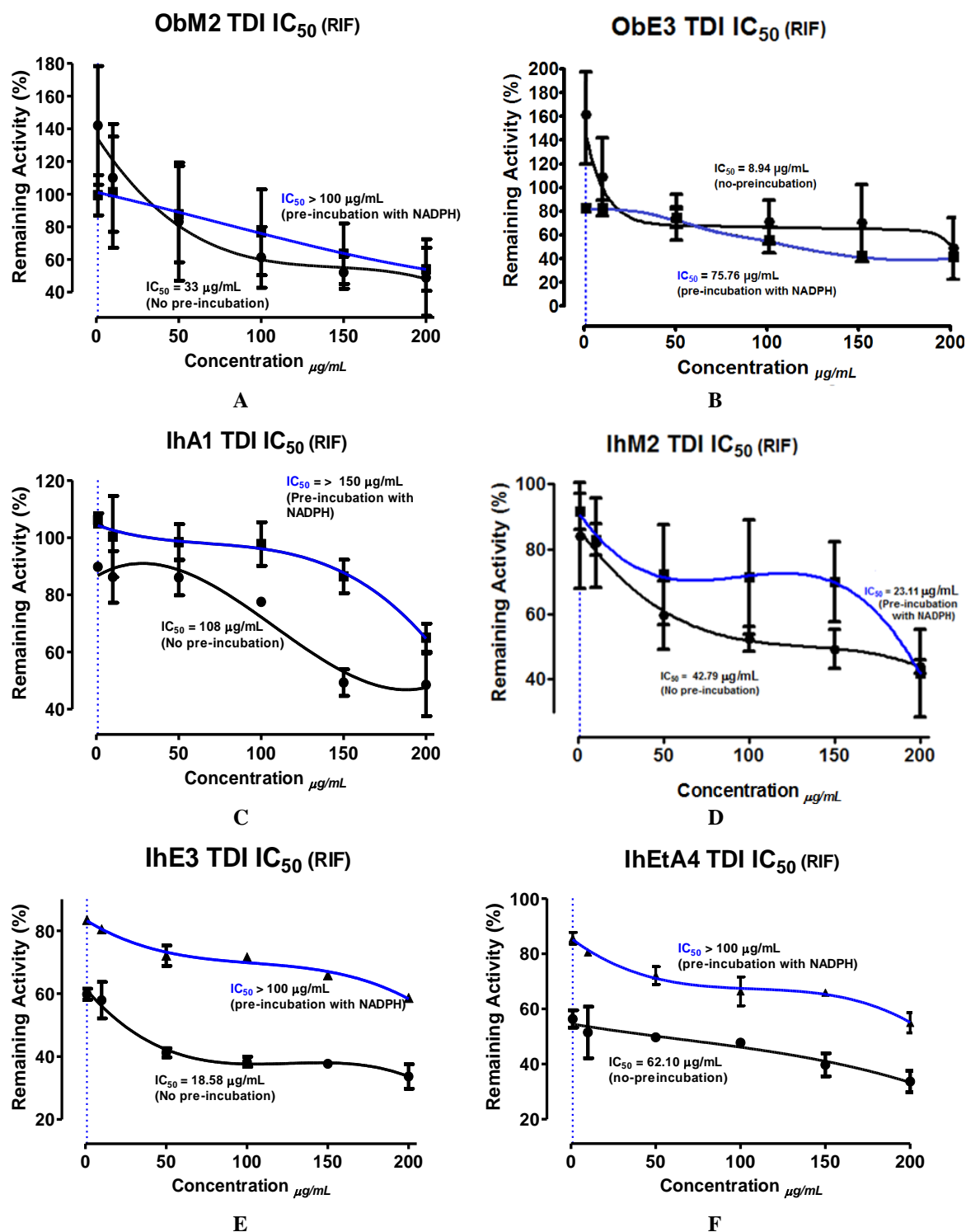




**Fig.29a** Statistical TDI plots of herbal extract concentration (with TDI IC<sub>50</sub>s) of A: WsM2 (98.93 µg/mL), B: WsEtA4 (94.87 µg/mL), C: AmE3 (113.20 µg/mL), D: AmEtA4 (101.00 µg/mL), E: ObA1 (33.35 µg/mL), F: ObM2 (4.93 µg/mL), G: IhA1 (40.37 µg/mL), H: IhM2 (33.00 µg/mL), I: IhE3 (66.48 µg/mL) and positive control J: TICL (9.63 µg/mL, 36.51 µM) against percentage remaining activity of CYP2B6 with EFV as the substrate. The IC<sub>50</sub> is calculated as log(X) against Y. The plot demonstrates the IC<sub>50</sub> (µM) calculated using non-linear regression (dose-response inhibition) v/s the actual IC<sub>50</sub> plot curve-fit.

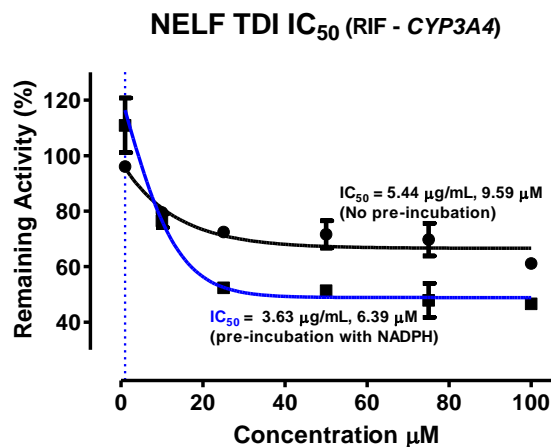
For the TDI screening of the extracts against rifampicin metabolism pathway, none of the extracts showed a trend for inhibiting the activity, on preincubation with NADPH, except for IhM2 where the IC<sub>50</sub> shifted from 42.79 to 23.11 µg/mL (Table. 11). Some of the extracts even had an IC<sub>50</sub> shift of > 100 µg/mL on preincubation with NADPH (Fig. 29b).

The ethyl acetate extract IhEtA4, showed an IC<sub>50</sub> value 62.10 µg/mL in the assay without pre-incubation of NADPH. For the TDI assay, the IC<sub>50</sub> shift observed for the extract was > 100 µg/mL (Fig. 29b, F).



**Fig.29b** Statistical TDI plots of herbal extract concentration (with TDI  $IC_{50}$ s) of A: ObM2 ( $>100 \mu\text{g/mL}$ ), B: OBE3 ( $75.76 \mu\text{g/mL}$ ), C: IhA1 ( $>150 \mu\text{g/mL}$ ), D: IhM2 ( $23.11 \mu\text{g/mL}$ ), E: IhE3 ( $>100 \mu\text{g/mL}$ ), F: IhEtA4 ( $62.10 \mu\text{g/mL}$ ) against percentage remaining activity of rifampicin metabolism. The  $IC_{50}$  is calculated as  $\log(X)$  against  $Y$ . The plot demonstrates the  $IC_{50}$  ( $\mu\text{M}$ ) calculated using non-linear regression (dose-response inhibition) % the actual  $IC_{50}$  plot curve-fit.

NELF showed clear TDI of the metabolism, where the  $IC_{50}$  value shifted from  $9.59 \mu M$  ( $5.44 \mu g/mL$ ) to  $6.39 \mu M$  ( $3.63 \mu g/mL$ ) (fig 29c).

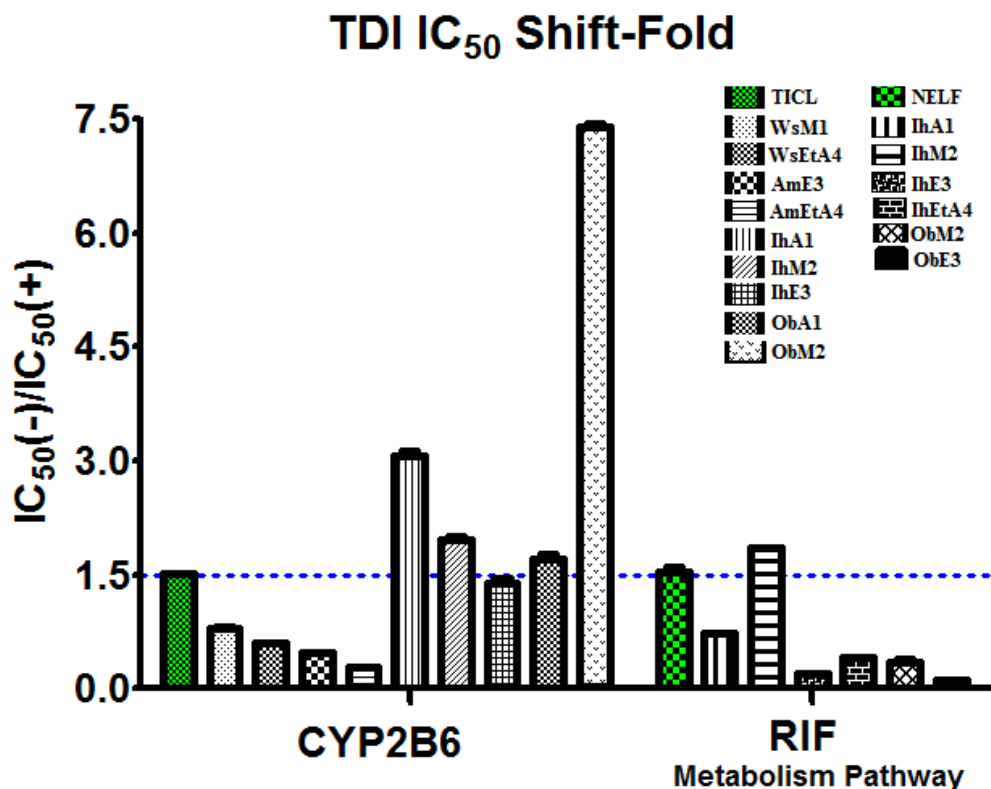


**Fig.29c** Statistical TDI plot of the positive control G: NELF (TDI  $IC_{50}$ =  $3.63 \mu g/mL$ ,  $6.39 \mu M$ ) against percentage remaining activity of rifampicin metabolism. The  $IC_{50}$  is calculated as  $\log(X)$  against  $Y$ . The plot demonstrates the  $IC_{50}$  ( $\mu M$ ) calculated using non-linear regression (dose-response inhibition)  $\%_s$  the actual  $IC_{50}$  plot curve-fit.

**Table [11]** TDI  $IC_{50}$  Shift-fold values of herbal extracts and positive controls.

Extract	Extract code	CYP/ Metabolism pathway	Determined $IC_{50}$ values $IC_{50}(-)(\mu g/mL)$	TDI $IC_{50}$ values $IC_{50}(+) (\mu g/mL)$	TDI fold-shift $IC_{50}(-)/IC_{50}(+)$
<i>Withania somnifera</i>	WsM1	CYP2B6	79.16	98.93	<1.5
	WsEtA4	CYP2B6	57.96	94.87	< 1.5
<i>Astragalus membranaceus</i>	AmE3	CYP2B6	53.37	113.20	< 1.5
	AmEtA4	CYP2B6	29.70	101.00	< 1.5
<i>Inula helenium</i>	IhA1	CYP2B6	122.00	40.37	> 1.5
	IhM2	CYP2B6	63.00	33.00	> 1.5
	IhE3	CYP2B6	89.43	66.48	<1.5
	IhA1	RIF metabolism	108.00	> 150	<1.5
	IhM2	RIF metabolism	42.79	23.11	>1.5
	IhE3	RIF metabolism	18.58	> 100	<1.5
	IhEtA4	RIF metabolism	62.10	> 100	<1.5
<i>Ocimum basilicum</i>	ObA1	CYP2B6	54.96	33.35	>1.5
	ObM2	CYP2B6	36.07	4.93	>1.5
	ObM2	RIF metabolism	31.00	> 100	<1.5

	ObE3	RIF metabolism	8.94	75.76	<1.5
Ticlopidine	TICL	CYP2B6	14.47 (54.88 $\mu$ M)	9.63 (36.51 $\mu$ M)	>1.5
Nelfinavir	NELF	RIF metabolism	5.44 (9.59 $\mu$ M)	3.63 (6.39 $\mu$ M)	>1.5



**Fig.30** Determination of TDI based on IC<sub>50</sub> shift-fold. Bars represent the ratio of IC<sub>50</sub> values for the co-incubation(-) to the preincubation (+) assays with NADPH, for the herbal extracts. TICL and NELF were positive controls for CYP2B6 and RIF metabolism pathway, respectively.

For the TDI determination, the IC<sub>50</sub> shift-fold was calculated as the ratio of the co-incubation IC<sub>50</sub>(-) to the pre-incubation IC<sub>50</sub>(+) with NADPH (Fig. 30). IhA1, IhM2, ObA1 and ObM2 showed positive TDI for CYP2B6. IhA1 showed 3-fold increase in the IC<sub>50</sub> against CYP2B6 and IhM2 had 2-fold shift, for rifampicin metabolism. ObM2 exhibited strong TDI on CYP2B6 with 7.4-fold increase in the IC<sub>50</sub>.

Both positive controls TICL and NELF showed clear TDI with the IC<sub>50</sub> shift-fold >1.5.

### 3.4 Phytochemical fingerprinting – Extracts inhibiting CYP activity

#### 3.4.1 LC-MS/ PDA analysis

Gallic acid and quercetin were used as reference standards for relative quantification of the identified acid compounds and non-acid compounds, respectively. Majority of the acid

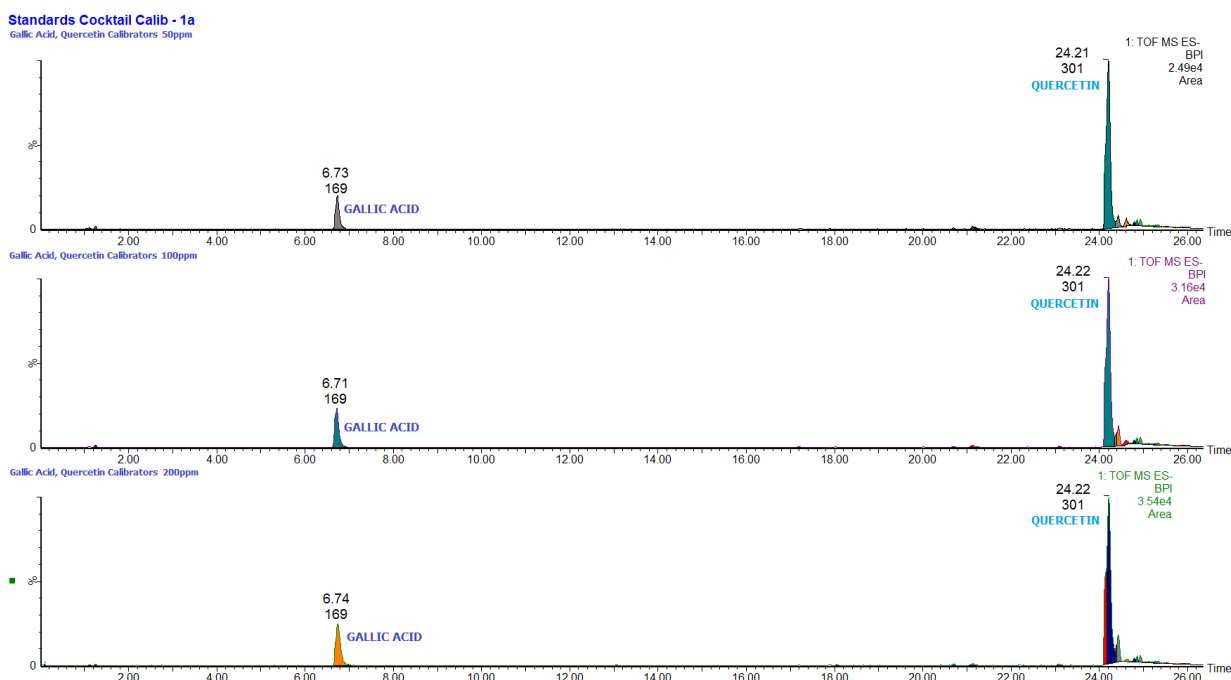


compounds were detected in negative scan mode and hence, gallic acid calibration was determined in negative scan mode (table 12, fig. 31).

The linearity characteristics of the LC-MS method for both standards are shown in Table 8.

**Table [12]** LC-MS analysis characteristics.

Reference Standard	Quercetin		Gallic Acid	
Retention time (min)	24.21		6.73	
[M-H] <sup>−</sup>	169.1001		301.1211	
Linearity range (mg/L)	200.000 – 6.250		200.000 – 6.250	
Linear Correlation Coefficient (R <sup>2</sup> )	- <sup>ve</sup> scan mode	0.9442	- <sup>ve</sup> scan mode	0.9700
	+ <sup>ve</sup> scan mode	0.9900		
UV range	Between 230 and 600 nm			



**Fig.31** Gallic acid and quercetin scans on LC-MS at 200.000, 100.000 and 50.000 mg/L injections.

All negative scans were performed for 29 min, while positive scans were performed for 15 min. The major peaks and their masses were used in the tentative identification of the phytochemicals based on database search,  $m/z$  transitions and the retention time match from literature.

For the negative mode extract scans, the following main findings were observed (for details, see table 13):

1. *Ocimum basilicum* (aqueous, methanol and ethanol) extracts (fig. 32 shows the chromatogram of the aqueous extract):

- The most prominent peak in all extracts of *O.basilicum* was the polyphenol - rosmarinic acid (fig. 33 a) with a retention time of 21.65 min at  $m/z$  359 at a PDA detection wavelength 329nm.
- Another prominent peak observed in all three extracts was the flavone salvigenin (5-Hydroxy-6,7,4'-trimethoxyflavone), at retention time 24.36 min at  $m/z$  327 with product ions at  $m/z$  116.9, 205, 215, 277 and 311 (fig. 33 b).
- Acids such as tartaric, isocitric, caftaric and chicoric acids were prominently observed in the aqueous extract at  $m/z$  149, 191, 311 and 473, at UV wavelengths 230 nm for the first two and 328-329 nm for the latter two compounds (fig. 33 c, d, e).
- The flavanoid rutin was another major peak observed only in the methanolic extract at  $m/z$  609 with products ions at  $m/z$  151, 255, 271, 300 and 301 (fig. 33 f).
- Apigenin-7-O-glucoside was observed in the ethanolic extract with a retention time 14.76 min and  $m/z$  431.
- Other compounds detected in the extracts included 12-hydroxyjasmonic acid (225  $m/z$ ), medioresinol (387  $m/z$ ), trans-Ocimene oxide (137  $m/z$ ) and eucommiol (187  $m/z$ ).

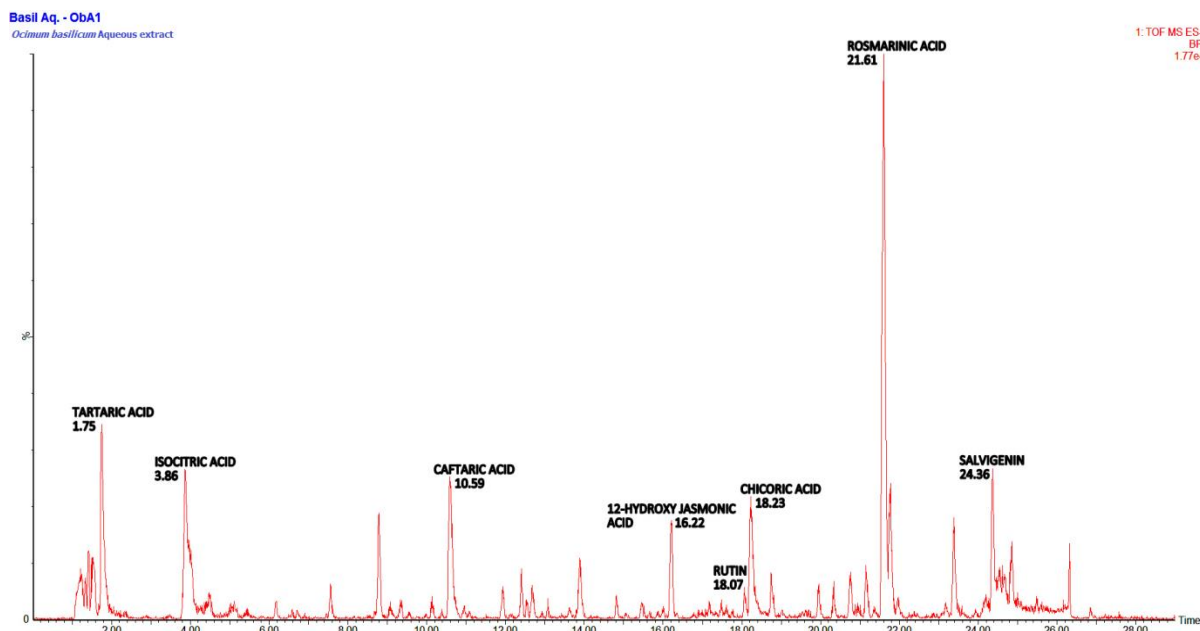
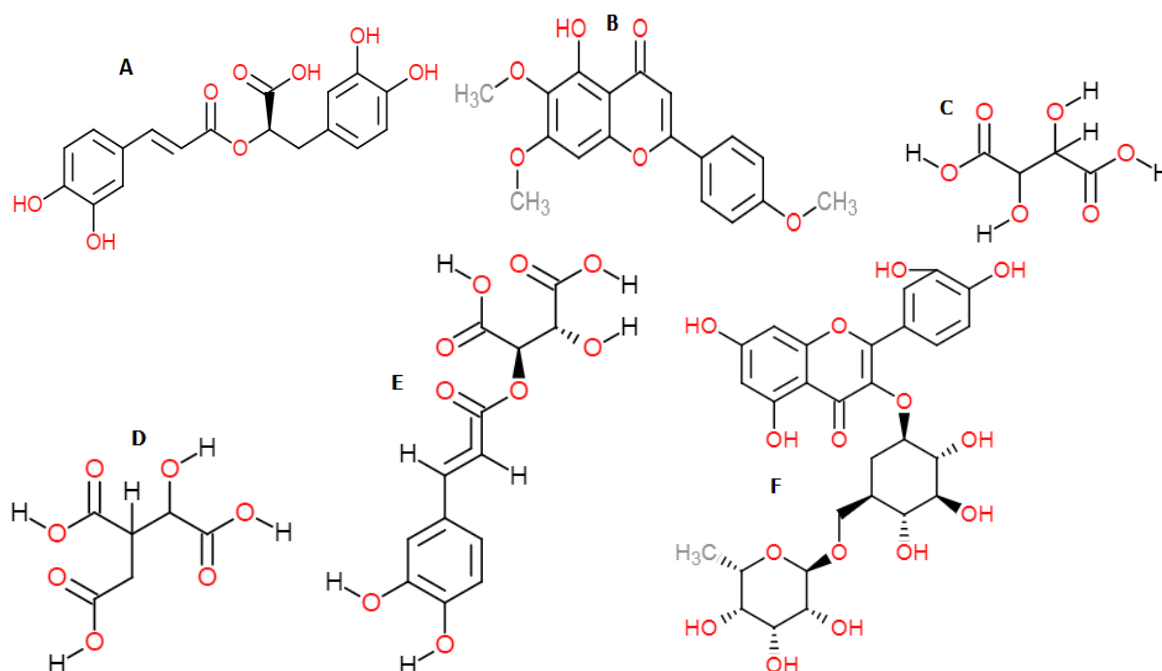


Fig. 32 LC-MS chromatogram of *O.basilicum* (aq. extract) in negative mode scan for 29 min.



**Fig. 33** Structures of some of the main compounds identified from crude herbal extracts of *O.basilicum*: (A) Rosmarinic acid; (B) Salvigenin; (C) Tartaric acid; (D) Isocitric acid; (E) Caftaric acid; (F) Rutin

2. *Inula helenium* (aqueous, methanol, ethanol and ethyl acetate) extracts (fig. 34 shows the ethanol extract chromatogram):

- The most prominent peak in all organic solvent extracts of *I.helenium* was identified as the sesquiterpenoid tanacetol A (2-acetoxy-11-hydroxy-1(10),4(15)-germacradien-5-one) (fig.35 a) with a retention time of 24.77 min at  $m/z$  293 at a UV detection wavelength 275 nm, and product ions at  $m/z$  87, 116 and 231.
- Macrophyllilactone B, which was previously reported in *I.helenium* extracts, was observed in all three organic solvent extracts at retention time 26.3 min at  $m/z$  265 with product ions at  $m/z$  112.98, 183 and 248.95 (fig. 35 b).
- The aqueous extract had a major peak identified as a dihexose sugar, at 1.79 min and  $m/z$  341.
- Three quinic acid derivatives – chlorogenic acid, cryptochlorogenic acid and 5-caffeoylquinic acid were observed in the aqueous extract (fig. 35 c, d, e) at  $m/z$  353 and distinguished based on the product ions as in table 11.
- Compounds such as isocitric acid and fukugetin were identified in the aqueous extract at  $m/z$  191 and 555, respectively.

- The polyphenol epigallocatechin gallate was present in all the extracts but most prominent in the methanolic extract at  $m/z$  457 and product ions at  $m/z$  112, 191, 209 and 371, at retention time 19.57 min.
- Chlorogenic acid derivative (quinic acid isomer) was observed in the methanol and ethanol extracts at  $m/z$  191, retention 12.71 min and daughter ions  $m/z$  85, 135, 161, 179 (Zhang et al., 2016), while the known compound 5 $\alpha$ -epoxyalantolactone was noticed only in the aqueous extract at  $m/z$  247 and product ions  $m/z$  115.92, 197, 221 and 233.

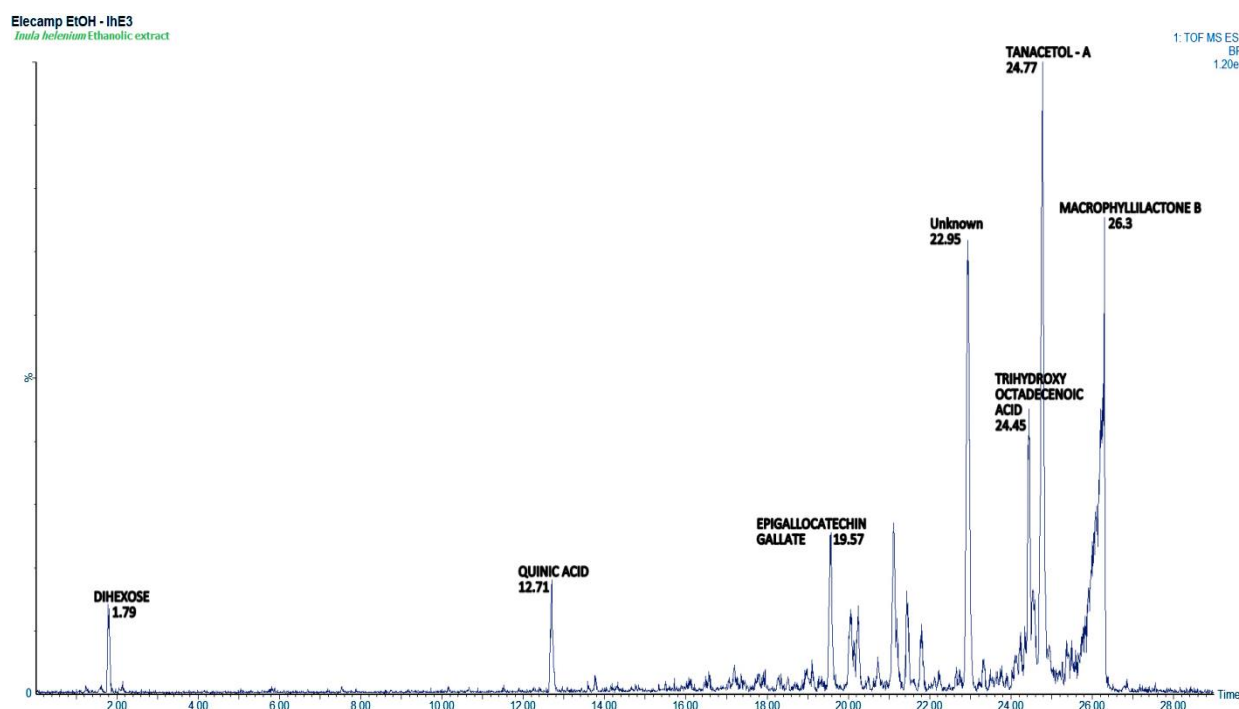
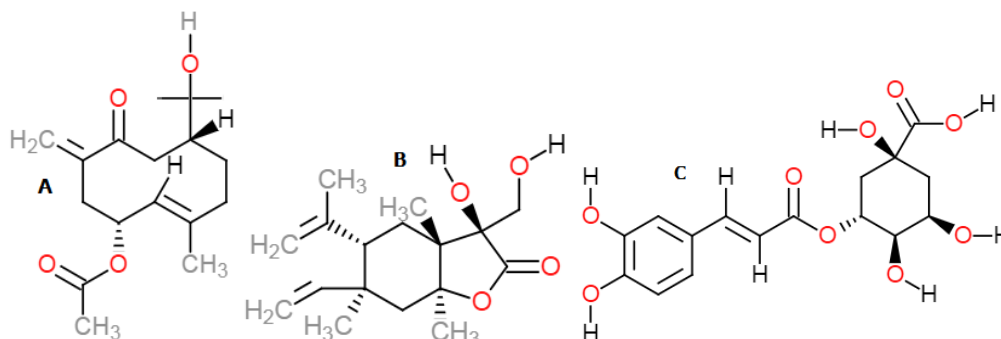
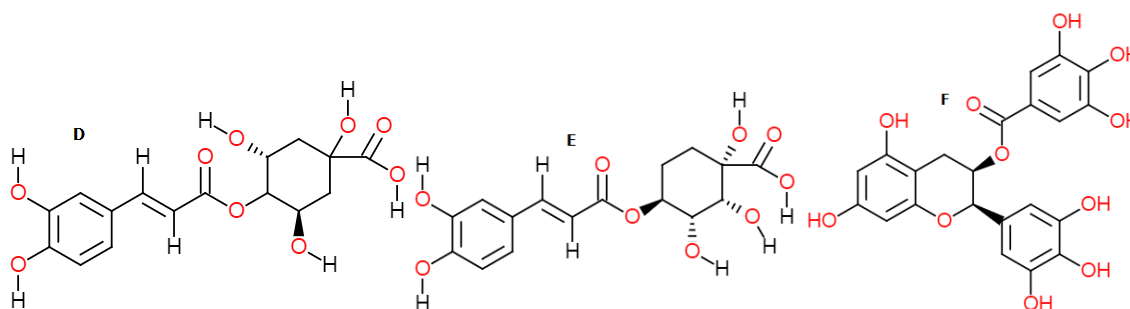


Fig. 34 LC-MS chromatogram of *I. helenium* (ethanol extract) in negative mode scan for 29 min.

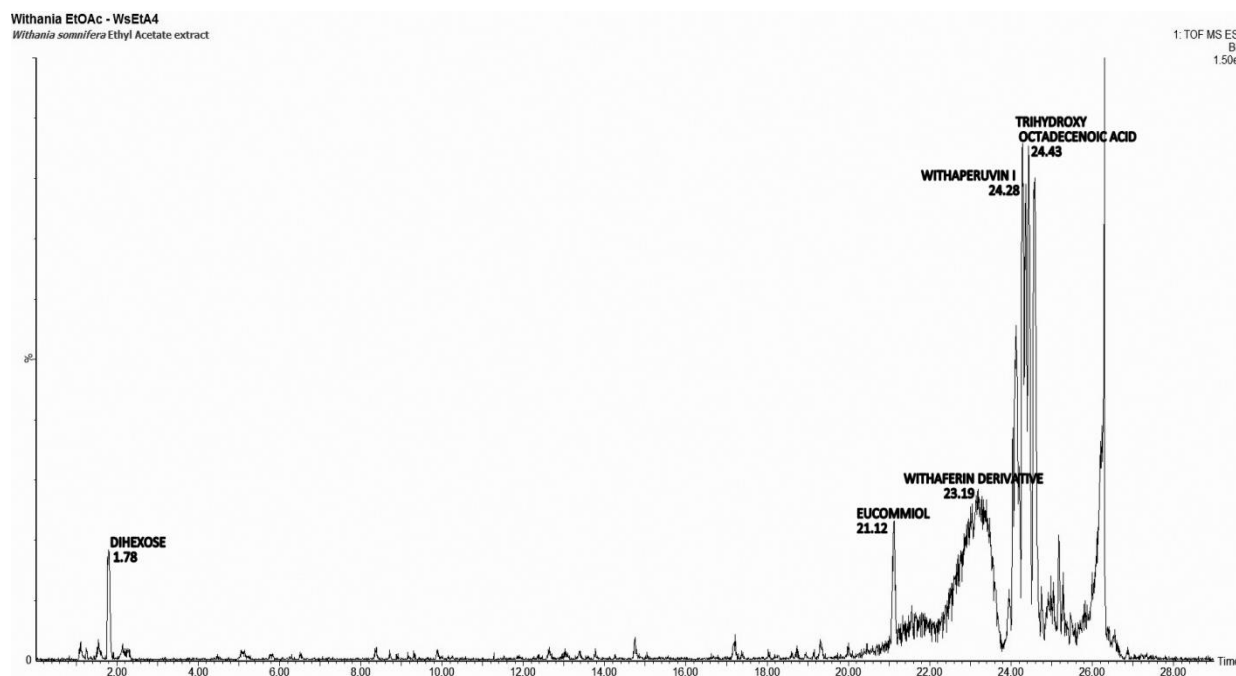




**Fig. 35** Structures of some of the main compounds identified from crude herbal extracts of *I. helenium*: (A) Tanacetol A; (B) Macrophyllilactone B; (C) Chlorogenic acid (D) Cryptochlorogenic acid; (E) 5-caffeoylquinic acid and; (F) Epigallocatechin gallate

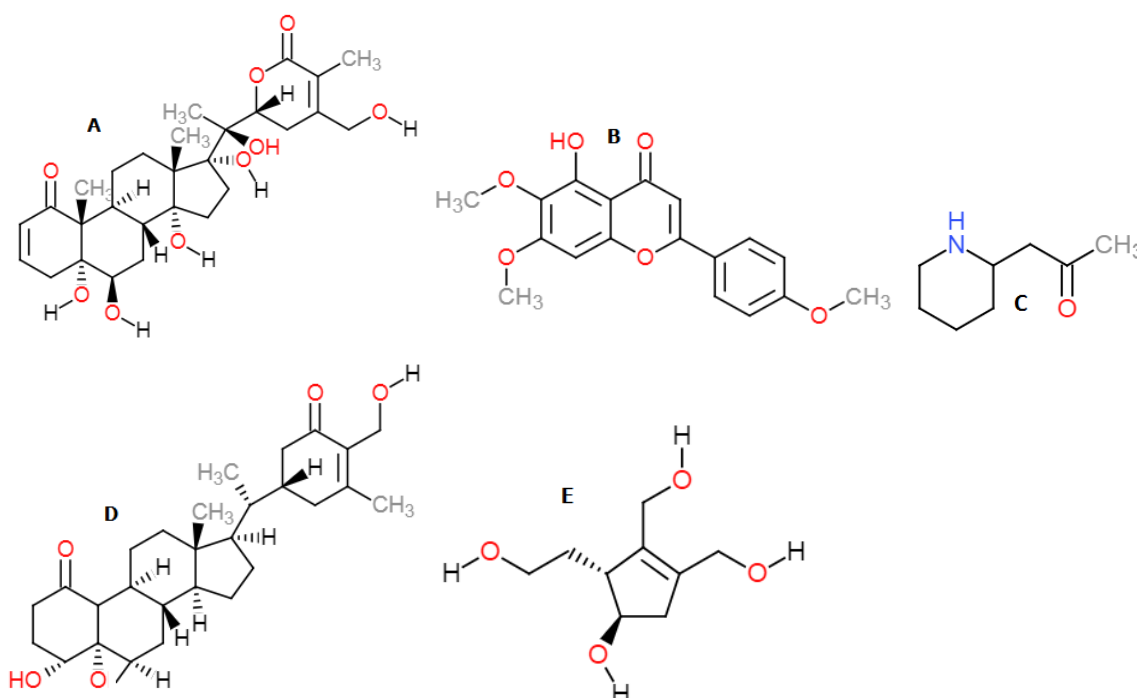
3. *Withania somnifera* (methanol and ethyl acetate) extracts (fig. 36 shows the chromatogram of the ethyl acetate extract):

- The most prominent peaks in the methanol extract of *W. somnifera* were observed at retention times 24.36 and 24.12 min at  $m/z$  811 and 827 respectively, at a UV detection wavelength 230 nm. The product ions for the main peak were  $m/z$  179, 329, 503, 765 and the same for the second major peak were  $m/z$  179, 312, 503, 653 and 781. These compounds did not match any of the previously identified compounds, but the molecular formula and the corresponding masses indicated that they could probably be withanoside derivatives or withanolide complexes.



**Fig. 36** LC-MS chromatogram of *W. somnifera* (ethyl acetate extract) in negative mode scan for 29 min.

- Salvigenin was observed in the methanol extract (fig. 37 b) at 24.57 min, while the alkaloid isopelletierine (1-pyridin-2-ylpropan-2-one) was observed at 2.11 min (fig. 37 c) with  $m/z$  133 and product ions  $m/z$  43, 71 and 93.
- The known compounds withaperuvins I and withaferin derivative, were observed in the ethyl acetate extract at 230 nm, with retention times 24.28 and 23.10 min, respectively, while the steroidal lactone dihydrowithaferin A (472  $m/z$ ) was observed in the aqueous extract at 13.09 min at 280 nm (fig. 37 d).
- Other peaks identified included the fatty acid trihydroxy octadecenoic acid ( $m/z$  329) and eucommiol (187  $m/z$ ) at retention times 24.43 and 21.12 min (fig. 37 e) respectively.

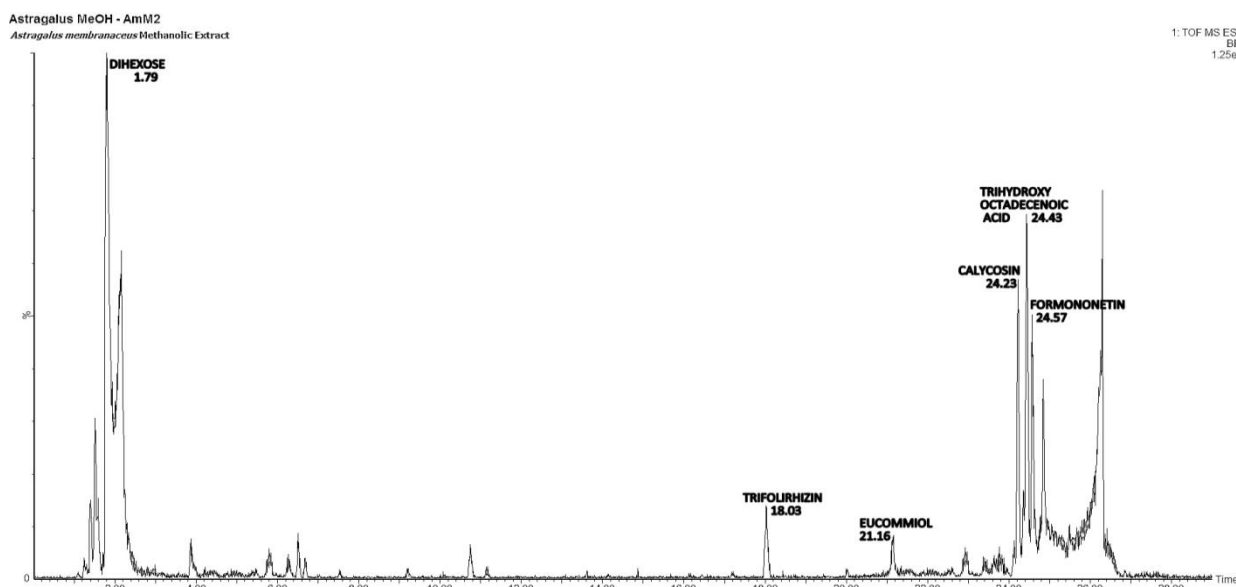


**Fig. 37** Structures of some of the main compounds identified from crude herbal extracts of *W.somnifera*: (A) Withaperuvins I; (B) Salvigenin; (C) Isopelletierine; (D) Dihydrowithaferin A; (E) Eucommiol.

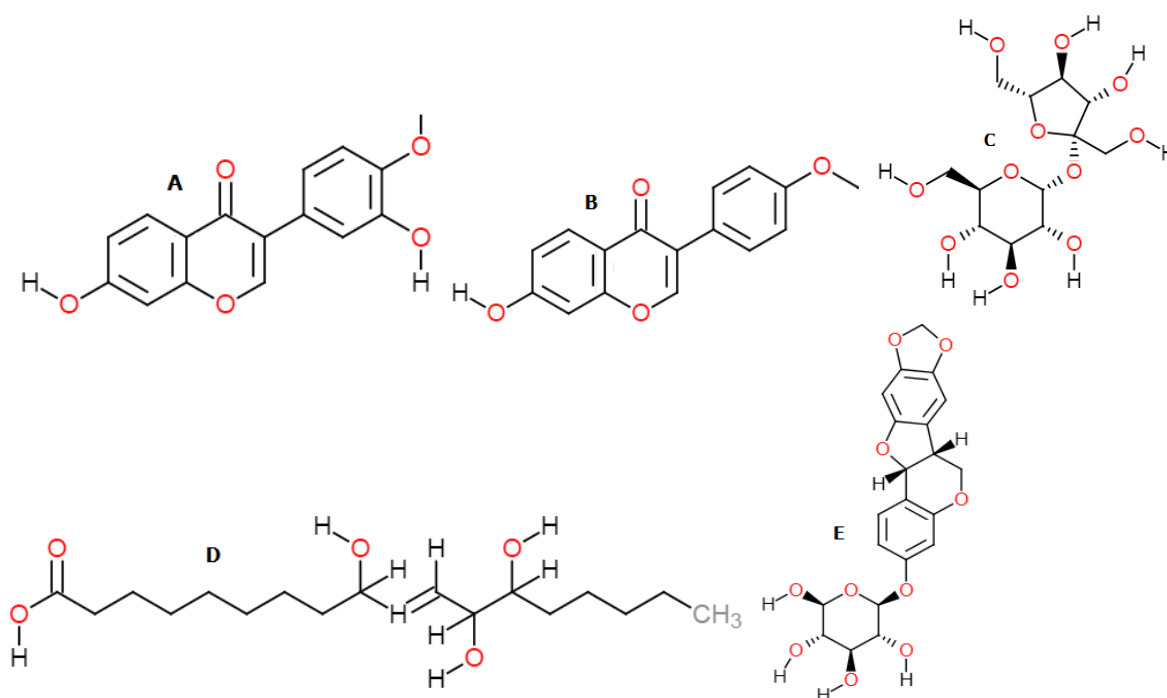
4. *Astragalus membranaceus* (methanol, ethanol and ethyl acetate) extracts (fig. 38 illustrates the chromatogram of the methanol extract):

- Prominent peaks in all the extracts of *A.membranaceus* were identified as the isoflavonoids calycosin (7,3'-dihydroxy-4'-methoxyisoflavone) and formononetin (7-hydroxy-4'-methoxyisoflavone) and observed at retention times 24.22 and 24.57 min with  $m/z$  283 and 267, respectively, at a UV detection wavelength 230 nm (fig. 39 a, b). The product ions for calycosin were  $m/z$  136, 196, 211, 240, 269 and the same for formononetin were  $m/z$  115, 117, 196, 207 and 236.

- A dihexose sugar (341  $m/z$ ) was observed in the methanol and ethanol extracts at 1.79 min, with product ions identified at  $m/z$  89, 101, 119, 161 and 179.
- The fatty acid trihydroxy octadecenoic acid (329  $m/z$ ) was observed at 24.43 min in all extracts, with product ions  $m/z$  116.92, 171, 211 and 258 (fig. 39 d).
- Other minor peaks included the compounds trifolirhizin (491  $m/z$ ) and eucommiol (187  $m/z$ ).



**Fig. 38** LC-MS chromatogram of *A.membranaceus* (methanol extract) in negative mode scan for 29 min.



**Fig. 39** Structures of some of the main compounds identified from crude herbal extracts of *A.membranaceus*: (A) Calycosin; (B) Formononetin; (C) Example of a dihexose sugar structure; (D) Trihydroxy octadecenoic acid; (E) Trifolirhizin.



**Table [13]** Compounds detected in *O.basilicum*, *I.helenium*, *W.somnifera* and *A.membranaceus* extracts in negative scan mode in LC-MS/ PDA.

SL NO.	RT (MIN)	M-H	[M-H] <sup>-</sup>	MS/MS <sup>c</sup>	TENTATIVE ID <sup>#</sup>	COMPOUND NATURE
<b>ObA1</b>						
1	21.65	359.0736	C <sub>18</sub> H <sub>15</sub> O <sub>8</sub>	161, 133, 135, 179, 197	Rosmarinic acid	Phenolic acid
2	1.75	149.0076	C <sub>4</sub> H <sub>5</sub> O <sub>6</sub>	113, 130.997, 141	Tartaric acid	Organic acid
3	3.86	191.0175	C <sub>6</sub> H <sub>7</sub> O <sub>7</sub>	111, 129, 173	Isocitric acid	Citric acid
4	24.36	327.2150	C <sub>18</sub> H <sub>15</sub> O <sub>6</sub>	116.9, 205, 215, 277, 311	Salvigenin (5-Hydroxy-6,7,4'-trimethoxyflavone)	Flavones
5	10.59	311.0392	C <sub>13</sub> H <sub>11</sub> O <sub>9</sub>	135, 149, 179, 311	Caftaric acid (Caffeoyl-tartaric acid)	Non-flavanoid phenolic
8	16.22	225.1110	C <sub>12</sub> H <sub>17</sub> O <sub>4</sub>	112, 135, 161, 195, 203, 216	12-hydroxyjasmonic acid	Carboxylic acid
6	18.23	473.0708	C <sub>22</sub> H <sub>17</sub> O <sub>12</sub>	135, 149, 179, 293, 311	Chicoric acid (dicaffeoyl-tartaric acid)	Hydroxycinnamic acid
7	23.38	717.1448	C <sub>36</sub> H <sub>29</sub> O <sub>16</sub>	243, 343, 519	Unknown	–
<b>ObM2</b>						
1	21.61	359.0761	C <sub>18</sub> H <sub>15</sub> O <sub>8</sub>	161, 133, 135, 179, 197	Rosmarinic acid	Phenolic acid
2	1.79	341.1076	C <sub>12</sub> H <sub>21</sub> O <sub>11</sub>	89, 173	Dihexose	Sugar
3	24.36	327.2150	C <sub>18</sub> H <sub>15</sub> O <sub>6</sub>	116.9, 205, 215, 277, 311	Salvigenin (5-Hydroxy-6,7,4'-trimethoxyflavone)	Flavones
4	18.09	609.1499	C <sub>27</sub> H <sub>29</sub> O <sub>16</sub>	151, 255, 271, 300, 301	Rutin (Quercetin-hexoside-rhamnoside)	Flavonoid
5	14.45	387.1648	C <sub>21</sub> H <sub>23</sub> O <sub>7</sub>	59, 119, 207, 300	Medioresinol	Furanoid lignin
6	18.74	463.0882	C <sub>21</sub> H <sub>19</sub> O <sub>12</sub>	89, 151, 255, 271, 300	Isoquercetin (Quercetin-hexoside)	Flavonoid
7	23.38	717.1450	C <sub>36</sub> H <sub>29</sub> O <sub>16</sub>	243, 343, 519	Unknown	–
8	20.99	137.1212	C <sub>9</sub> H <sub>13</sub> O	93, 121	trans-Ocimene oxide	Monoterpenes
<b>ObE3</b>						
1	21.6	359.0758	C <sub>18</sub> H <sub>15</sub> O <sub>8</sub>	161, 133, 135, 179, 197	Rosmarinic acid	Phenolic acid
2	9.35	153.4201	C <sub>10</sub> H <sub>17</sub> O	79, 93, 121, 136	Linalool (2,6-Dimethyl-2,7-octadien-6-ol; allo-Ocimeneol)	Terpene alcohol
3	24.36	327.2150	C <sub>18</sub> H <sub>15</sub> O <sub>6</sub>	116.9, 205, 215, 277, 311	Salvigenin (5-Hydroxy-6,7,4'-trimethoxyflavone)	Flavone
4	14.76	431.1907	C <sub>21</sub> H <sub>19</sub> O <sub>10</sub>	153, 205, 269, 354, 385	Apigenin-7-O-glucoside	Flavonoid glycoside
5	13.82	179.0330	C <sub>9</sub> H <sub>7</sub> O <sub>4</sub>	135	Caffeic acid	Hydroxycinnamic acid
6	24.43	329.2310	C <sub>18</sub> H <sub>33</sub> O <sub>5</sub>	171, 211	Trihydroxy octadecenoic acid	Fatty acid
7	21.15	187.0958	C <sub>9</sub> H <sub>15</sub> O <sub>4</sub>	125, 141, 169	Eucommiol	Cyclopentene dimethanol
8	16.19	225.1113	C <sub>12</sub> H <sub>17</sub> O <sub>4</sub>	112, 135, 161, 195, 203, 216	12-hydroxyjasmonic acid	Carboxylic acid
9	18.71	463.0874	C <sub>21</sub> H <sub>19</sub> O <sub>12</sub>	89, 151, 255, 271, 300	Isoquercetin (Quercetin-hexoside)	Flavonoid
<b>IhA1</b>						
1	1.79	341.1082	C <sub>12</sub> H <sub>21</sub> O <sub>11</sub>	89, 179, 267	Dihexose	Sugar
2	1.71	317.0541	C <sub>18</sub> H <sub>23</sub> O <sub>5</sub>	80, 96, 164, 225	10-isobutyryloxy-8,9-epoxythymol isobutyrate	Isobutyrate
3	3.88	191.0173	C <sub>6</sub> H <sub>7</sub> O <sub>7</sub>	111, 129, 173	Isocitric acid	Citric acid
4	26.30	555.2842	C <sub>27</sub> H <sub>37</sub> O <sub>10</sub>	112.98, 180.97, 248, 316, 384.9	(E)-Fukugetin	Flavonoid
5	1.33	173.1028	C <sub>9</sub> H <sub>17</sub> O <sub>3</sub>	131	Unknown	–
6	10.50	353.0718	C <sub>16</sub> H <sub>17</sub> O <sub>9</sub>	179, 191	Chlorogenic Acid (3-Caffeoylquinic acid)	Polyphenols/flavonoids

7	13.12	353.0862	C <sub>16</sub> H <sub>17</sub> O <sub>9</sub>	173, 179, 191	<b>Cryptochlorogenic Acid (4-Caffeoylquinic acid)</b>	Polyphenols/flavonoids
8	12.72	353.0858	C <sub>16</sub> H <sub>17</sub> O <sub>9</sub>	191	<b>5-Caffeoylquinic acid</b>	Polyphenols/flavonoids
9	5.90	827.2750	C <sub>41</sub> H <sub>47</sub> O <sub>18</sub>	89, 179, 341, 503, 665	<i>Unknown</i>	–
10	19.59	457.2078	C <sub>30</sub> H <sub>40</sub> O <sub>4</sub>	112, 191, 209, 371	<b>Epigallocatechin gallate</b>	Polyphenols/flavonoids
11	24.53	233.1176	C <sub>14</sub> H <sub>17</sub> O <sub>3</sub> , C <sub>10</sub> H <sub>17</sub> O <sub>6</sub>	116, 161, 213	<i>Unknown</i>	–
12	24.87	247.1327	C <sub>15</sub> H <sub>19</sub> O <sub>3</sub>	115.92, 197, 221, 233	<b>5alpha-Epoxyalantolactone</b>	Lactone
<b>IhM2</b>						
1	24.77	293.1378	C <sub>17</sub> H <sub>25</sub> O <sub>4</sub>	87, 116, 231	<b>Tanacetol A (2-Acetoxy-11-hydroxy-1(10),4(15)-germacradien-5-one)</b>	Germacrene sesquiterpenoids
2	24.44	329.2317	C <sub>18</sub> H <sub>33</sub> O <sub>5</sub>	116, 171, 239	<b>Trihydroxy octadecenoic acid</b>	Fatty acid
3	22.95	239.1279	C <sub>13</sub> H <sub>19</sub> O <sub>4</sub>	161, 177	<i>Unknown</i>	–
4	19.56	457.2078	C <sub>22</sub> H <sub>17</sub> O <sub>11</sub>	112, 191, 209, 371	<b>Epigallocatechin Gallate</b>	Polyphenols/flavonoids
5	24.22	693.4105	C <sub>45</sub> H <sub>57</sub> O <sub>6</sub>	114, 201, 346	<i>Unknown</i>	–
6	26.30	265.1459	C <sub>15</sub> H <sub>22</sub> O <sub>4</sub>	112, 180	<b>Macrophyllilactone B</b>	Lactone
7	21.09	187.0958	C <sub>9</sub> H <sub>15</sub> O <sub>4</sub>	113, 123, 143, 170	<i>Unknown</i>	–
8	12.71	191.0552	C <sub>7</sub> H <sub>11</sub> O <sub>6</sub>	85, 135, 161, 179	<b>Chlorogenic acid derivative</b>	Polyphenols
<b>IhE3</b>						
1	24.77	293.1382	C <sub>17</sub> H <sub>25</sub> O <sub>4</sub>	87, 116, 231	<b>Tanacetol A (2-Acetoxy-11-hydroxy-1(10),4(15)-germacradien-5-one)</b>	Germacrene sesquiterpenoids
2	26.30	265.1458	C <sub>15</sub> H <sub>22</sub> O <sub>4</sub>	112.98, 183, 248.95	<b>Macrophyllilactone B</b>	Lactone
3	22.95	239.1271	C <sub>13</sub> H <sub>19</sub> O <sub>4</sub>	97, 161, 177	<i>Unknown</i>	–
4	24.45	329.2312	C <sub>18</sub> H <sub>33</sub> O <sub>5</sub>	59, 116, 171, 187, 239	<b>Trihydroxy octadecenoic acid</b>	Fatty acid
5	21.11	187.0959	C <sub>9</sub> H <sub>15</sub> O <sub>4</sub>	113, 123, 143, 170	<i>Unknown</i>	–
6	19.57	457.2078	C <sub>22</sub> H <sub>17</sub> O <sub>11</sub>	112, 191, 209, 371	<b>Epigallocatechin Gallate</b>	Polyphenols/flavonoids
7	21.43	225.1149	C <sub>11</sub> H <sub>13</sub> O <sub>5</sub>	125, 163, 169	<b>Trimethoxybenzoic acid methyl ester</b>	Acid ester
8	12.71	191.0535	C <sub>7</sub> H <sub>11</sub> O <sub>6</sub>	85, 135, 161, 179	<b>Chlorogenic acid derivative</b>	Polyphenols
<b>IhEtA4</b>						
1	24.77	293.1382	C <sub>17</sub> H <sub>25</sub> O <sub>4</sub>	87,116, 231	<b>Tanacetol A (2-Acetoxy-11-hydroxy-1(10),4(15)-germacradien-5-one)</b>	Germacrene sesquiterpenoids
2	26.30	265.1459	C <sub>15</sub> H <sub>22</sub> O <sub>4</sub>	112.98, 183, 248.95	<b>Macrophyllilactone B</b>	Lactone
3	22.96	239.1271	C <sub>13</sub> H <sub>19</sub> O <sub>4</sub>	97,161, 177	<i>Unknown</i>	–
4	24.43	329.2318	C <sub>18</sub> H <sub>33</sub> O <sub>5</sub>	59, 116,171,187, 239	<b>Trihydroxy octadecenoic acid</b>	Fatty acid
5	21.13	187.0959	C <sub>9</sub> H <sub>15</sub> O <sub>4</sub>	113, 123, 143, 170	<i>Unknown</i>	–
6	19.58	457.2078	C <sub>22</sub> H <sub>17</sub> O <sub>11</sub>	112, 191, 209, 371	<b>Epigallocatechin Gallate</b>	Polyphenols/flavonoids
7	21.45	225.1149	C <sub>11</sub> H <sub>13</sub> O <sub>5</sub>	125,163,169	<b>Trimethoxy benzoic acid methyl ester</b>	Acid ester
8	20.06	239.1273	C <sub>13</sub> H <sub>19</sub> O <sub>4</sub>	125,161,177,193	<i>Unknown</i>	–
<b>WsM2</b>						
1	24.36	811.4113	C <sub>51</sub> H <sub>89</sub> O <sub>7</sub>	179, 329, 503, 765	<i>Unknown</i>	–
2	24.12	827.4061	C <sub>41</sub> H <sub>63</sub> O <sub>17</sub> , C <sub>48</sub> H <sub>59</sub> O <sub>12</sub>	179, 312, 503, 653, 781	<i>Unknown</i>	–
3	24.57	327.2144	C <sub>18</sub> H <sub>15</sub> O <sub>6</sub>	116.9, 205, 215, 277, 311	<b>Salvigenin (5-Hydroxy-6,7,4'-trimethoxyflavone)</b>	Flavone
4	1.81	341.1064	C <sub>12</sub> H <sub>21</sub> O <sub>11</sub>	89, 179, 267	<b>Dihexose</b>	Sugar
5	21.68	1093.5665	C <sub>56</sub> H <sub>81</sub> O <sub>25</sub>	341, 763, 932	<b>Pentaglycoside complex<sup>?</sup></b>	Glycoside

6	2.11	133.0129	C <sub>8</sub> H <sub>7</sub> NO	43, 71, 93	Isopelletierine (1-Pyridin-2-ylpropan-2-one)	Alkaloid
7	13.09	472.0012	C <sub>28</sub> H <sub>39</sub> O <sub>6</sub>	186, 308, 350	Withaferin A, dihydro-(2,3-Dihydrowithaferin-A)	Steroidal Lactone
<b>WsEtA4</b>						
1	24.28	533.2756	C <sub>29</sub> H <sub>41</sub> O <sub>9</sub>	89, 179, 327	Withaperuvine I	Withanolide
2	24.36	811.4103	C <sub>51</sub> H <sub>89</sub> O <sub>7</sub>	179, 329, 503, 765	Unknown	–
3	24.43	329.2314	C <sub>18</sub> H <sub>33</sub> O <sub>5</sub>	116.92, 171	Trihydroxy octadecenoic acid	Fatty acid
4	23.19	567.2249	C <sub>34</sub> H <sub>50</sub> NO <sub>6</sub>	96, 179, 341, 503	Withaferin derivative (Withaferin deriv GG-AM-4)	Steroidal Lactone
5	21.12	187.0954	C <sub>9</sub> H <sub>15</sub> O <sub>4</sub>	125, 141, 169	Eucommiol	Cyclopentene dimethanol
<b>AmM2</b>						
1	1.79	341.1072	C <sub>12</sub> H <sub>21</sub> O <sub>11</sub>	89, 101, 119, 161, 179	Dihexose	Sugar
2	24.43	329.2312	C <sub>18</sub> H <sub>33</sub> O <sub>5</sub>	116.92, 171, 211, 258	Trihydroxy octadecenoic acid	Fatty acid
3	24.23	283.0591	C <sub>16</sub> H <sub>11</sub> O <sub>5</sub>	136, 196, 211, 240, 269	Calycosin (7,3'-dihydroxy-4'-methoxyisoflavone)	Isoflavone
4	24.57	267.0653	C <sub>16</sub> H <sub>11</sub> O <sub>4</sub>	115, 117, 196, 207, 236	Formononetin (7-Hydroxy-4'-methoxyisoflavone)	Isoflavone
5	23.23	567.2245	C <sub>28</sub> H <sub>39</sub> O <sub>10</sub> S	96, 179, 341, 503	Unknown	–
6	18.03	491.1185	C <sub>22</sub> H <sub>22</sub> O <sub>10</sub>	233, 255, 268	Trifolirhizin ( (-)-Maackiain-3-Oglucoside)	Flavonoid
7	6.52	383.1220	C <sub>14</sub> H <sub>23</sub> O <sub>12</sub>	156, 323	Unknown	–
8	21.16	187.0963	C <sub>9</sub> H <sub>15</sub> O <sub>4</sub>	125, 141, 169	Eucommiol	Cyclopentene dimethanol
<b>AmE3</b>						
1	24.22	283.0591	C <sub>16</sub> H <sub>11</sub> O <sub>5</sub>	136, 196, 211, 240, 269	Calycosin (7,3'-dihydroxy-4'-methoxyisoflavone)	Isoflavone
2	24.57	267.0653	C <sub>16</sub> H <sub>11</sub> O <sub>4</sub>	115, 117, 196, 207, 236	Formononetin (7-Hydroxy-4'-methoxyisoflavone)	Isoflavone
3	1.80	341.1072	C <sub>12</sub> H <sub>21</sub> O <sub>11</sub>	89, 101, 119, 161, 179	Dihexose	Sugar
4	24.43	329.2322	C <sub>18</sub> H <sub>33</sub> O <sub>5</sub>	116.92, 171, 211, 258	Trihydroxy octadecenoic acid	Fatty acid
5	18.02	491.1198	C <sub>22</sub> H <sub>22</sub> O <sub>10</sub>	233, 255, 268	Trifolirhizin ( (-)-Maackiain-3-Oglucoside)	Flavonoid
6	23.01	475.1220	C <sub>23</sub> H <sub>23</sub> O <sub>11</sub>	267, 343	Unknown	–
7	21.12	187.0936	C <sub>9</sub> H <sub>15</sub> O <sub>4</sub>	125, 141, 169	Eucommiol	Cyclopentene dimethanol
<b>AmEtA4</b>						
1	24.22	283.0591	C <sub>16</sub> H <sub>11</sub> O <sub>5</sub>	136, 196, 211, 240, 269	Calycosin (7,3'-dihydroxy-4'-methoxyisoflavone)	Isoflavone
2	24.57	267.0367	C <sub>16</sub> H <sub>11</sub> O <sub>4</sub>	115, 117, 196, 207, 236	Formononetin (7-Hydroxy-4'-methoxyisoflavone)	Isoflavone
3	24.43	329.2317	C <sub>18</sub> H <sub>33</sub> O <sub>5</sub>	116.92, 171, 211, 258	Trihydroxy octadecenoic acid	Fatty acid
4	24.83	311.2206	C <sub>18</sub> H <sub>31</sub> O <sub>4</sub>	117, 183, 223	Unknown	–
5	24.89	913.4787	C <sub>46</sub> H <sub>73</sub> O <sub>18</sub>	311, 402, 708	Unknown	–
<b>REFERENCES</b> – Stander et al., 2017; Said et al., 2017; Chen et al., 2017; Chang et al., 2016; Chen et al., 2016; Zhang et al., 2016; Liu et al., 2016; Simirgiotis et al., 2015; Du et al., 2014; Kuo et al., 2014; Zhang et al., 2013; Mena et al., 2012; Wang et al., 2012; Čejchanová, 2011; Hossain et al., 2010. <a href="https://www.ncbi.nlm.nih.gov/pccompound">https://www.ncbi.nlm.nih.gov/pccompound</a> ; <a href="https://massbank.eu/MassBank/">https://massbank.eu/MassBank/</a> ; <a href="https://metlin.scripps.edu/">https://metlin.scripps.edu/</a> ; <a href="https://webbook.nist.gov/chemistry/mw-ser/">https://webbook.nist.gov/chemistry/mw-ser/</a>						

For the positive mode extract scans, the following observations were noted (details summarized in table 14):

1. *Ocimum basilicum* (aqueous, methanol and ethanol) extracts (fig. 40 shows the chromatogram of the ethanol extract):

- The most prominent peak in the methanol and ethanolic extracts of *O.basilicum* was salvigenin (5-Hydroxy-6,7,4'-trimethoxyflavone) with a retention time of 7.32 min at  $m/z$  329 at a PDA detection wavelength 230 nm.
- Pyridine carboxylic acid esters (fig. 41 b) were identified in all extracts at  $m/z$  398 and product ions at  $m/z$  149, 240 and 266.
- Other compounds include the flavone eupatorin (3',5-dihydroxy-4',6,7-trimethoxyflavone, 345  $m/z$ ) (fig. 41 a) and the aromatic lactone furan-2(3H)-one complex (311  $m/z$ ) at retention times 6.42 and 6.91 min, respectively.

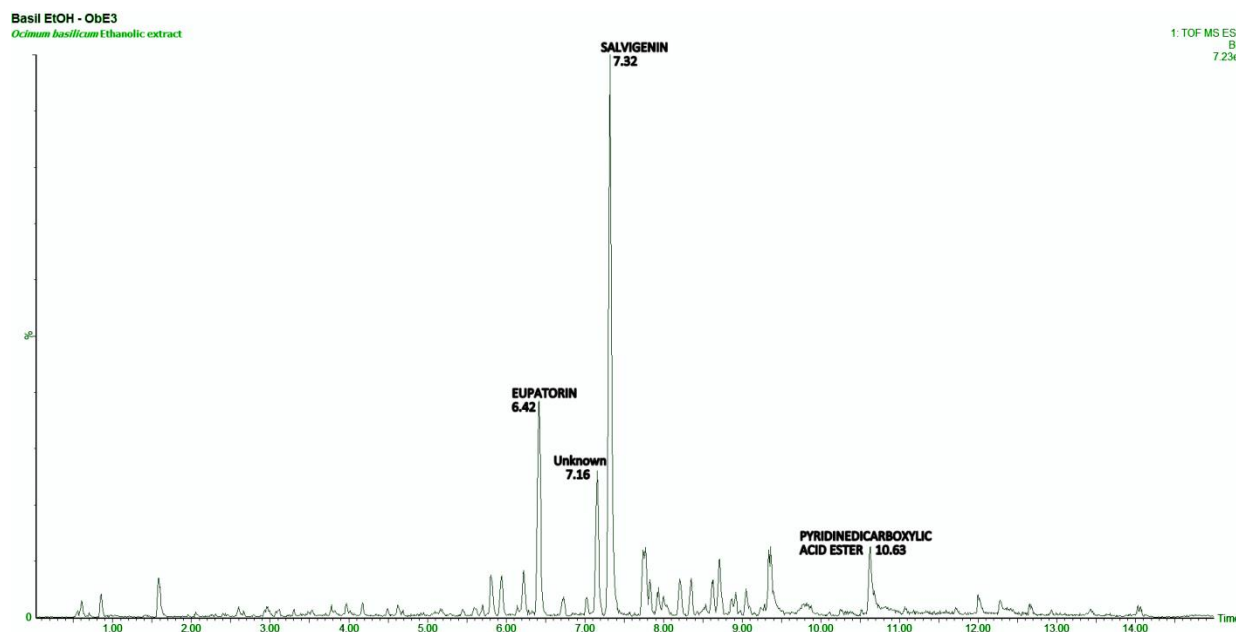


Fig. 40 LC-MS chromatogram of *O.basilicum* (ethanol extract) in positive mode scan for 15 min.

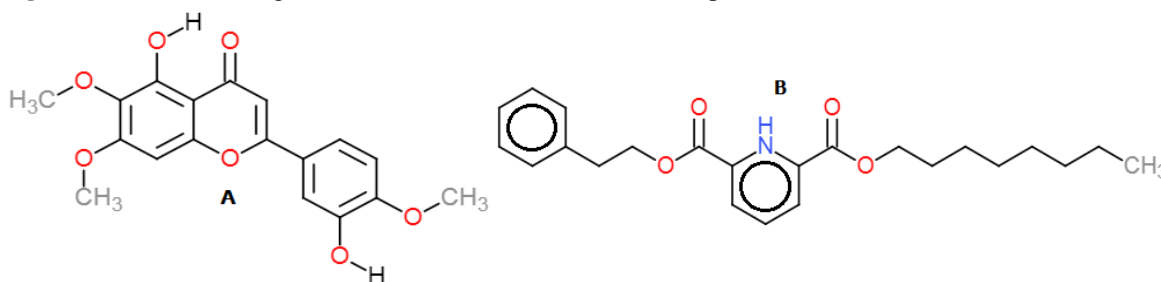
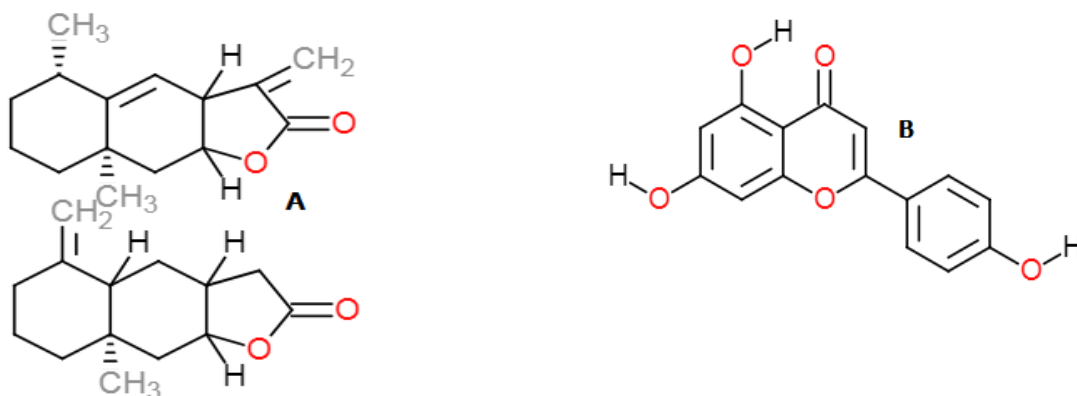


Fig. 41 Structures of some of the main compounds identified from crude herbal extracts of *O.basilicum* (positive mode): (A) Eupatorin; (B) Pyridine carboxylic acid ester.

## 2. *Inula helenium* (aqueous, methanol, ethanol and ethyl acetate) extracts:

- The most prominent peak observed in all the organic solvent extracts of *I.helenium* was the known sesquiterpene lactone helenin (isoalantolactone) with a retention time of 8.33 min at  $m/z$  465 at a PDA detection wavelength 230 nm (fig. 42 a). The product ions were noted at  $m/z$  105, 151, 187, 215 and 233.

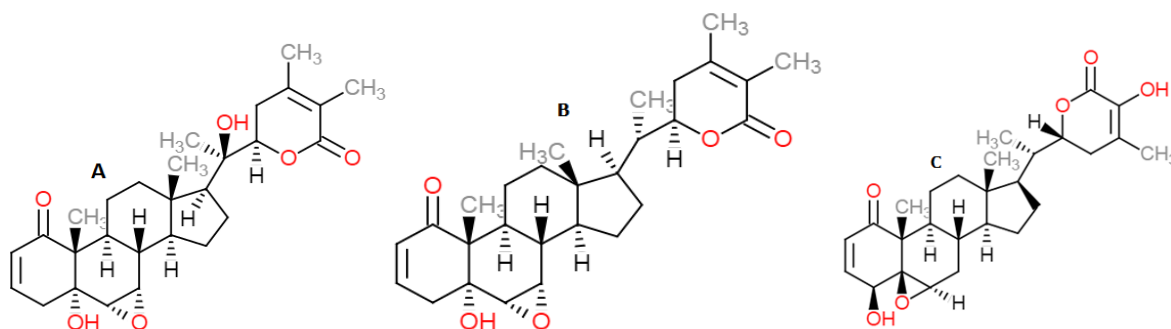
- Other compounds included pyridine carboxylic acid ester at  $m/z$  398 and apigenin at  $m/z$  271.
- Major peaks in the methanolic extract detected at 4.78 and 4.9 min ( $m/z$  348 and 304), and the ethyl acetate extract at 6.12 and 8.21 min ( $m/z$  271 and 465) could not be identified.



**Fig. 42** Structures of some of the main compounds identified from crude herbal extracts of *I.helenium* (positive mode): (A) Helenin (isoalantolactone); (B) Apigenin.

### 3. *Withania somnifera* (methanol and ethyl acetate) extracts:

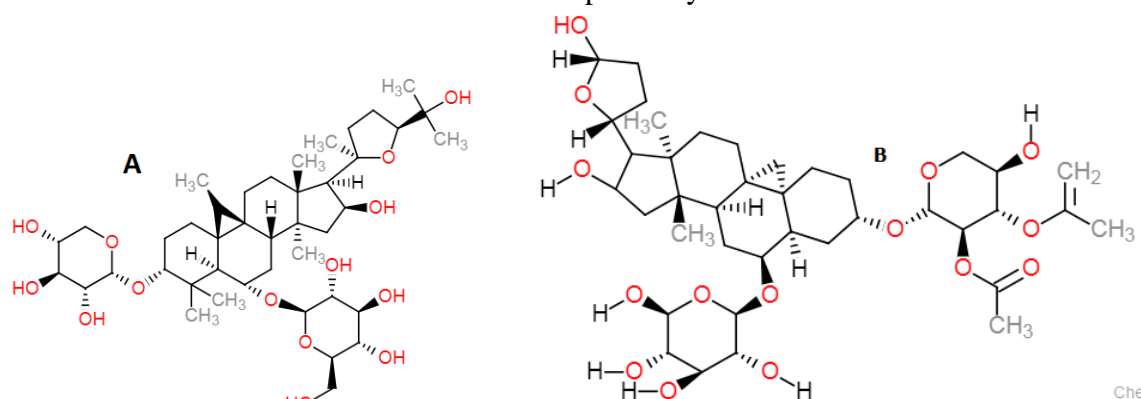
- The major withanolides were observed in all extracts of *W.somnifera* in the positive mode scan. Withanolide A and withanolide B (fig. 43 a, b) were identified with retention times 5.54 and 7.53 min at  $m/z$  471 and 455 respectively, at a PDA detection wavelength 230 nm. The product ions were noted as  $m/z$  125, 246, 276, 299 and  $m/z$  401, 419, 437 respectively.
- Withaferin A was identified at retention time 5.92 min and  $m/z$  471 (fig. 43 c) and product ions at  $m/z$  263, 265, 283, 353, 417 and 435.
- Other known compounds observed were vicosalactone B (489  $m/z$ ), withanamide D/E (783  $m/z$ ) and withasomidienone (439  $m/z$ ).



**Fig. 43** Structures of some of the main compounds identified from crude herbal extracts of *W.somnifera* (positive mode): (A) Withanolide A; (B) Withanolide B; (C) Withaferin A.

#### 4. *Astragalus membranaceus* (methanol, ethanol and ethyl acetate) extracts:

- The major known compounds within *A.membranaceus* were observed in the positive mode scan. Astragaloside IV and astragaloside I (fig. 44 a, b) were identified with retention times 5.61 and 6.87 min at  $m/z$  785 and 869 respectively, at a PDA detection wavelength 230 nm. The product ions were noted as  $m/z$  269, 437, 587 and  $m/z$  143, 297, 437, 455, 653, 671, respectively.
- Other major compounds observed included formononetin (269  $m/z$ ), calycosin (285  $m/z$ ), acetylastragaloside I (911  $m/z$ ), astragaloside II (827  $m/z$ ) and ononin (431  $m/z$ ) at 230nm.
- The benzopyran derivative 3',4',7-trimethoxyflavan and the carboxylic acid 4,10-dihydroxy-3,9-dimethoxypterocarpan, was observed in the ethyl acetate extract at retention times 9.35 min and 7.03 min respectively.



**Fig. 44** Structures of some of the main compounds identified from crude herbal extracts of *A.membranaceus* (positive mode): (A) Astragaloside IV; (B) Astragaloside I.

**Table [14]** Secondary metabolites/compounds detected in *O.basilicum*, *I.helenium*, *W.somnifera* and *A.membranaceus* extracts in positive scan mode in LC-MS/ PDA.

SL NO.	RT (MIN)	M+H	[M+H] <sup>+</sup>	MS/MS <sup>c</sup>	TENTATIVE ID <sup>#</sup>	TYPE OF COMPOUND
<b>ObA1</b>						
1	10.63	398.2331	C <sub>24</sub> H <sub>32</sub> NO <sub>4</sub>	149, 240, 266	2,6-Pyridinedicarboxylic acid, nonyl phenethyl ester	Carboxylic acid
2	7.74	304.3008	C <sub>21</sub> H <sub>38</sub> N	91, 212	Pyridine/ Amine complex	Secondary Metabolites
3	12.28	411.2662	C <sub>30</sub> H <sub>35</sub> O	175, 283, 355	Unknown	–
4	6.91	311.2210	C <sub>18</sub> H <sub>31</sub> O <sub>4</sub>	96, 149, 219, 275	Furan-2(3H)-one complex	Aromatic lactone
5	9.06	399.2498	C <sub>25</sub> H <sub>35</sub> O <sub>4</sub> , C <sub>18</sub> H <sub>39</sub> O <sub>9</sub>	221, 324	Unknown	–
<b>ObM2</b>						
1	7.32	329.1014	C <sub>18</sub> H <sub>17</sub> O <sub>6</sub>	133, 268	Salvigenin (5-Hydroxy-6,7,4'-trimethoxyflavone)	Flavones
2	10.63	398.2324	C <sub>24</sub> H <sub>32</sub> NO <sub>4</sub>	149, 240, 266	2,6-Pyridinedicarboxylic acid, nonyl phenethyl ester	Carboxylic acid
3	7.74	304.2997	C <sub>21</sub> H <sub>38</sub> N	91, 212	Pyridine/ Amine complex	Secondary Metabolites
4	6.42	345.0979	C <sub>18</sub> H <sub>17</sub> O <sub>7</sub>	182, 240, 312, 315	Eupatorin (3',5-Dihydroxy-4',6,7-trimethoxyflavone)	Flavones
<b>ObE3</b>						
1	7.32	329.1023	C <sub>18</sub> H <sub>17</sub> O <sub>6</sub>	133, 268	Salvigenin (5-Hydroxy-6,7,4'-trimethoxyflavone)	Flavones

2	6.42	345.0959	C <sub>18</sub> H <sub>17</sub> O <sub>7</sub>	182, 240, 312, 315	Eupatorin (3',5-Dihydroxy-4',6,7-trimethoxyflavone)	Flavones
3	7.16	445.2117	C <sub>21</sub> H <sub>33</sub> O <sub>10</sub> , C <sub>32</sub> H <sub>29</sub> O <sub>2</sub>	105, 194 224, 385, 407	Unknown	–
4	10.63	398.2336	C <sub>24</sub> H <sub>32</sub> NO <sub>4</sub>	149, 240, 266	2,6-Pyridinedicarboxylic acid, nonyl phenethyl ester	Carboxylic acid
<b>IhA1</b>						
1	10.62	398.3220	C <sub>24</sub> H <sub>32</sub> NO <sub>4</sub>	149, 240, 266	2,6-Pyridinedicarboxylic acid, nonyl phenethyl ester	Carboxylic acid
2	12.28	411.2646	C <sub>30</sub> H <sub>35</sub> O, C <sub>19</sub> H <sub>39</sub> O <sub>9</sub>	175, 231, 283, 355	Unknown	–
<b>IhM2</b>						
1	4.78	348.2174	C <sub>20</sub> H <sub>28</sub> O <sub>5</sub>	128, 302, 304	Unknown	–
2	4.90	304.2271	C <sub>18</sub> H <sub>13</sub> N <sub>3</sub> O <sub>2</sub>	84, 128	Unknown	–
3	8.34	465.3008	C <sub>30</sub> H <sub>41</sub> O <sub>4</sub>	105, 233, 383	Helenin : Isoalantolactone	Sesquiterpene lactones
<b>IhE3</b>						
1	8.33	465.3002	C <sub>30</sub> H <sub>41</sub> O <sub>4</sub>	105, 151, 187, 215, 233	Helenin : Isoalantolactone	Sesquiterpene lactones
2	6.12	271.1299	C <sub>15</sub> H <sub>11</sub> O <sub>5</sub>	105, 121, 131, 182, 231, 240	Apigenin	Flavone
3	8.20	465.2986	C <sub>30</sub> H <sub>41</sub> O <sub>4</sub>	314, 443	Unknown	–
4	10.62	398.2334	C <sub>24</sub> H <sub>32</sub> NO <sub>4</sub>	149, 240, 266	2,6-Pyridinedicarboxylic acid, nonyl phenethyl ester	Carboxylic acid
<b>IhEtA4</b>						
1	8.34	465.3006	C <sub>30</sub> H <sub>41</sub> O <sub>4</sub>	105, 151, 187, 215, 233	Helenin : Isoalantolactone	Sesquiterpene lactones
2	6.12	271.1334	C <sub>17</sub> H <sub>19</sub> O <sub>3</sub>	185, 231	Unknown	–
3	8.21	465.2995	C <sub>30</sub> H <sub>41</sub> O <sub>4</sub>	314, 443	Unknown	–
4	9.36	301.1416	C <sub>18</sub> H <sub>21</sub> O <sub>4</sub> , C <sub>11</sub> H <sub>25</sub> O <sub>9</sub>	149, 231	Unknown	–
<b>WsM2</b>						
1	5.54	471.2741	C <sub>28</sub> H <sub>39</sub> O <sub>6</sub>	125, 246, 276, 299	Withanolide A	Secondary metabolite
2	5.92	471.2727	C <sub>28</sub> H <sub>39</sub> O <sub>6</sub>	263, 265, 283, 353, 417, 435	Withaferin A	Steroidal Lactone
3	5.69	503.3006	C <sub>29</sub> H <sub>43</sub> O <sub>7</sub>	331, 407, 425, 443	2,25-Diepoxywithaferin A	Steroidal Lactone
4	7.54	455.2797	C <sub>28</sub> H <sub>39</sub> O <sub>5</sub>	401, 419, 437	Withanolide B	Secondary metabolite
5	4.71	489.2848	C <sub>28</sub> H <sub>41</sub> O <sub>7</sub>	281, 299, 317	Vicosalactone B	Lactone
6	4.38	783.4157	C <sub>40</sub> H <sub>67</sub> N <sub>2</sub> O <sub>13</sub>	441, 459, 621	Withanamide D/E	Secondary metabolite
7	3.79	754.3456	C <sub>38</sub> H <sub>62</sub> N <sub>2</sub> O <sub>13</sub>	574, 593, 630, 736	Withanamide B/C isomer	Secondary metabolite
<b>WsEtA4</b>						
1	5.54	471.2744	C <sub>28</sub> H <sub>39</sub> O <sub>6</sub>	125, 246, 276, 299	Withanolide A	Secondary metabolite
2	5.92	471.2742	C <sub>28</sub> H <sub>39</sub> O <sub>6</sub>	263, 265, 283, 353, 417, 435	Withaferin A	Steroidal Lactone
3	7.53	455.2791	C <sub>28</sub> H <sub>39</sub> O <sub>5</sub>	401, 419, 437	Withanolide B	Secondary metabolite
4	5.70	503.3001	C <sub>29</sub> H <sub>43</sub> O <sub>7</sub>	331, 407, 425, 443	2,25-Diepoxywithaferin A	Steroidal Lactone
5	4.71	489.285	C <sub>28</sub> H <sub>41</sub> O <sub>7</sub>	317, 459	Vicosalactone B	Lactone
6	7.22	439.2825	C <sub>28</sub> H <sub>39</sub> O <sub>4</sub>	187, 367, 383, 427	Withasomidienone	Secondary metabolite
<b>AmM2</b>						
1	5.61	785.4658	C <sub>41</sub> H <sub>68</sub> O <sub>14</sub>	269, 437, 587	Astragaloside IV	Triterpene glycoside
2	5.65	269.0811	C <sub>16</sub> H <sub>13</sub> O <sub>4</sub>	71, 190, 197, 253	Formononetin (7-Hydroxy-4'-methoxyisoflavone)	Isoflavone
3	4.44	285.0765	C <sub>16</sub> H <sub>13</sub> O <sub>5</sub>	137, 241, 270	Calycosin (7,3'-dihydroxy-4'-methoxyisoflavone)	Isoflavone
4	10.63	398.2325	C <sub>24</sub> H <sub>32</sub> NO <sub>4</sub>	149, 240, 266, 296	2,6-Pyridinedicarboxylic acid, nonyl phenethyl ester	Carboxylic acid
5	3.05	447.1285	C <sub>22</sub> H <sub>23</sub> O <sub>10</sub>	285, 365, 429	Calycosin 7-O-beta-D-glucoside	Isoflavone glucoside
6	6.87	869.9849	C <sub>45</sub> H <sub>73</sub> O <sub>16</sub>	143, 297, 437, 455, 653, 671	Astragaloside I	Triterpene glycoside



7	3.91	489.1394	C <sub>24</sub> H <sub>25</sub> O <sub>11</sub> , C <sub>17</sub> H <sub>29</sub> O <sub>16</sub>	149, 270, 285	Unknown	–
8	4.09	431.1328	C <sub>22</sub> H <sub>23</sub> O <sub>9</sub>	268.2	Ononin	Isoflavone glycoside
<b>AmE3</b>						
1	5.65	269.0803	C <sub>16</sub> H <sub>13</sub> O <sub>4</sub>	71, 190, 197, 253	Formononetin (7-Hydroxy-4'-methoxyisoflavone)	Isoflavone
2	5.60	785.4661	C <sub>41</sub> H <sub>68</sub> O <sub>14</sub>	269, 437, 587	Astragaloside IV	Triterpene glycoside
3	4.43	285.0757	C <sub>16</sub> H <sub>13</sub> O <sub>5</sub>	137, 241, 270	Calycosin (7,3'-dihydroxy-4'-methoxyisoflavone)	Isoflavone
4	6.88	869.9915	C <sub>45</sub> H <sub>73</sub> O <sub>16</sub>	143, 297, 437, 455, 653, 671	Astragaloside I	Triterpene glycoside
5	9.34	301.1404	C <sub>18</sub> H <sub>21</sub> O <sub>4</sub> , C <sub>11</sub> H <sub>25</sub> O <sub>9</sub>	121, 149, 150	Unknown	–
6	8.17	911.4993	C <sub>47</sub> H <sub>75</sub> O <sub>17</sub>	437, 695, 753	Acetylastragaloside I	Triterpene glycoside
7	10.62	398.2334	C <sub>24</sub> H <sub>32</sub> NO <sub>4</sub>	149, 240, 266, 296	2,6-Pyridinedicarboxylic acid, nonyl phenethyl ester	Carboxylic acid
8	6.04	827.9796	C <sub>43</sub> H <sub>71</sub> O <sub>15</sub>	629, 707 809	Astragaloside II	Triterpene glycoside
9	3.91	489.1394	C <sub>24</sub> H <sub>25</sub> O <sub>11</sub> , C <sub>17</sub> H <sub>29</sub> O <sub>16</sub>	149, 270, 285	Unknown	–
10	4.09	431.1331	C <sub>22</sub> H <sub>23</sub> O <sub>9</sub>	268.2	Ononin	Isoflavone glycoside
<b>AmEtA4</b>						
1	5.65	269.0810	C <sub>16</sub> H <sub>13</sub> O <sub>4</sub>	71, 190, 197, 253	Formononetin (7-Hydroxy-4'-methoxyisoflavone)	Isoflavone
2	4.43	285.0764	C <sub>16</sub> H <sub>13</sub> O <sub>5</sub>	137, 241, 270	Calycosin (7,3'-dihydroxy-4'-methoxyisoflavone)	Isoflavone
3	6.68	869.9904	C <sub>45</sub> H <sub>73</sub> O <sub>16</sub>	143, 297, 437, 455, 653, 671	Astragaloside I	Triterpene glycoside
4	9.35	301.1416	C <sub>17</sub> H <sub>17</sub> O <sub>5</sub>	121, 149, 150	9,10-Dimethoxy-6a,11a-dihydro-6H-[1]benzofuro[3,2-c]chromen-3-ol	Benzopyran derivative
5	10.62	398.2323	C <sub>24</sub> H <sub>32</sub> NO <sub>4</sub>	149, 240, 266, 296	2,6-Pyridinedicarboxylic acid, nonyl phenethyl ester	Carboxylic acid
6	7.03	316.9838	C <sub>17</sub> H <sub>17</sub> O <sub>6</sub>	149, 280, 298	4,10-Dihydroxy-3,9-dimethoxypterocarpan	Flavonoid
7	3.91	489.1419	C <sub>24</sub> H <sub>25</sub> O <sub>11</sub> , C <sub>17</sub> H <sub>29</sub> O <sub>16</sub>	149, 270, 285	Unknown	–
8	4.09	431.1339	C <sub>22</sub> H <sub>23</sub> O <sub>9</sub>	268.2	Ononin	Isoflavone glycoside
<sup>#</sup> REFERENCES – Liu et al., 2018; Chang et al., 2016; Liu et al., 2016; Du et al., 2014; Wang et al., 2012; Bolleddula et al., 2012; Čejchanová, 2011; Hossain et al., 2010; Ye et al., 2005. <a href="https://www.ncbi.nlm.nih.gov/pccompound/">https://www.ncbi.nlm.nih.gov/pccompound/</a> ; <a href="https://massbank.eu/MassBank/">https://massbank.eu/MassBank/</a> ; <a href="https://metlin.scripps.edu/">https://metlin.scripps.edu/</a> ; <a href="https://webbook.nist.gov/chemistry/mw-ser/">https://webbook.nist.gov/chemistry/mw-ser/</a>						

The major identified compounds for the twelve active extracts showing inhibition, were quantified (in mg/L equivalent units of gallic acid/ quercetin) relative to the linear calibration curve of the reference standards - gallic acid for all the acid compounds and quercetin for the non-acid compounds (table 15).

**Table [15]** Relative quantification of the identified phytocompounds detected in *O.basilicum*, *I.helenium*, *W.somnifera* and *A.membranaceus* extracts in positive scan mode in LC-MS/ PDA.

# SLNO	COMPOUND NAME	SAMPLE NAME	AREA	RT(MIN)	CONC. UNITS <sup>*</sup> (MG/L EQUIVALENTS OF GALLIC ACID/ QUERCETIN)
Compound 1	Rosmarinic acid				**
1		ObA1	1699.1760	21.61	2298.037
2		ObM2	1423.3300	21.61	1923.756
3		ObE3	585.0240	21.61	786.304
Compound 2	Tartaric acid				
1		ObA1	593.9001	1.76	798.347
2		ObM2	33.9320	1.76	38.556

3		ObE3	45.3010	1.76	53.982
<b>Compound 3</b>		<b>Isocitric acid</b>			
1		ObA1	742.4790	3.88	999.946
2		IhA1	742.2550	3.88	999.642
3		WsM2	34.6080	3.89	39.474
4		AmM2	94.1240	3.88	120.228
<b>Compound 4</b>		<b>Caftaric acid</b>			
1		ObA1	407.1970	10.60	545.019
<b>Compound 5</b>		<b>Chicoric acid</b>			
1		ObA1	371.2370	18.23	496.228
2		ObM2	22.3180	18.26	22.798
<b>Compound 6</b>		<b>Salvigenin (5-Hydroxy-6,7,4'-trimethoxyflavone)</b>			
1		ObA1	430.9610	24.36	73.634
2		ObM2	213.4770	24.36	24.150
3		ObE3	670.6350	24.36	128.167
4		IhM2	90.6170	24.37	0.020
5		IhE3	85.7520	24.36	0.015
6		IhEtA4	103.6490	24.36	0.035
7		AmM2	169.7220	24.36	14.194
8		AmE3	225.7240	24.36	26.936
9		AmEtA4	266.1060	24.36	36.124
<b>Compound 7</b>		<b>Rutin (Quercetin-Hexoside-rhamnoside)</b>			
1		ObA1	80.0950	18.07	0.010
2		ObM2	144.1280	18.09	8.371
3		ObE3	146.4550	18.07	8.900
<b>Compound 8</b>		<b>Isoquercetin (Quercetin-Hexoside)</b>			
1		ObM2	108.5580	18.73	0.277
2		ObE3	156.3420	18.72	11.150
<b>Compound 9</b>		<b>Linalool (2,6-Dimethyl-2,7-octadien-6-ol)</b>			
1		ObE3	133.6801	9.35	5.993
<b>Compound 10</b>		<b>12-hydroxyjasmonic acid</b>			
1		ObA1	262.7230	16.21	348.991
2		ObE3	255.5850	16.19	339.305
<b>Compound 11</b>		<b>Caffeic acid</b>			
1		ObM2	29.9890	13.83	33.206
2		ObE3	433.6770	13.80	580.949
3		IhM2	69.7520	13.79	87.159
4		IhE3	29.0440	13.78	31.924
5		IhEtA4	47.1690	13.78	56.517
<b>Compound 12</b>		<b>5-Caffeoylquinic acid</b>			
1		IhA1	249.3501	12.72	330.846
2		IhM2	138.6160	12.70	180.596
3		IhE3	148.7140	12.69	194.298
4		IhEtA4	61.1370	12.69	75.469
5		WsM2	30.0660	12.73	33.311

<b>Compound 13</b>	<b>3-Caffeoylquinic acid</b>				
1		IhA1	27.0460	10.50	29.213
2		IhM2	5.6830	10.47	0.227
<b>Compound 14</b>	<b>4-Caffeoylquinic acid</b>				
1		IhA1	43.5890	13.12	51.659
<b>Compound 15</b>	<b>(E)-Fukugetin</b>				
1		ObE3	45.8240	26.32	0.005
2		IhA1	80.2310	26.31	0.010
3		IhM2	41.0590	26.32	0.005
<b>Compound 16</b>	<b>Medioresinol</b>				
1		ObM2	114.7220	14.45	6.020
2		ObE3	69.9301	14.44	0.005
<b>Compound 17</b>	<b>Apigenin-7-O-glucoside</b>				
1		ObM2	75.4170	14.77	0.010
2		ObE3	404.7730	14.75	67.675
3		WsM2	54.0010	14.77	0.005
4		WsEtA4	43.6480	14.75	0.004
<b>Compound 18</b>	<b>Epigallocatechin Gallate</b>				
1		IhA1	124.1370	19.58	3.822
2		IhM2	862.2060	19.56	171.755
3		IhE3	282.0750	19.56	39.758
4		IhEtA4	203.1610	19.58	21.802
<b>Compound 19</b>	<b>Chlorogenic acid derivative(quinic acid isomer)</b>				
1		IhA1	258.4760	12.72	343.228
2		IhM2	151.3150	12.71	197.827
3		IhE3	158.6690	12.7	207.805
4		IhEtA4	71.3690	12.7	89.353
<b>Compound 20</b>	<b>5alpha-Epoxyalantolactone</b>				
1		IhA1	38.9350	24.87	0.003
2		IhE3	36.9050	24.86	0.003
<b>Compound 21</b>	<b>Tanacetol A (2-Acetoxy-11-hydroxy-1(10),4(15)-germacradien-5-one)</b>				
1		IhA1	261.0970	24.79	34.985
2		IhM2	1732.9920	24.77	369.886
3		IhE3	1067.0550	24.77	218.365
4		IhEtA4	958.1890	24.77	193.594
<b>Compound 22</b>	<b>Macrophyllilactone B</b>				
1		IhM2	850.4980	26.27	169.091
2		IhE3	1328.5220	26.27	277.856
3		IhEtA4	1476.2560	26.28	311.470
<b>Compound 23</b>	<b>Trihydroxy octadecenoic acid</b>				
1		ObA1	127.4110	24.44	165.393
2		ObM2	100.3910	24.44	128.731
3		ObE3	396.4770	24.44	530.474
4		IhA1	121.5620	24.44	157.457
5		IhM2	776.1910	24.44	1045.688

6		IhE3	357.1530	24.44	477.118
7		IhEtA4	369.2920	24.44	493.588
8		WsM2	737.4840	24.44	993.168
9		WsEtA4	887.2440	24.44	1196.370
10		AmM2	565.2730	24.44	759.505
11		AmE3	929.1930	24.44	1253.288
12		AmEtA4	857.0140	24.43	1155.352
Compound 24	Isopelletierine (1-Pyridin-2-ylpropan-2-one)				
1		IhA1	344.2740	2.14	53.910
2		WsM2	936.7730	2.14	188.721
3		AmM2	187.9380	2.16	18.339
4		AmE3	55.1380	2.15	0.005
Compound 25	2,3-Dihydrowithaferin-A				
1		WsM2	172.1430	13.09	14.745
Compound 26	Withaperuvine I				
1		WsM2	719.6570	24.29	139.321
2		WsEtA4	1141.7950	24.29	235.370
Compound 27	Calycosin (7,3'-dihydroxy-4'-methoxyisoflavone)				
1	- <sup>ve</sup> scan mode	AmM2	474.4250	24.22	83.523
2		AmE3	1356.2370	24.22	284.162
3		AmEtA4	1106.9550	24.22	227.443
1	+ <sup>ve</sup> scan mode	AmM2	450.4570	4.44	230.881
2		AmE3	1335.9570	4.44	684.621
3		AmEtA4	969.9740	4.44	497.087
Compound 28	Formononetin (7-Hydroxy-4'-methoxyisoflavone)				
1	- <sup>ve</sup> scan mode	AmM2	358.6960	24.57	57.191
2		AmE3	962.8320	24.57	194.651
3		AmEtA4	889.0850	24.57	177.871
4	+ <sup>ve</sup> scan mode	AmM2	429.2270	5.65	220.003
5		AmE3	1402.4480	5.65	718.691
6		AmEtA4	1218.4690	5.65	624.419
Compound 29	Eucommiol				
1		ObA1	138.4490	21.16	7.078
2		ObE3	354.5340	21.15	56.244
3		IhM2	402.1650	21.12	67.082
4		IhE3	299.7260	21.12	43.774
5		IhEtA4	399.0440	21.13	66.372
6		WsM2	210.6120	21.14	23.498
7		WsEtA4	321.8020	21.12	48.797
8		AmM2	98.4510	21.15	0.019
9		AmE3	140.9520	21.12	7.648
Compound 30	Dihexose				
1		ObM2	279.5990	1.8	39.194
2		IhA1	695.6030	1.8	133.848
3		IhM2	164.2950	1.79	12.959
4		IhE3	110.4890	1.79	0.717

5		WsM2	1317.3660	1.81	275.318
6		WsEtA4	209.1890	1.8	23.174
7		AmM2	1659.8130	1.8	353.235
8		AmE3	1459.8480	1.81	307.737
9		AmEtA4	211.0201	1.79	23.590
<b>Compound 31</b>		<b>Withaferin deriv GG-AM-4</b>			
1		WsM2	11.2940	23.07	< 0.001
<b>Compound 32</b>		<b>Eupatorin (3',5-Dihydroxy-4',6,7-trimethoxyflavone)</b>			
1		ObA1	44.5180	6.42	22.874
2		ObM2	217.4830	6.42	111.503
3		ObE3	1305.0280	6.42	668.772
<b>Compound 33</b>		<b>Salvigenin (5-Hydroxy-6,7,4'-trimethoxyflavone)</b>			
1		ObA1	75.5380	7.33	38.769
2		ObM2	655.0720	7.32	335.728
3		ObE3	3619.8580	7.32	1854.916
<b>Compound 34</b>		<b>Helenin : Isoalantolactone</b>			
1		IhM2	2463.0110	8.34	1262.135
2		IhE3	2223.4920	8.34	1139.403
3		IhEtA4	2581.1450	8.34	1322.668
<b>Compound 35</b>		<b>Apigenin</b>			
1		IhA1	60.5130	6.13	31.070
2		IhM2	977.9820	6.13	501.190
3		IhE3	886.9750	6.13	454.558
4		IhEtA4	1041.6290	6.13	533.804
<b>Compound 36</b>		<b>Withanolide A</b>			
1		WsM2	3435.2220	5.54	1760.306
2		WsEtA4	3914.2630	5.54	2005.772
<b>Compound 37</b>		<b>Withaferin A</b>			
1		WsM2	1453.5440	5.92	744.873
2		WsEtA4	2181.1220	5.92	1117.692
<b>Compound 38</b>		<b>2,25-Diepoxywithaferin A</b>			
1		WsM2	1285.5780	5.7	658.806
2		WsEtA4	1439.2740	5.7	737.561
<b>Compound 39</b>		<b>Withanolide B</b>			
1		WsM2	1249.7840	7.53	640.465
		WsEtA4	1790.1690	7.53	917.364
<b>Compound 40</b>		<b>Vicosalactone B</b>			
1		WsM2	846.4430	4.71	433.788
2		WsEtA4	1197.2560	4.7	613.549
<b>Compound 41</b>		<b>Withanamide D/E</b>			
1		WsM2	680.3950	4.38	348.704
2		WsEtA4	307.8630	4.38	157.814
<b>Compound 42</b>		<b>Withasomidienone</b>			
1		WsM2	60.0101	7.22	30.812
2		WsEtA4	137.7530	7.22	70.648

Compound 43	Astragaloside IV				
1		AmM2	15.7301	5.60	8.122
2		AmE3	49.5440	5.60	25.449
3		AmEtA4	17.7540	5.60	9.159
Compound 44	Astragaloside I				
1		AmM2	194.4810	6.88	99.716
2		AmE3	923.8080	6.88	473.431
3		AmEtA4	718.0280	6.88	367.987
Compound 45	Ononin				
1		AmM2	138.3420	4.09	70.950
2		AmE3	292.6760	4.09	150.032
3		AmEtA4	142.2420	4.09	72.948
Compound 46	Astragaloside II				
1		AmM2	77.5830	6.05	39.816
2		AmE3	391.8720	6.05	200.861
3		AmEtA4	240.5150	6.05	123.305
* The relatively quantified concentrations are reasonable approximations of the relative amounts of the identified compounds present in each extract (Bhandari and Rajbhandari, 2015; Punyasiri et al., 2015), ** All the acid compounds are relatively quantified equivalent to the linear curve of gallic acid, *** All the non- acid compounds are relatively quantified equivalent to the linear curve of quercetin.					

As per the data in table 15, the concentration of salvigenin was found to be highest in ObE3 (1854.916 mg/L), along with the fatty acid trihydroxy octadecenoic acid (530.474 mg/L), eupatorin (668.772 mg/L) and caffeic acid (580.949 mg/L). Rosmarinic acid was predominant in ObA1 (2298.037 mg/L), along with tartaric acid (798.347 mg/L), isocitric (999.946 mg/L), caftaric (545.019 mg/L) and chicoric acids (496.228 mg/L).

Caffeoylquinic acid derivatives were most prominently present in IhA1 (330.846 mg/L). Epigallocatechin gallate was mostly present in IhM2 along with tanacetol A (369.886 mg/L). Macrophyllilactone B and helenin (isoalantolactone) was mostly present in IhEtA4 extract (311.470 mg/L and 1322.668 mg/L respectively).

High concentration of trihydroxy octadecenoic acid was noted in WsEtA4 (1196.370 mg/L), along with withaperuvine I (235.370 mg/L), withanolide A (2005.772 mg/L), withaferin A (1117.692 mg/L), diepoxywithaferin A (737.561 mg/L), withanolide B (917.364 mg/L) and vicosalactone B (613.549 mg/L).

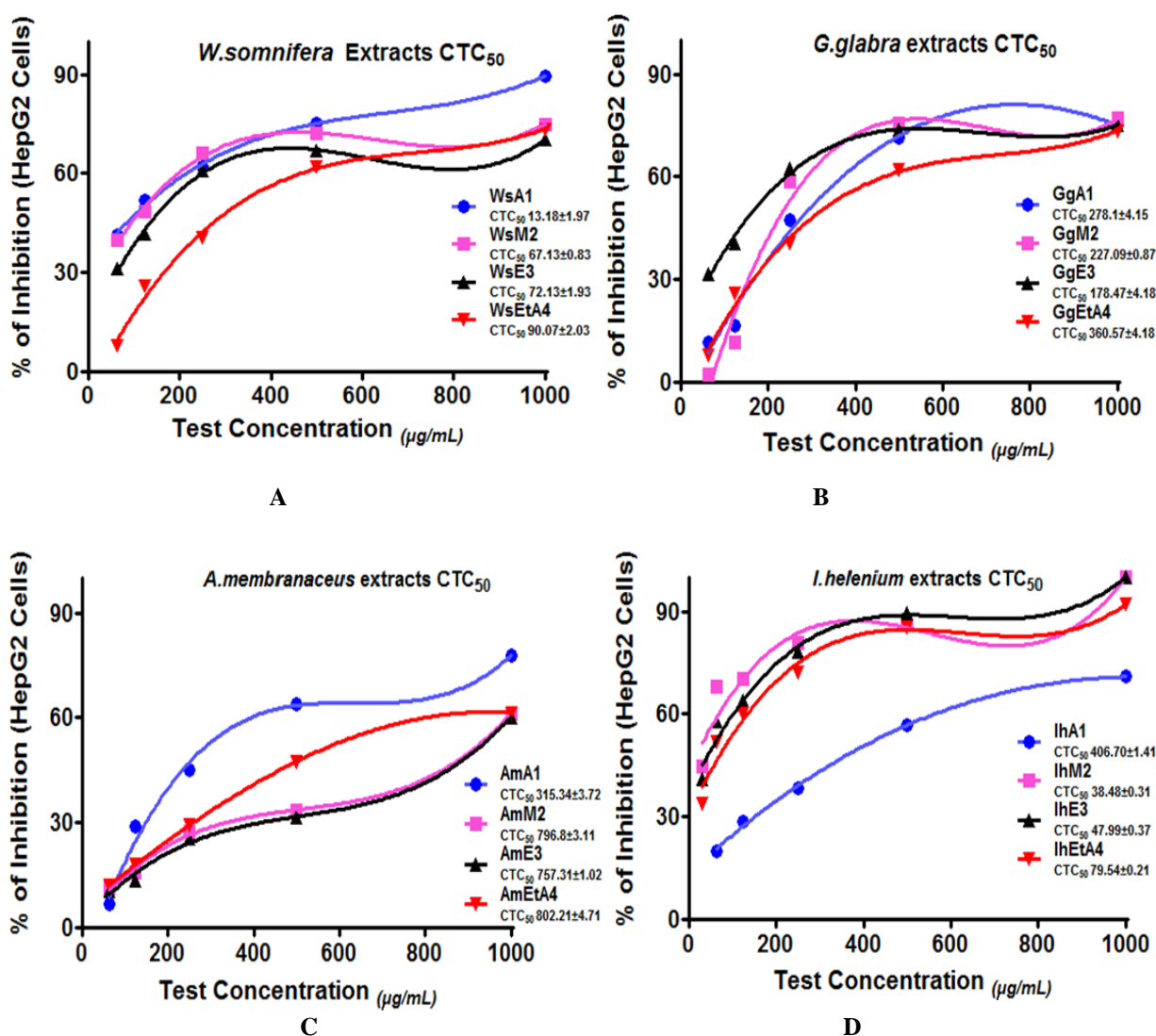
Amongst all extracts, dihexose sugar and trihydroxy octadecenoic acid was most present in *Astragalus* extracts. Calycosin and formononetin was present most in AmE3 (684.621 mg/L and 718.691 mg/L respectively). Highest concentrations of astragaloside I and II were noted in

AmE3 (473.431 mg/L and 200.861 mg/L respectively). Isocitric acid was only found in AmM2 (120.228 mg/L).

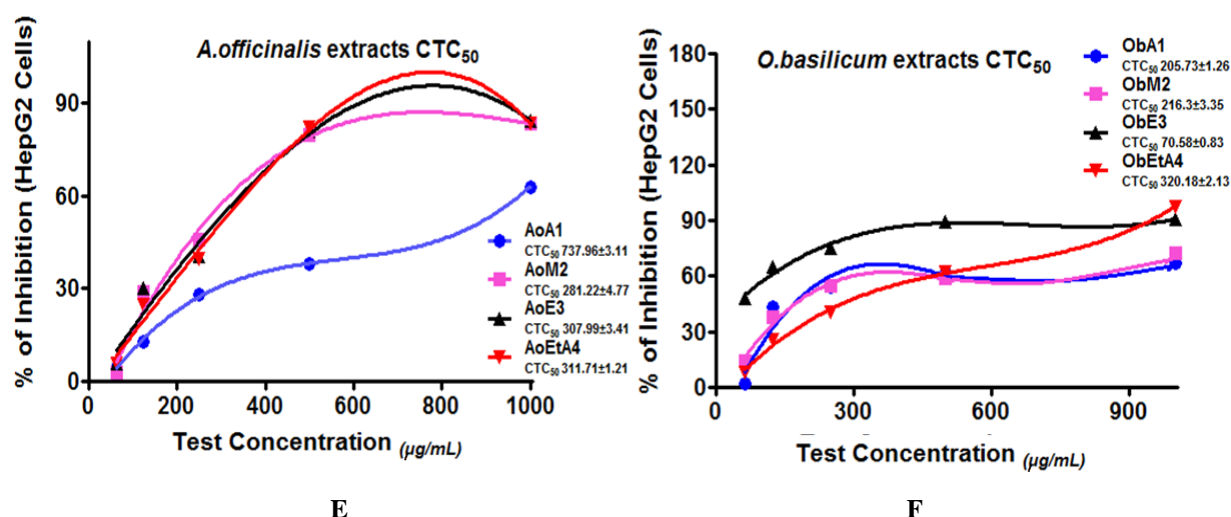
### 3.5 Induction assays

#### 3.5.1 Screening of herbal extracts – determination of CTC<sub>50</sub>

In the MTT assays, all plant extracts were screened and tested for their *in vitro* cytotoxicity effect against HepG2 cells, by exposing the cells to different concentrations of each extract. Based on the assays the calculated CTC<sub>50</sub> for all the extracts were in the range of 38-796 µg/mL for a screening concentration range between 31.25 and 1000.00 µg/mL, indicating stronger inhibition of HepG2 cell growth by various extracts at higher concentrations (Fig. 45, table 16).







**Fig. 45** Cytotoxicity % level graphs of all extracts on HepG2 cells A: *W.somnifera* B: *G.glabra* C: *A.membranaceus* D: *I.helenium* E: *A.officinalis* F: *O.basilicum*; the various test concentrations of each extract is plotted against the percentage of inhibition (dose-response) caused in the activity of the HepG2 cells.

**Table [16].** Concentration of each extract that causes 50% cytotoxicity in HepG2 cells (CTC<sub>50</sub>). CTC<sub>50</sub> calculated as the mean ± SD of cytotoxicity % values on HepG2 cells at concentration range 1000.00-31.25 µg/mL for each extract.

Sample Name	Concentration(µg/ml)	Cytotoxicity (%)	CTC <sub>50</sub> (µg/ml) <sup>#</sup>
WsA1	1000	89.56±0.28	113.18±1.97
	500	75.36±0.54	
	250	62.02±0.30	
	125	52.06±0.47	
	62.5	41.25±0.31	
WsM2	1000	74.97±0.54	67.13±0.83
	500	72.14±0.56	
	250	66.16±0.44	
	125	48.46±0.25	
	62.5	39.85±0.31	
WsE3	1000	70.21±0.54	72.13±1.93
	500	67.01±0.56	
	250	61.09±0.44	
	125	41.72±1.56	
	62.5	31.05±0.04	
WsEtA4	1000	73.43±0.57	90.07±2.03
	500	61.92±0.54	
	250	40.53±0.87	
	125	25.77±0.54	
	62.5	7.85±0.45	
GgA1	1000	75.10±0.45	278.1±4.15
	500	71.30±0.22	
	250	47.30±0.43	
	125	16.60±1.09	
	62.5	11.70±0.97	
GgM2	1000	76.96±0.45	227.09±0.87
	500	75.45±0.66	
	250	58.60±0.33	
	125	11.66±0.65	
	62.5	2.38±0.78	

<b>GgE3</b>	1000	75.16±0.22	<b>178.47±4.18</b>
	500	73.58±0.33	
	250	62.42±1.51	
	125	40.75±0.76	
	62.5	31.53±1.42	
<b>GgEtA4</b>	1000	73.43±0.57	<b>360.57±4.18</b>
	500	61.92±0.54	
	250	40.53±0.87	
	125	25.77±0.54	
	62.5	7.85±0.45	
<b>AmA1</b>	1000	77.80±0.34	<b>315.34±3.72</b>
	500	64.03±0.34	
	250	45.04±0.26	
	125	28.80±1.05	
	62.5	6.60±0.98	
<b>AmM2</b>	1000	61.30±0.19	<b>796.80±3.11</b>
	500	33.50±0.19	
	250	27.40±0.11	
	125	15.70±0.24	
	62.5	11.40±0.57	
<b>AmE3</b>	1000	60.30±1.34	<b>757.31±1.02</b>
	500	31.50±0.34	
	250	25.40±2.26	
	125	13.70±1.05	
	62.5	10.40±0.71	
<b>AmEtA4</b>	1000	61.45±0.49	<b>802.21±4.71</b>
	500	47.52±0.89	
	250	29.31±3.11	
	125	18.01±0.24	
	62.5	12.01±0.17	
<b>IhA1</b>	1000	70.91±0.38	<b>406.70±1.41</b>
	500	56.95±0.30	
	250	38.33±0.23	
	125	28.61±0.20	
	62.5	19.75±2.75	
<b>IhM2</b>	1000	99.91±3.96	<b>38.48±0.31</b>
	500	86.05±0.56	
	250	80.92±0.72	
	125	70.15±0.33	
	62.5	67.92±0.97	
<b>IhE3</b>	31.25	44.61±0.31	<b>47.99±0.37</b>
	1000	99.87±1.99	
	500	89.46±0.41	
	250	78.01±0.54	
	125	64.07±0.41	
<b>IhEtA4</b>	62.5	57.95±0.37	<b>79.54±0.21</b>
	31.25	40.83±0.30	
	1000	92.01±1.07	
	500	85.46±1.41	
	250	72.01±2.59	
<b>AoA1</b>	125	60.07±0.41	<b>737.96±3.11</b>
	62.5	51.95±1.37	
	31.25	33.83±0.09	
	1000	63.05±0.55	
	500	38.15±0.26	
<b>AoA1</b>	250	27.94±0.60	<b>737.96±3.11</b>
	125	12.85±0.78	
	62.5	4.70±0.81	

AoM2	1000	83.42±0.36	281.22±4.77
	500	79.92±0.36	
	250	45.73±0.70	
	125	28.86±0.65	
	62.5	3.04±0.26	
AoE3	1000	84.45±0.87	307.99±3.41
	500	81.24±0.46	
	250	40.56±0.60	
	125	30.12±0.86	
	62.5	5.62±1.21	
AoEtA4	1000	83.45±1.87	311.71±1.21
	500	82.24±1.46	
	250	39.56±0.20	
	125	25.01±0.86	
	62.5	5.67±2.21	
ObA1	1000	66.95±1.35	205.73±1.26
	500	61.34±1.73	
	250	53.85±0.54	
	125	42.98±0.94	
	62.5	2.23±0.33	
ObM2	1000	72.20±1.17	216.30±3.35
	500	58.80±0.78	
	250	54.50±0.72	
	125	37.90±0.52	
	62.5	14.10±1.05	
ObE3	1000	90.75±0.15	70.58±0.83
	500	89.13±0.67	
	250	75.38±0.54	
	125	65.08±0.33	
	62.5	47.75±0.31	
ObEtA4	1000	97.43±0.15	320.18±2.13
	500	61.92±1.67	
	250	40.53±2.54	
	125	25.77±0.33	
	62.5	7.86±0.31	
# Values are represented as mean ± SD (n = 3).			

As shown in table 16, IhM2 showed the lowest CTC<sub>50</sub> value of 38.48±0.31 µg/mL, compared to all other extracts which indicated its potent toxicity levels on the HepG2 cells at higher concentrations. AmM2 had the highest CTC<sub>50</sub> value of 796.8±3.11 µg/mL, which indicated that it was much less cytotoxic at higher concentrations. All extracts of *W.somnifera* showed CTC<sub>50</sub> values in the range of 67-113 µg/mL, the aqueous extract having the highest value. All the extracts of *A.membranaceus*, had CTC<sub>50</sub> values > 315 µg/mL.

### 3.5.2 mRNA expression – using CTC<sub>50</sub> concentration of herbal extracts

To analyse the inducing effect of the herbal extracts on the mRNA expression of HepG2 cells, using RT-PCR technique, they were screened using their CTC<sub>50</sub> concentrations (as shown in section 3.5.1). Relative mRNA expressions of CYP3A4 by the herbal extracts on agarose gel are represented in figure 46. The expression levels of CYP2B6 and CYP3A4 are depicted as arbitrary units normalized to (GAPDH) mRNA.

For the induction screening against CYP2B6, dexamethasone (DEX, 10 $\mu$ M) was used as the positive control, and showed significant fold induction ( $p < 0.001$ ) compared to the cell control (CC). Compared to DEX, none of the extracts showed 2-fold induction (200% increase in fold-shift) and most of them were only moderate inducers of CYP2B6 ( $< 50\%$ -fold shift). ObM2 induced CYP2B6 mRNA the most; followed by AmA1 with 36%-fold response increase compared to CC. The methanolic and ethanolic extracts of *O.basilicum* and *G.glabra* moderately induced CYP2B6 mRNA with 38%- and 28%-fold shift and 26%- and 33%-fold shift respectively, compared to CC. All the extracts of *W.somnifera* showed least induction of CYP2B6 mRNA, with fold responses  $< 20\%$ , compared to CC (fig 47).

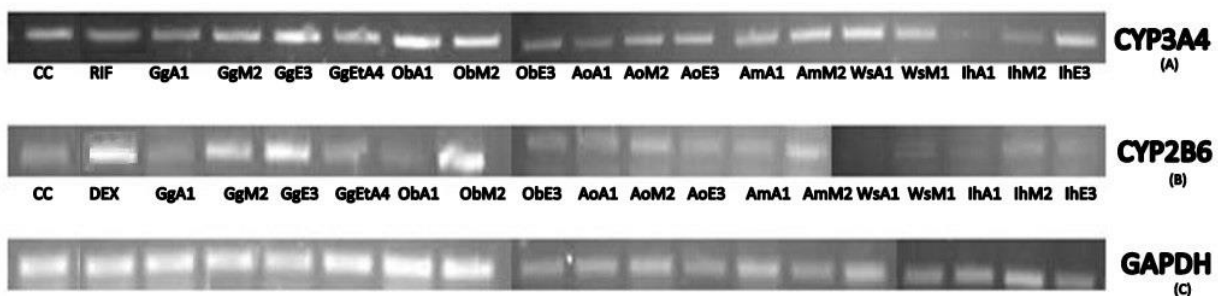


Fig.46 Relative (A) CYP3A4 and (B) CYP2B6 mRNA expressions by CC, RIF, DEX and the extracts on agarose gel. (C) GAPDH mRNA expression on agarose gel relative to CC, RIF, DEX and the extracts for normalization.

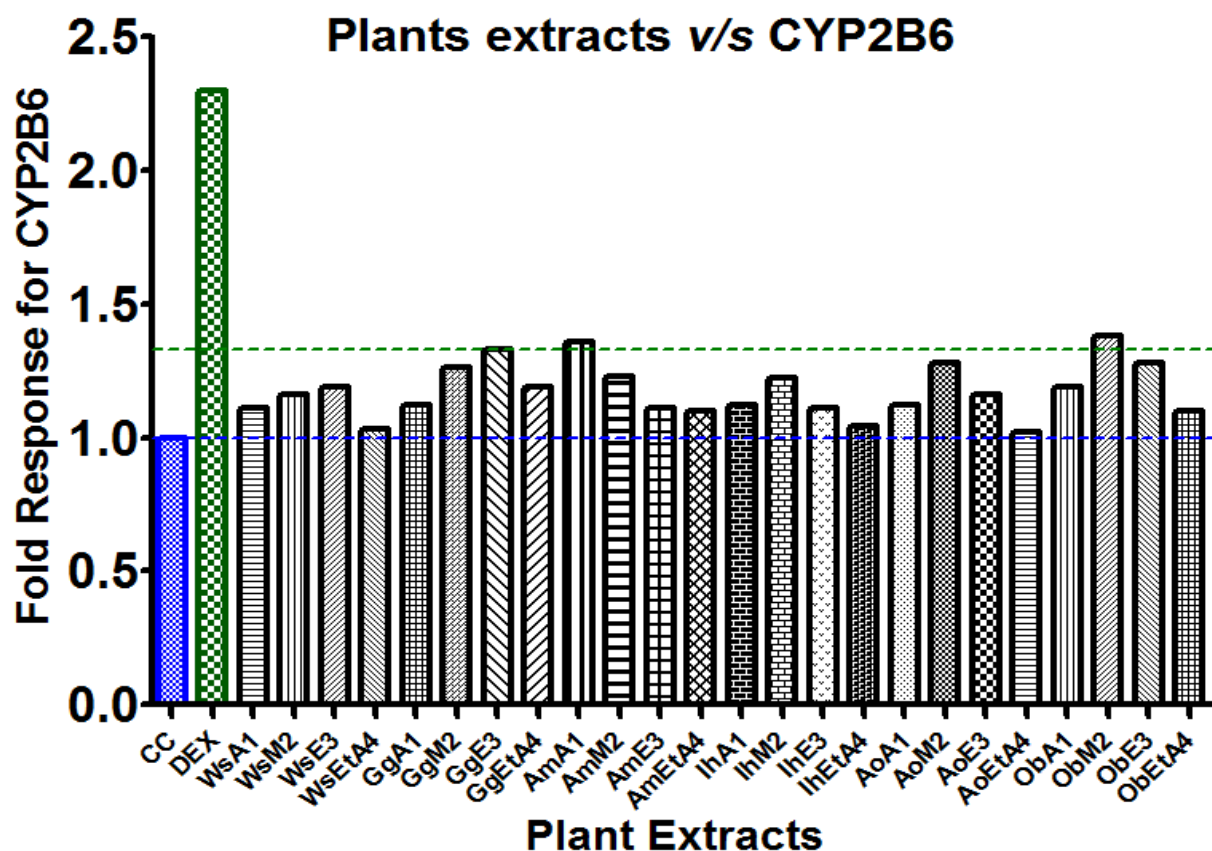
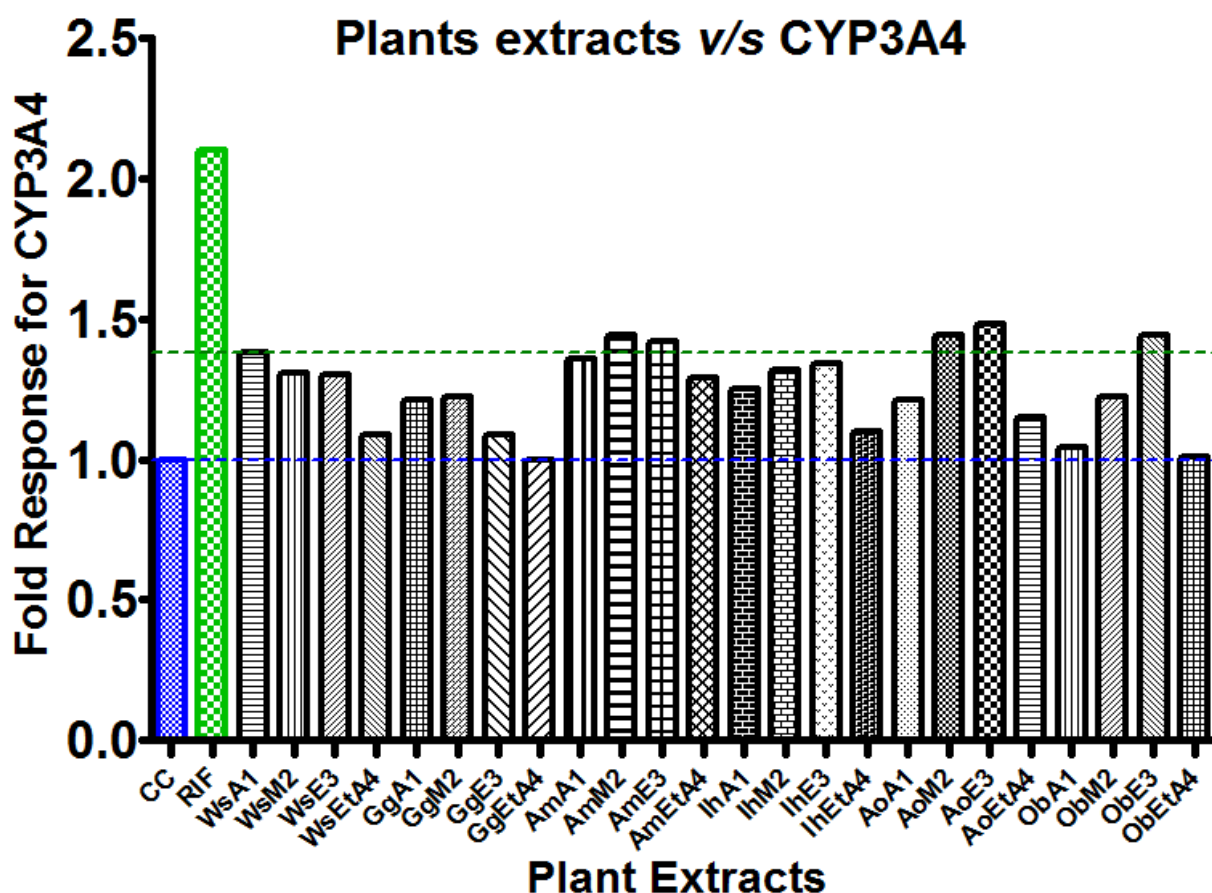


Fig.47 Histograms of all the herbal extracts and their fold responses for CYP2B6 mRNA expression, relative to cell control (CC); DEX is the positive control.

For CYP3A4, most of the extracts showed inducing potential. The positive control rifampicin (RIF, 50  $\mu$ M) showed significant fold induction ( $p < 0.001$ ) compared to the cell control (CC). When compared to RIF, none of the extracts showed 2-fold induction of CYP3A4. However on an average scale compared to CC, most of the extracts moderately induced CYP3A4 mRNA, with an average fold response  $> 25\%$  of the CC (fig 48).

Relative to CC, the ethanolic and methanolic extracts of *A.officinalis* induced CYP3A4, with 48%- and 44%-fold increases in gene expression. AoE3 induced the most with 48%-fold response (0.5-fold induction). The extracts of *O.basilicum* induced 3A4, with ObM2 showing 22%-fold response increase and ObE3 at 44%. All the extracts of *A.membranaceus* showed good induction of CYP3A4, with an average fold response of 37% compared to CC (fig 48).

For *W.somnifera* extracts, WsA1 induced CYP3A4 with 38%-fold shift followed by WsM2 and WsM3 (30%increase in the fold response). Comparatively, all extracts of *G.glabra* showed less induction with average fold response  $< 15\%$ .



**Fig.48** Histograms of all the herbal extracts and their fold responses for CYP3A4 mRNA expression, relative to cell control (CC); DEX is the positive control.

The aqueous extract of *A.membranaceus* consistently induced both CYP2B6 and CYP3A4 mRNA expression with 36%-fold increase in the gene expression (fig.47, 48) indicating that this extract is a moderate inducer of the CYPs. However none of the extracts induced both CYPs over 2-fold, indicating that the phytoconstituents present in these extracts are not strong inducers of the CYPs. The mRNA expression assays depicted that CYP3A4 was more inducible by the investigated herbs compared to CYP2B6.

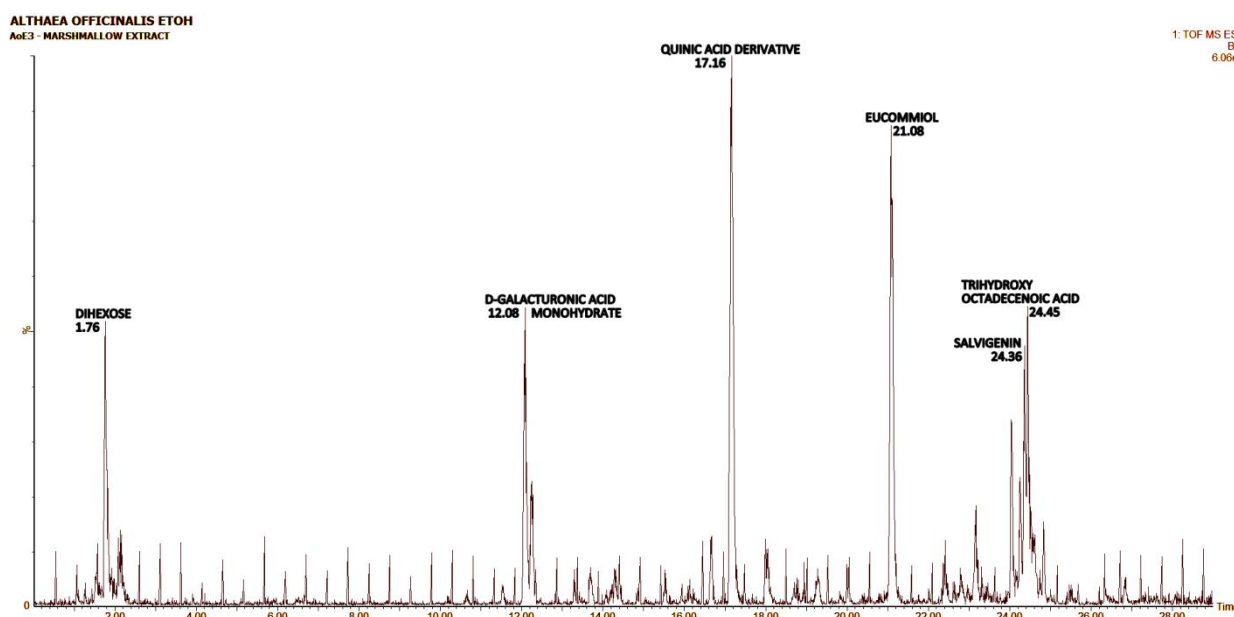
### 3.6 Phytochemical fingerprinting – Extracts inducing CYP activity

#### 3.6.1 LC-MS/ PDA analysis

For the negative mode extract scans, the following observations were noted (table 17):

1. *Althaea officinalis* (methanol and ethanol) extracts (fig. 49 shows the chromatogram of the ethanol extract):

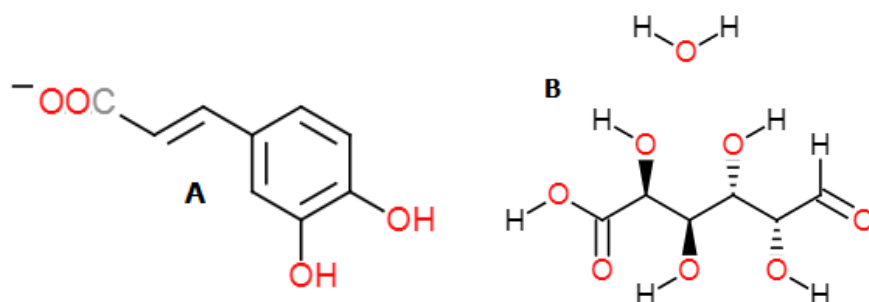
- The most prominent peak in both the extracts of *A.officinalis* was tentatively identified as quinic acid derivative [quinic acid–H–H<sub>2</sub>O]<sup>–</sup> (fig. 50 a) (Li et al., 2016; Chang et al., 2016) with a retention time of 17.16 min at  $m/z$  173 at a PDA detection wavelength 230 nm and daughter ions at  $m/z$  83, 111, 127 and 173.



**Fig. 49** LC-MS chromatogram of *A.officinalis* (ethanol extract) in negative mode scan for time duration of 29 min.

- Another prominent peak observed in both extracts was identified as eucommiol, at retention time 21.09 min at  $m/z$  187 and fragment ions at  $m/z$  125, 141, 169, and 187.
- D-Galacturonic acid monohydrate was observed in the ethanolic extract at  $m/z$  211 and retention time 12.08 min (fig. 50 b).

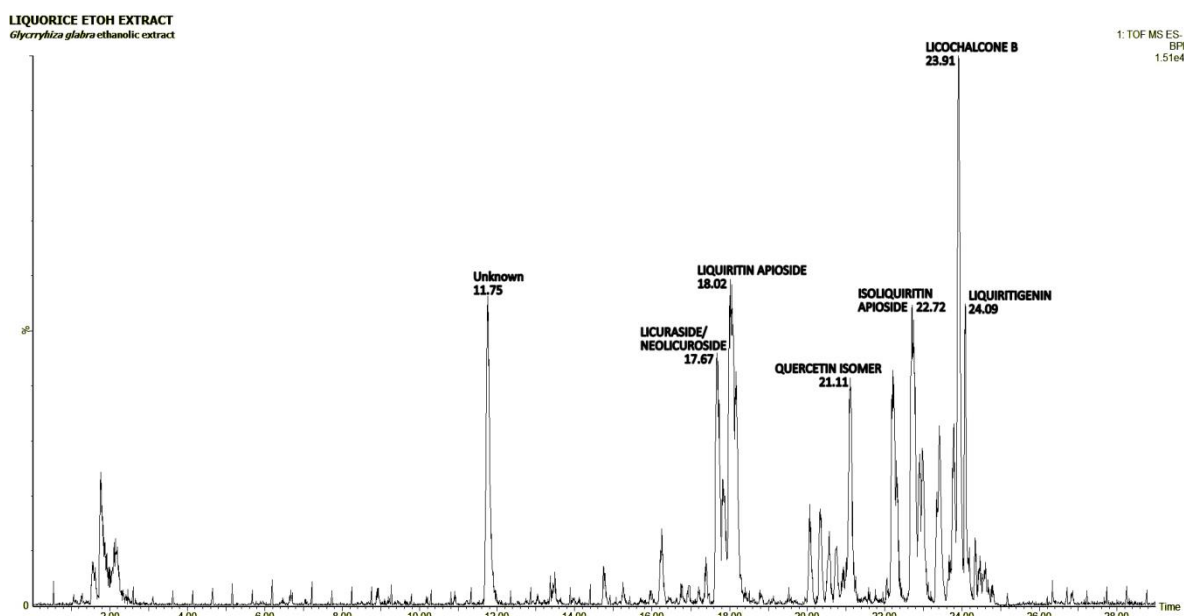
- The fatty acid trihydroxy-octadecenoic acid (329  $m/z$ ) was prominently observed in the ethanolic extract.
- Other common compounds included salvigenin (327  $m/z$ ) and dihexose sugar (341  $m/z$ ).



**Fig.50** Structures of some of the main compounds identified from crude herbal extracts of *A.officinalis*: (A) Quinic acid derivative; (B) D-Galacturonic acid, monohydrate.

2. *Glycyrrhiza glabra* (ethanol) extract (fig. 51 shows the chromatogram of the ethanol extract):

- The most prominent peak identified as the known chalcone, licochalcone B observed at a retention time of 23.91 min (fig. 51 a) with  $m/z$  285 at a PDA detection wavelength 230 nm and fragment ions at  $m/z$  150, 270 and 285 (table 17).

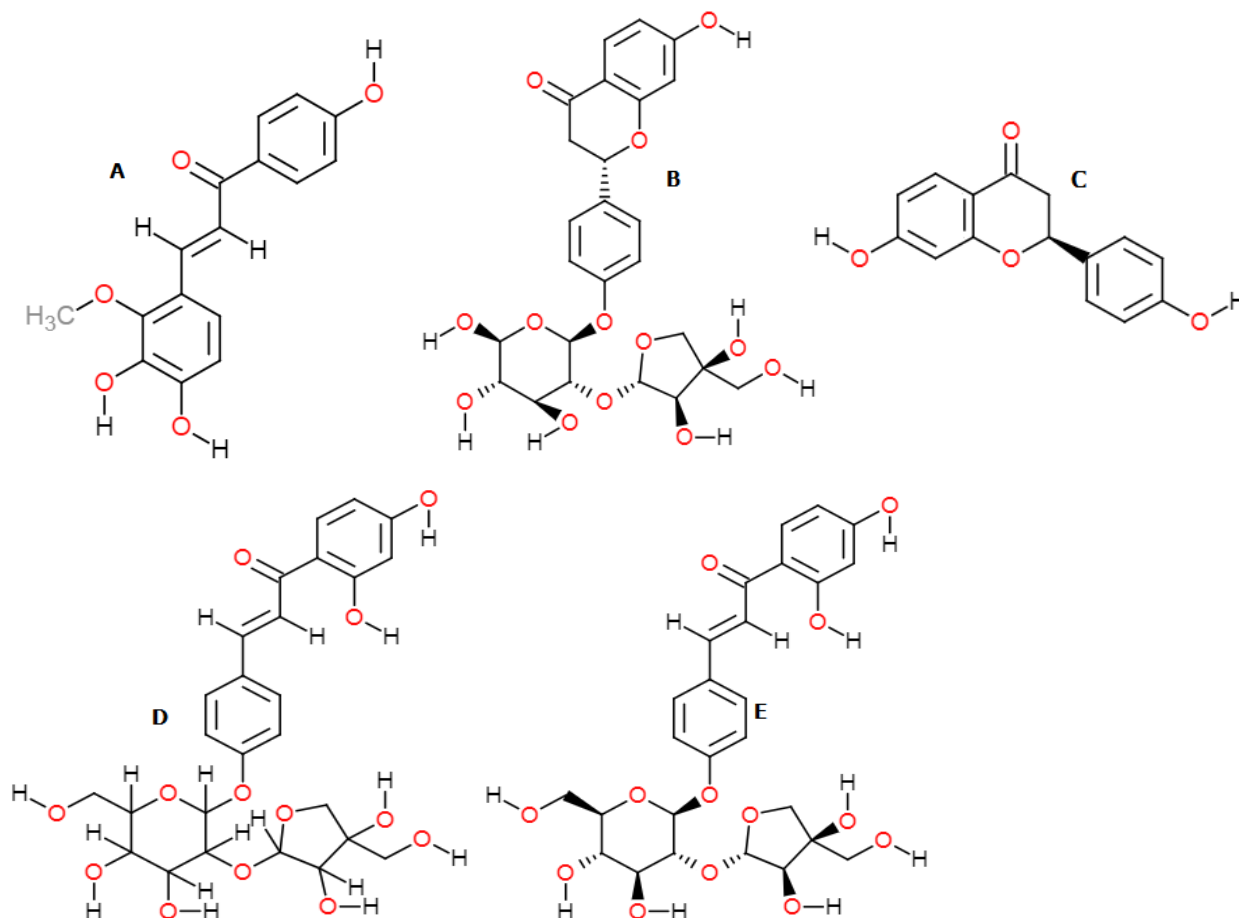


**Fig. 51** LC-MS chromatogram of *Glycyrrhiza glabra* (ethanol extract) in negative mode scan for time duration of 29 min.

- The second most prominent peak observed was identified as a flavonone, liquiritin apioside at retention time 18.02 min,  $m/z$  549 and daughter ions at  $m/z$  255, 285 and 429 (fig. 51 b).



- Other known compounds identified included liquiritigenin (255  $m/z$ ) and licuraside/neolicucuroside (549  $m/z$ ).



**Fig.52** Structures of some of the main compounds identified from crude herbal extracts of *Glycyrrhiza glabra*: (A) Licochalcone B; (B) Liquiritin apioside; (C) Liquiritigenin; (D) Licuraside; (E) Neolicucuroside.

### 3. *Astragalus membranaceus* (aqueous) extract:

- The phytochemical profile of the aqueous extract was very similar to the organic solvent extracts of *A.membranaceus*. The major compounds observed included isocitric acid at 3.72 min (191  $m/z$ ), dihexose sugar (341  $m/z$ ) at 1.76 min, trifolirhizin (491  $m/z$ ) at 18.01 min, quercetin isomer (301  $m/z$ ) at 21.11 min, and calycosin (283  $m/z$ ) and formononetin (267  $m/z$ ) at 24.21 and 24.56 min respectively (table 17).

**Table [17]** Compounds detected in *A.officinalis*, *G.glabra* and *A.membranaceus* extracts in negative scan mode in LC-MS/ PDA.

SL NO.	RT (MIN)	M-H	[M-H] <sup>-</sup>	MS/MS <sup>C</sup>	TENTATIVE ID <sup>#</sup>	COMPOUND NATURE
<b>AoMeOH</b>						
1	17.14	173.0802	C <sub>8</sub> H <sub>13</sub> O <sub>4</sub>	83, 111, 127	Quinic Acid derivative [quinic acid-H-H <sub>2</sub> O] <sup>-</sup>	Carboxylic acid
2	21.09	187.0978	C <sub>9</sub> H <sub>15</sub> O <sub>4</sub>	125, 141, 169	Eucommiol	Cypentenoid-tetrol
3	24.36	327.2158	C <sub>18</sub> H <sub>31</sub> O <sub>5</sub>	116.9, 205, 215, 277, 311,	Salvigenin (5-Hydroxy-6,7,4'-trimethoxyflavone)	Flavones

4	1.76	683.2255	C <sub>25</sub> H <sub>13</sub> N <sub>2</sub>	377, 503	Unknown	–
5	1.80	341.1066	C <sub>12</sub> H <sub>21</sub> O <sub>11</sub>	89, 119, 179, 341	Dihexose	Sugar
6	24.03	312.1241	C <sub>19</sub> H <sub>14</sub> N <sub>5</sub> , C <sub>18</sub> H <sub>18</sub> NO <sub>4</sub>	148, 178, 312	Unknown	–
7	16.22	358.0936	C <sub>18</sub> H <sub>16</sub> NO <sub>7</sub> , C <sub>19</sub> H <sub>12</sub> N <sub>5</sub> O <sub>3</sub>	135, 160, 178, 222, 358	Unknown	–
<b>AoEtOH</b>						
1	17.16	173.0813	C <sub>8</sub> H <sub>13</sub> O <sub>4</sub>	83, 111, 127	Quinic Acid derivative [quinic acid–H–H <sub>2</sub> O] <sup>+</sup>	Carboxylic acid
2	21.08	187.0968	C <sub>9</sub> H <sub>15</sub> O <sub>4</sub>	125, 141, 169	Eucommiol	Cylopentenoid-tetrol
3	24.45	329.2330	C <sub>18</sub> H <sub>33</sub> O <sub>5</sub>	116.92, 171, 211, 258	Trihydroxy-octadecenoic acid	Fatty acid
4	12.08	211.0640	C <sub>6</sub> H <sub>11</sub> O <sub>8</sub>	96, 127, 162	D-Galacturonic acid, monohydrate	Sugar acid
5	1.76	341.1066	C <sub>12</sub> H <sub>21</sub> O <sub>11</sub>	89, 119, 179	Dihexose	Sugar
6	24.36	327.2175	C <sub>18</sub> H <sub>15</sub> O <sub>6</sub>	116.9, 205, 215, 277, 311	Salvigenin (5-Hydroxy-6,7,4'-trimethoxyflavone)	Flavones
7	24.04	312.1232	C <sub>18</sub> H <sub>18</sub> NO <sub>4</sub>	148, 178	Unknown	–
<b>GgEtOH</b>						
1	23.91	285.0758	C <sub>16</sub> H <sub>13</sub> O <sub>5</sub>	150, 270	Licochalcone B	Chalcones
2	18.02	549.1607	C <sub>36</sub> H <sub>29</sub> O <sub>13</sub>	255, 285, 429	Liquiritin apioside	Flavonones
3	11.75	209.0443	C <sub>10</sub> H <sub>9</sub> O <sub>5</sub>	165	Unknown	–
4	24.09	255.0661	C <sub>15</sub> H <sub>11</sub> O <sub>4</sub>	119, 135	Liquiritigenin	Flavonones
5	22.72	549.1612	C <sub>26</sub> H <sub>29</sub> O <sub>13</sub>	255, 429	Isoliquiritin apioside	Chalcones
6	17.67	549.1602	C <sub>26</sub> H <sub>29</sub> O <sub>13</sub>	135, 255, 297, 429	Licurside/ Neolicucuroside	Chalcones
7	21.11	301.0726	C <sub>9</sub> H <sub>17</sub> O <sub>11</sub>	151, 191, 286,	Quercetin isomer	Flavonoid
8	1.77	341.1091	C <sub>12</sub> H <sub>21</sub> O <sub>11</sub>	89, 119, 179	Dihexose	Sugar
<b>AmAq</b>						
1	3.72	191.0189	C <sub>6</sub> H <sub>7</sub> O <sub>7</sub>	111, 129, 173	Isocitric acid	Citric acid
2	1.76	341.1091	C <sub>12</sub> H <sub>21</sub> O <sub>11</sub>	89, 119, 179	Dihexose	Sugar
3	24.21	283.0612	C <sub>16</sub> H <sub>11</sub> O <sub>5</sub>	136, 196, 211, 240, 269	Calycosin (7,3'-dihydroxy-4'-methoxyisoflavone)	Isoflavone
4	10.73	205.0723	C <sub>8</sub> H <sub>13</sub> O <sub>6</sub>	115, 129	Unknown	–
5	18.01	491.1184	C <sub>22</sub> H <sub>22</sub> O <sub>10</sub> [M+FA-H]	233, 255, 268, 283	(-)-Maackiain-3-Oglucoside (Trifolirhizin)	Flavonoid
6	21.04	187.0945	C <sub>9</sub> H <sub>15</sub> O <sub>4</sub>	125, 141, 169	Eucommiol	Cylopentenoid-tetrol
7	24.56	267.0654	C <sub>16</sub> H <sub>11</sub> O <sub>4</sub>	115, 117, 196, 207, 236	Formononetin (7-Hydroxy-4'-methoxyisoflavone)	Isoflavone
8	22.98	475.1259	C <sub>16</sub> H <sub>27</sub> O <sub>16</sub>	25, 267, 268	Unknown	–

<sup>#</sup>REFERENCES –Chen et al., 2017; Said et al., 2017; Chang et al., 2016.; Chen et al., 2016; Deters et al., 2016; Li et al., 2016; Liu et al., 2016; Soleimany et al., 2016; Zhang et al., 2016; Simirgiotis et al., 2015; Du et al., 2014; Kuo et al., 2014; Zhang et al., 2013; Mena et al., 2012; Wang et al., 2012; Farag et al., 2012; Čejchanová, 2011; Hossain et al., 2010; Li et al., 2007.

<https://www.ncbi.nlm.nih.gov/pccompound>; <https://massbank.eu/MassBank/>; <https://metlin.scripps.edu/>; <https://webbook.nist.gov/chemistry/mw-ser/>

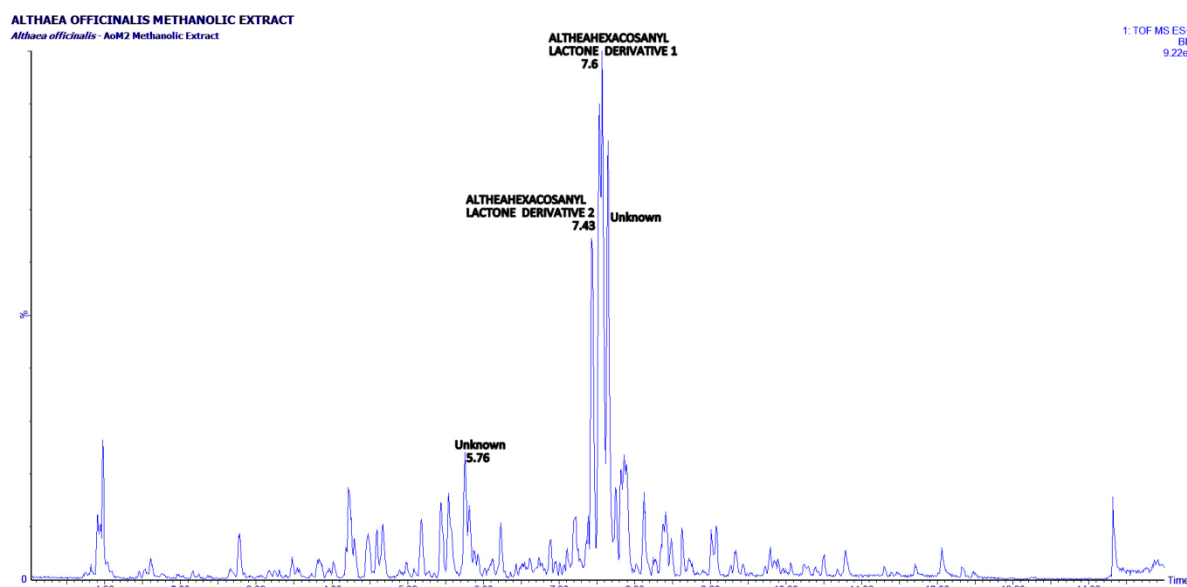
For the positive mode extract scans, the following observations were noted (details summarized in table 18):

1. *Althaea officinalis* (methanol and ethanol) extracts (fig. 53 illustrates the chromatogram of the methanolic extract):

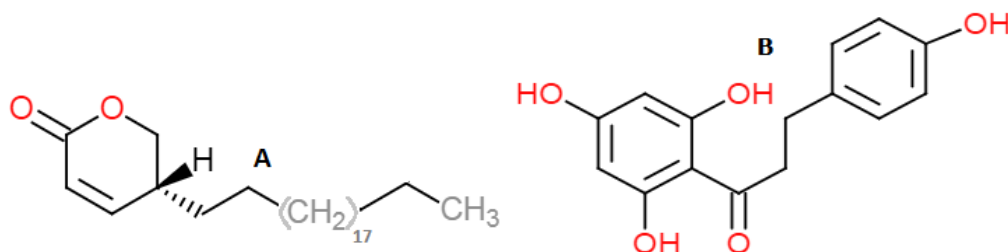
- The most prominent peaks in both the extracts of *A. officinalis* were having *m/z* 398 with a retention times of 7.6 and 7.43 min at UV detection wavelength of 230 nm. These molecules resembled characteristics of the previously reported compound

altheahexacosanyl lactone with  $m/z = 391$ , isolated from the seeds of *A.officinalis* (Rani et al., 2010) and were tentatively identified as derivatives 1 and 2 (table 18, fig54 a).

- Some of the other peaks in both the extracts at 5.76 min (348  $m/z$ ), 7.86 min (412  $m/z$ ) and 7.91 min (412  $m/z$ ) could not be identified and were derived as nitrocompounds ( $[M+H]^+$  formula details in table 18).
- The dihydrochalcone phloretin (fig. 54 b) was identified at 6.27 min retention time with  $m/z$  275, and fragment ions at  $m/z$  215 and 229.



**Fig. 53** LC-MS chromatogram of *Althaea officinalis* (methanol extract) in positive mode scan for time duration of 15 min.



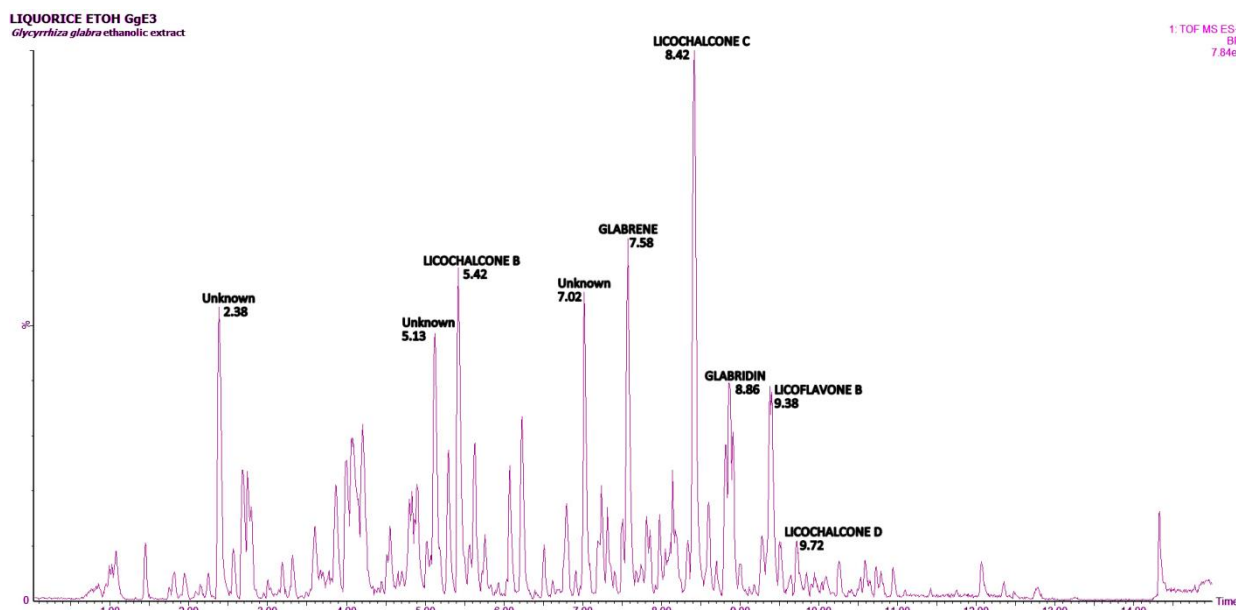
**Fig. 54** Structures of some of the main compounds identified from crude herbal extracts of *Althaea officinalis* (positive mode): (A) Altheahexacosanyl lactone; (B) Phloretin.

2. *Glycyrrhiza glabra* (ethanol) extract (fig. 55 shows the chromatogram of the ethanolic extract):

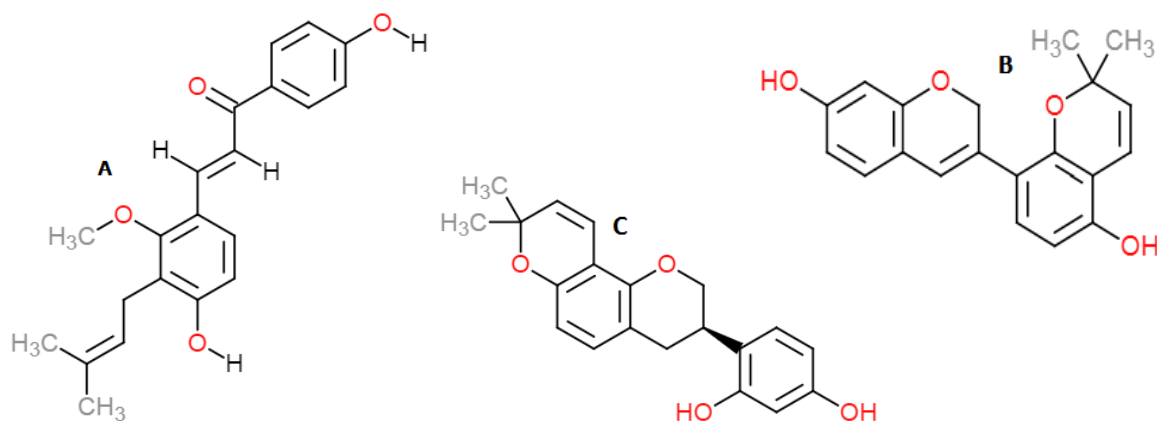
- The positive scan of the ethanol extract resulted in the identification of three major compounds in *G.glabra* namely, licochalcone C (339  $m/z$ ), glabrene (323  $m/z$ ) and glabridin (325  $m/z$ ) at retention time points 8.42, 7.58 and 8.86 min, respectively. The UV detection wavelength for licochalcone B was at 354 nm (fig. 56). The daughter ions

for licochalcone C where identified as  $m/z$  229 and 297, whereas the products ions for glabrene and glabridin were identified as  $m/z$  267 and  $m/z$  123, 149, 189 respectively.

- Licoflavone B was detected at a retention time 9.8 min and  $m/z$  391 at UV wavelength of 300 nm.



**Fig. 55** LC-MS chromatogram of *Glycyrrhiza glabra* (ethanol extract) in positive mode scan for time duration of 15 min.



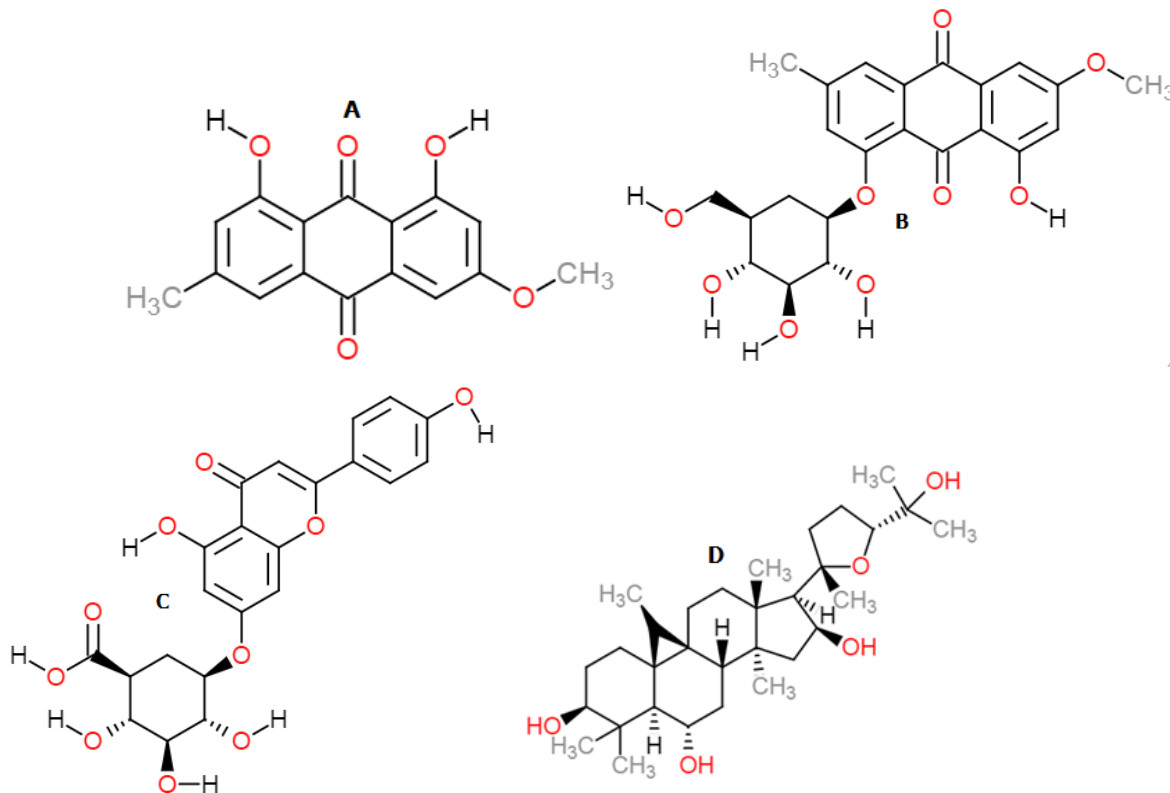
**Fig.56** Structures of some of the main compounds identified from crude herbal extracts of *Glycyrrhiza glabra* (positive mode): (A) Licochalcone C; (B) Glabrene; (C) Glabridin.

### 3. *Astragalus membranaceus* (aqueous) extract:

- The prominent peaks were identified as physcion (285  $m/z$ ), physcion 8-glucoside (447  $m/z$ ) and apigenin 7-glucuronide (269  $m/z$ ) (fig. 57). Physcion had a retention time of 5.76 min and its product ions were identified as  $m/z$  206, 219, 240, 268 and 270.

Physcion 8-glucoside eluted at 4.09 min, while apigenin 7-glucuronide eluted at 7.03 min.

- The bioactive saponin cycloastragenol was identified at 4.99 min, though in lesser concentrations, with  $m/z$  491 and product ions  $m/z$  270, 286 and 383.



**Fig.57** Structures of some of the known and proposed compounds identified from crude herbal extracts of *Astragalus membranaceus* (positive mode): (A) Physcione; (B) Physcione 8-glucoside; (C) Apigenin 7-glucuronide; (D) Cycloastragenol.

**Table [18]** Compounds detected in *A.officinalis*, *G.glabra* and *A.membranaceus* extracts in positive scan mode in LC-MS/ PDA.

SL NO.	RT (MIN)	M+H	[M+H] <sup>+</sup>	MS/MS <sup>C</sup>	TENTATIVE ID <sup>#</sup>	COMPOUND NATURE
<b>AoM2</b>						
1	7.60	398.3265	C <sub>26</sub> H <sub>54</sub> O <sub>2</sub>	118, 119, 202, 231, 270, 321, 349	Altheahexacosanyl lactone derivative 1?	Lactone
2	7.43	398.3266	C <sub>26</sub> H <sub>54</sub> O <sub>2</sub>	118, 136, 331	Altheahexacosanyl lactone derivative 2?	Lactone
3	5.76	348.2172	C <sub>20</sub> H <sub>30</sub> NO <sub>4</sub>	128, 219, 302	Unknown	–
4	7.86	412.3422	C <sub>24</sub> H <sub>46</sub> NO <sub>4</sub>	118, 301, 332	Unknown	–
<b>AoE3</b>						
1	7.65	398.3267	C <sub>26</sub> H <sub>54</sub> O <sub>2</sub>	118, 119, 202, 231, 270, 321, 349	Altheahexacosanyl lactone derivative 1?	Lactone
2	7.44	398.3258	C <sub>26</sub> H <sub>54</sub> O <sub>2</sub>	118, 136, 331	Altheahexacosanyl lactone derivative 2?	Lactone
3	7.91	412.3416	C <sub>24</sub> H <sub>46</sub> NO <sub>4</sub>	118, 184, 333	Unknown	–
4	6.27	275.0906	C <sub>15</sub> H <sub>15</sub> O <sub>5</sub>	215, 229	Phloretin	Dihydrochalcone
<b>GgE3</b>						
1	8.42	339.1591	C <sub>21</sub> H <sub>23</sub> O <sub>4</sub>	229, 297	Licochalcone C	Chalcone
2	7.58	323.1274	C <sub>20</sub> H <sub>19</sub> O <sub>4</sub>	267	Glabrene	Isoflavonoid

3	5.42	287.0912	C <sub>16</sub> H <sub>15</sub> O <sub>5</sub>	121, 240	Licochalcone B	Chalcone
4	7.02	269.0807	C <sub>16</sub> H <sub>13</sub> O <sub>4</sub>	197, 253	Unknown	–
5	5.13	431.1343	C <sub>22</sub> H <sub>23</sub> O <sub>9</sub>	269	Unknown	–
6	8.86	325.1439	C <sub>20</sub> H <sub>21</sub> O <sub>4</sub>	123, 149, 189	Glabridin	Isoflavane
7	9.38	391.1907	C <sub>25</sub> H <sub>25</sub> O <sub>4</sub>	333	Licoflavone B	Flavones
8	9.72	355.0125	C <sub>21</sub> H <sub>23</sub> O <sub>5</sub>	297, 338	Licochalcone D	Chalcones
<b>AmA1</b>						
1	5.76	285.0763	C <sub>16</sub> H <sub>13</sub> O <sub>5</sub>	206, 219, 240, 268, 270	Physcion	Flavonoid
2	14.32	247.1665	C <sub>11</sub> H <sub>23</sub> N <sub>2</sub> O <sub>4</sub> , C <sub>16</sub> H <sub>23</sub> O <sub>2</sub>	124, 148, 226	Unknown	–
3	4.09	447.1295	C <sub>22</sub> H <sub>23</sub> O <sub>10</sub>	269, 270, 285	Physcion 8-glucoside	Anthraquinone glucoside
4	7.22	192.1388	C <sub>12</sub> H <sub>18</sub> NO	91, 119, 167	Unknown	–
5	7.03	269.0805	C <sub>16</sub> H <sub>13</sub> O <sub>4</sub>	197, 225, 237	Apigenin 7-glucuronide	Flavone metabolite
6	1.65	259.0928	C <sub>10</sub> H <sub>14</sub> N <sub>3</sub> O <sub>5</sub> , C <sub>8</sub> H <sub>18</sub> NO <sub>8</sub>	150, 196, 210	Unknown	–
7	5.12	431.1347	C <sub>22</sub> H <sub>23</sub> O <sub>9</sub>	269, 270	Unknown	–
8	4.99	491.1021	C <sub>30</sub> H <sub>49</sub> O <sub>5</sub>	270, 286, 383	Cycloastragenol	Bioactive Saponin
<b>#REFERENCES</b> – Sendker et al., 2017; Wintola and Afolayan, 2017; Deters et al., 2016; Soleimany et al., 2016; Liu et al., 2016; Du et al., 2014; Bolleddula et al., 2012; Wang et al., 2012; Farag et al., 2012; Čejchanová, 2011; Hossain et al., 2010; Rani et al., 2010; Li et al., 2007; Ye et al., 2005. <a href="https://www.ncbi.nlm.nih.gov/pccompound">https://www.ncbi.nlm.nih.gov/pccompound</a> ; <a href="https://massbank.eu/MassBank/">https://massbank.eu/MassBank/</a> ; <a href="https://metlin.scripps.edu/">https://metlin.scripps.edu/</a> ; <a href="https://webbook.nist.gov/chemistry/mw-ser/">https://webbook.nist.gov/chemistry/mw-ser/</a>						

The major identified compounds for the three active extracts were relatively quantified (in mg/L equivalent units of gallic acid/ quercetin), with respect to the linear calibration curve of the reference standards quercetin and gallic acid (table 19). The major compounds altheahexacosanyl lactone derivatives 1 & 2, were relatively quantified at 3874.310 and 1341.994 mg/L in AoM2 and 4324.664 and 1903.778 mg/L in AoE3, respectively. In GgE3 extract, licochalcone C was the most prominent compound (2208.600 mg/L) followed by glabrene and licochalcone B. For AmA1, all the identified compounds were found to be < 500 mg/L in concentration.

**Table [19]** Relative quantification of the major compounds identified in *A.officinalis*, *G.glabra* and *A.membranaceus* extracts in positive scan mode in LC-MS/ PDA.

# SLNO	COMPOUND NAME	SAMPLE NAME	AREA	RT(MIN)	CONC. UNITS* (MG/L EQUIVALENTS OF GALLIC ACID/ QUERCETIN)
<b>Compound 1</b>		<b>Altheahexacosanyl lactone derivative 1</b>			
1		AoM2	7560.8280	7.60	3874.310
2		AoE3	8439.7201	7.65	4324.664
<b>Compound 2</b>		<b>Altheahexacosanyl lactone derivative 2</b>			
1		AoM2	2618.8600	7.43	1341.994
2		AoE3	3715.2160	7.44	1903.778
<b>Compound 3</b>		<b>Phloretin</b>			
1		AoE3	2103.2310	6.27	1077.780
<b>Compound 4</b>		<b>Salvigenin (5-Hydroxy-6,7,4'-trimethoxyflavone)</b>			

1		AoM2	190.1650	24.36	18.845
2		AoE3	154.5610	24.36	10.744
Compound 5	Trihydroxy octadecenoic acid				**
1		AoE3	189.0380	24.45	249.011
Compound 6	Eucommiol				
1		AoM2	365.7730	21.09	58.802
2		AoE3	321.1200	21.08	48.642
Compound 7	Dihexose				
1		AoM2	117.9770	1.80	2.420
2		AoE3	168.5270	1.76	13.922
3		AmA1	131.4520	1.76	5.486
Compound 8	Calycosin (7,3'-dihydroxy-4'-methoxyisoflavone)				
1		AmA1	105.4160	24.21	0.070
Compound 9	Isocitric acid				
1		AmA1	174.1490	3.72	228.809
Compound 10	Apigenin 7-glucuronide				
1		AmA1	472.3550	7.03	242.102
Compound 11	Licochalcone C				
1		GgE3	4310.0950	8.42	2208.600
Compound 12	Glabrene				
1		GgE3	2808.0590	7.58	1438.941
Compound 13	Licochalcone B				
1		GgE3	2377.3450	5.42	1218.239
Compound 14	Glabridin				
1		GgE3	1454.4840	8.86	745.355
Compound 15	Licoflavone B				
1		GgE3	1083.8200	9.38	555.423
Compound 16	Licochalcone D				
1		GgE3	387.8520	9.72	198.802
Compound 17	Physcion				
1		AmA1	839.8840	5.76	430.428
Compound 18	Physcion 8-glucoside				
1		AmA1	349.3340	4.09	179.065
Compound 19	Cycloastragenol				
1		AmA1	168.9620	4.99	86.640

\* The relatively quantified concentrations are reasonable approximations of the relative amounts of the identified compounds present in each extract (Bhandari and Rajbhandari, 2015; Punyasiri et al., 2015); \*\* All the acid compounds are relatively quantified equivalent to the linear curve of gallic acid, \*\*\* All the non-acid compounds are relatively quantified equivalent to the linear curve of quercetin.



## Chapter 4

# DISCUSSION

### 1.1 Biochemical phytoprofilng

Medicinal plants are a source of natural components for both traditional systems of medicine such as Ayurveda, Chinese, Unani, and homeopathy as well as modern medicine. Herbal medicine is gaining more popularity as tea extracts, health and fitness supplements, over-the-counter tablets, as well as potential ingredients for the pharmaceutical industry. Most of the herbs are not fit for consumption in their natural state and preparations suitable for administration are made or advised by THPs. Most of these preparations are hot water tea decoctions. In certain cases, use of alcohols such as brandy is also a practice to prepare extracts. As described by the THPs, the herbs used in this study are incubated overnight with alcoholic beverages such as brandy before consumption (Thring and Weitz, 2006). Hence aqueous and ethanolic extractions have been selected for this study. Methanol and ethyl acetate are effective solvents in extracting a wide range of hydrophilic phytochemicals (Dhawan and Gupta, 2017), especially flavonoids and phenols (Siddhuraju and Becker, 2003). Aqueous extractions produce the more hydrophilic constituents for most herbs. However higher pharmacological activity is generally observed in methanolic, ethanolic and ethyl acetate extractions (Dhanani et al., 2017). In this study, except for *Withania somnifera* and *Glycyrrhiza glabra*, all other herbs gave the highest yield with the aqueous extraction, *Inula helenium* having the highest % yield of 55%, followed by *Ocimum basilicum* with 33.57%. The lowest % yield was observed in the methanolic extract of *I.helenium* (0.44%).

Qualitative analysis of the herbs is an essential step as a precursor to *in vitro* studies and analytical fingerprinting of the phytoconstituents. Standard methodologies are followed to analyse the various classes of compounds present in each extract based on precipitate formation and color intensity on addition of specific chemical reagents. As per the results, the extracts of *G.glabra*, *Astragalus membranaceus*, *I.helenium* and *O.basilicum* had prominent phytochemicals such as phenols, alkaloids, tannins, terpenoids and glycosides.

Saponins were observed in *O.basilicum*. High intensity of flavonoids, phenols, alkaloids, terpenoids and glycosides were observed in *G.glabra*, *I.helenium* and *O.basilicum*, some of these compounds could also attribute to their strong aromatic nature (Petkova et al., 2015; Loughrin and Kasperbauer, 2003). Phytochemical composition, antimicrobial and medicinal

properties of *G.glabra*, and compounds isolated from its roots such as glycyrrhizin have previously been reported (Karkanis et al., 2018; Thakur et al., 2016). Saponins, alkaloids, flavonoids as well as phenolic compounds such as liquiritigenin, liquiritin apioside, neoliquiritin apioside, isoliquiritin etc. have been identified (Ammar et al., 2012). Plants from the genus *Inula* being popular medicines in Africa, have been analysed for their bioactive components. Mono- and cyclic sesqui-terpene lactones are some of the major phytochemicals identified in *I.helenium* (Zhao et al., 2006; Seca et al., 2014) along with flavonoids (Yanitskaya et al., 2012). *O.basilicum* extracts have been analysed to determine triterpenoid saponins (Habib et al., 2016), alkaloids, flavonoids, saponins and anthraquinones from the methanolic extract as well as glycosides, tannins, terpenoids, phenols and phlobatannins in the aqueous extract (Fakhroo and Sreerama, 2016). Major saponins such as astragaloside and astragaloside were identified from the ethanolic extract of *Astragalus* roots (Ju et al., 2008). Other phytochemical studies investigated the flavonoid derivatives and lignans present in this herb (Bratkov et al., 2016; Lee et al., 2008). The biochemical profile of some of the herbs in this study showed the presence of more compounds such as phytosteroids in *W.somnifera* and *O.basilicum*. The ethyl acetate extract of *O.basilicum* comprised of all the phytochemicals including steroids, in trace amounts. The presence of more coumarins and alkaloids in the extracts of *A.membranaceus* and *I.helenium* compared to the other class of phytochemicals was new data. Previous studies have not reported comparative biochemical analysis of all extracts for each herb, including the ethyl acetate extract.

As illustrated in the phyto-CYP interaction assessment prediction model (fig. 3), flavonoids have been previously reported to have inducing as well as inhibitory effects on CYPs. Common phytoconstituents such as rutin, isoquercitrin and quercetin have inducing effects on many CYPs, while dimethoxy flavanones inhibit certain CYPs. Since the intensity of flavonoids was high in *G.glabra*, *I.helenium* and *O.basilicum*, the prediction model would associate these herbs to have inhibitory or inducing effects on the CYPs. Saponins as well as glycosides are known to have moderate inhibitory effects on certain CYPs (3A4, 1A2), which could indicate that *A.membranaceus* and *O.basilicum* also have more inhibitory effect based on the type of extract used, attributing to the solvent extraction. Extracts of *Althaea officinalis* had mostly flavonoids and glycosides; some of these compounds could make them potential candidates to cause HDI. From the qualitative analysis, the herbs that would cause strong interactive effect with the CYPs based on the presence of the various classes of phytochemicals were ranked as follows: 1. *O.basilicum*, 2. *G.glabra*, 3. *A.membranaceus*, 4. *I.helenium*, 5. *W.somnifera* and *A.officinalis*.

From the biochemical analysis, the intensity of phytochemicals present in *W.somnifera* and *A.officinalis* was comparatively less, indicating their potential to cause moderate yet clinically relevant HDI.

## 1.2 CYP inhibition

HLM assays for *in vitro* inhibitory evaluation of herbs on CYP metabolism is one of the most convenient methodologies, recommended by FDA (FDA Clinical Pharmacology Guidelines, 2012). Mostly the assay samples are analysed using HPLC and LC-MS techniques, for quantification of the metabolites, the parent drug and the internal standard. Two methods using different solvents were developed to separate and quantify EFV, E8H and NEO as well as RIF, 25ODESRIF and NEO. The assay yielded well-resolved peaks for all the analytes with good accuracy, precision and recovery, within a short run time. As per literature, biphenyl columns are preferable for the analysis of cyclic compounds (Powell and D'Arcy, 2013); hence a comparative study was done to analyse EFV and its metabolite using a biphenyl column as well as a C-18 column. Good separation of analytes was obtained on both columns, however shorter run time was observed in the C-18 column. For RIF, a column with comparatively larger diameter was preferred and the C-18 Luna column gave good separation.

The HLM assay samples were analysed using the same methods as the standards, and a gradient elution gave better results, and negated the interference of other metabolites or co-factors from the assay. For the HLM and time variant assays, consistent results were observed for both EFV and RIF. Based on the rate of metabolite formed, as well as the time of incubation, 0.5 mg/mL and 0.25 mg/mL protein concentrations were selected for EFV and RIF assays, respectively. EFV has a higher binding affinity to CYP2B6 with reported  $K_m$  values ranging from 13-20  $\mu\text{M}$  (Ward et al., 2003; Ogburn et al., 2010) compared to other substrates such as bupropion (Xu and Desta, 2013). Other reported  $K_m$  values of EFV include 3.2-8.8  $\mu\text{M}$  (in CYP2B6.1/2B6.6 isoenzymes; Xu et al., 2012) and 14.3-15.9  $\mu\text{M}$  (in CYP2B6/2B6.4 isoenzymes; Bumpus et al., 2006). The  $K_m$  value expressed for EFV-HLM in this study (15.08  $\mu\text{M}$ ) was in agreement with the latter values reported in literature.

Previously reported values of RIF  $K_m$  in transporters SLCO1B1 (OATP1B1, OATP-C, OATP2, LST-1) in HeLa-OATP1B1, OATP1B1-expressing oocytes, and SLCO1B3 (OATP1B3, OATP8) in OATP1B3-expressing oocytes are 1.5  $\mu\text{M}$ , 13  $\mu\text{M}$  and 2.3  $\mu\text{M}$  (Tirona et al., 2003; Vavricka et al., 2002) respectively. High rifampicin hydrolase activity was observed in

recombinant human arylacetamide deacetylase (AADAC) and human liver and jejunum microsomes with  $K_m$  values 0.2 mM, 0.4 mM and 0.3 mM, respectively (Kobayashi et al., 2012). In a study on *Staphylococcus aureus*, based on the accumulation of  $^{14}\text{C}$ -Rifampicin, the  $K_m$  value expressed was 0.06  $\mu\text{M}$  (Williams and Piddock, 1998).

The *in vitro* data showed that 25ODESRIF is the primary metabolite, which concurs with previous studies (Seng et al., 2015; Panchagnula et al., 1999). However since RIF is conventionally used as an inducer of CYP3A4 with other drugs, no substantial *in vitro* data is available on the kinetics of RIF ( $K_m$  and  $V_{max}$ ) based on the formation of 25ODESRIF using HLMs.

In the two-point screening assays all herbs were screened for inhibitory activity against CYP2B6 and the metabolism pathway of RIF, since the THP advises using combination of these herbs in scenarios of HIV patients with TB. The results showed that except for ObA1 (affected only RIF metabolism), all extracts of *Ocimum basilicum* and *Inula helenium* inhibited CYP2B6-mediated metabolism of EFV and formation of 25ODESRIF from RIF. Among the other herb extracts including ObA1 there was an increase in the rate of formation of 25ODESRIF at both concentrations (20, 200  $\mu\text{g/mL}$ ). For the extracts of *I.helenium*, the activity was concentration-dependent against CYP2B6; IhA1, IhM2 and IhEtA4 inhibited CYP2B6 at higher concentration (200  $\mu\text{g/mL}$ ). IhM2 reduced the activity to 30% at 200  $\mu\text{g/mL}$ , whereas IhE3 reduced the activity to 35% at both 20 and 200  $\mu\text{g/mL}$  showing that the ethanolic extract inhibitory effect was not concentration-dependent and was the most potent amongst all the *Inula* extracts. IhE3 has a similar inhibitory effect on rifampicin metabolism also. AmE3 was the only other extract that showed concentration-independent inhibitory effect on CYP2B6. This proved that different solvent extractions had different effects on the activity of the CYPs because the polar/non-polar nature of the solvents whereby different phytoconstituents get extracted in each solvent. ObM2, ObE3, IhM2 and IhE3 strongly inhibited CYP2B6 activity. The ethyl extracts of *A.membranaceus* and *W.somnifera* also inhibited CYP2B6.

The *in vitro* activation of CYP-mediated metabolism of certain substrates has been analysed and reported previously (Tracy, 2006; Hutzler et al., 2003; Atkins et al., 2001; Korzekwa et al., 1998); however the relevance of such CYP modulations *in vivo* is still uncertain. CYP activation is not associated with the increase in mRNA expression and protein levels as in induction, but only an enhancement in the enzyme activity. This enzyme modulation is

measured by the amount of metabolite formed by the CYP from its relevant substrate. In this study the aqueous, methanolic and ethanolic extracts of *Glycyrrhiza glabra* activated CYP2B6 metabolism of efavirenz, increasing the remaining activity by 200% at a higher concentration (200  $\mu\text{g/mL}$ ). The aqueous extract of *W.somnifera* increased CYP2B6 metabolism by 195% at a concentration of 20  $\mu\text{g/mL}$ . Similarly, an increase in the metabolism of rifampicin was noticed for many extracts such as ObA1 (170% at 20  $\mu\text{g/mL}$ ), AmA1 (143% at 200  $\mu\text{g/mL}$ ), GgA1 (136% at 200  $\mu\text{g/mL}$ ), AmM2 (146% at 200  $\mu\text{g/mL}$ ), AmE3 (122% at 200  $\mu\text{g/mL}$ ) and AoE3 AmM2 (118% at 200  $\mu\text{g/mL}$ ). A possible reason explaining this mechanism of CYP activation would be the presence of multiple binding sites at the active site of the enzyme (Tracy, 2006; Atkins et al., 2001; Korzekwa et al., 1998). The activation of CYP2B6 and the enzymes involved in rifampicin metabolism could be due to the ability of the phytoconstituents present in these extracts, to bind to the active site of these enzymes thereby inducing conformational changes, thereby increasing the activity. CYP3A4 activation with similar speculations was reported previously, where an increase in the metabolism of dextrophan and the formation of 3-methoxymorphinan was observed in microsomes treated with *Ferula asafetida* extracts (Al-Jenoobi et al., 2014). Furthermore, such CYP modulations are concentration-dependant.

In the  $\text{IC}_{50}$  screening, AmEtA4 exhibited strong inhibition of CYP2B6 (29.70  $\mu\text{g/mL}$ ) followed by ObM2 (36.07  $\mu\text{g/mL}$ ), and IhM2 (42.79  $\mu\text{g/mL}$ ), while all other extracts had  $\text{IC}_{50}$  values > 50  $\mu\text{g/mL}$ . The positive control TICL inhibited CYP2B6 with an  $\text{IC}_{50}$  value 14.47  $\mu\text{g/mL}$  (54.88  $\mu\text{M}$ ). In the case of rifampicin, ObE3 was a potent inhibitor of its metabolism with an  $\text{IC}_{50}$  value of 8.94  $\mu\text{g/mL}$ , followed by IhE3 (18.58  $\mu\text{g/mL}$ ) and ObM2 (31  $\mu\text{g/mL}$ ). The ethanolic and methanolic extracts of *I.helenium* showed more inhibition in the metabolism of EFV and RIF compared to its aqueous extract. Comparatively NELF inhibited RIF biotransformation to 25ODESRIF, with an  $\text{IC}_{50}$  value of 5.44  $\mu\text{g/mL}$  (9.59  $\mu\text{M}$ ). NELF was used as an inhibitor control for RIF pathway based on the assumption that certain CYP inhibitors could also inhibit esterases (Polsky-Fisher et al., 2006). The  $\text{IC}_{50}$  curves for some of the extracts also showed that a proportion of enzyme activity was not inhibited by increased concentrations of the extract. For example, in the 2-point screening against CYP2B6, IhM2 reduced the activity to 30% at 200  $\mu\text{g/mL}$ . However in the  $\text{IC}_{50}$  curve, the enzyme activity was reduced to less than 50% at a concentration of 100  $\mu\text{g/mL}$ , beyond which the rate of inhibition was less. This indicates that the 2-point screening data is only a relative measure of the inhibitory effect of an extract at two different concentrations (ten-fold difference as in this case) and does not always correlate with the observed  $\text{IC}_{50}$  data. Similarly, ObE3 and IhE3 showed most activity for a concentration

range from 0-100  $\mu\text{g/mL}$ . At higher concentrations ( $>100 \mu\text{g/mL}$ ) the  $\text{IC}_{50}$  curve did not show a drop in the % of remaining activity. In contrast the  $\text{IC}_{50}$  curve for IhA1 showed weaker inhibition till the concentration range from 0-125  $\mu\text{g/mL}$  ( $\text{IC}_{50} 122 \mu\text{g/mL}$ ); between 150 and 200  $\mu\text{g/mL}$  concentrations of this extract, the inhibition curve dipped further reducing the remaining activity to 20%.

Some of the previously reported  $\text{IC}_{50}$  values of ticlopidine are 0.32  $\mu\text{M}$  (bupropion CYP2B6; Turpeinen, 2004), 2.1  $\mu\text{M}$  (2C19, Chang et al., 2009); 163  $\mu\text{M}$  (TDI for NADPH in HLM; Cerep app. notes, 2010), 12.4-50  $\mu\text{M}$  (for various CYPs; Hagihara et al., 2008). Comparatively, the  $\text{IC}_{50}$  value obtained in this study for ticlopidine (54.88  $\mu\text{M}$ ) was within the range reported earlier of 12.4-55  $\mu\text{M}$  (Choi et al., 2011; Hagihara et al., 2008). In the literature,  $\text{IC}_{50}$  values for nelfinavir have been reported as 1.90  $\mu\text{M}$  (on CYP3A4 isoform; Granfors et al., 2006), 11-60  $\mu\text{M}$  (on UGTs), 8.4  $\mu\text{M}$ , 2.7  $\mu\text{M}$  (UGT1A1, HLM) (Zhang et al., 2005) and 2.7  $\mu\text{M}$ , 5.1  $\mu\text{M}$  (in cell culture assays; Mahdi et al., 2015). The  $\text{IC}_{50}$  value of nelfinavir in this study (9.59  $\mu\text{M}$ ) was slightly higher than previously reported for an HLM assay (2.7  $\mu\text{M}$ ); however the assay conditions and substrates were different; in the latter bilirubin was the substrate and nelfinavir was used as an inhibitor of bilirubin glucuronidation. As per the FDA guidelines (Clinical Pharmacology, 2012) there are no selective *in vitro* inhibitors available for CYP2B6-mediated metabolism; phencyclidine, thiotepa and ticlopidine have been used as time-dependent inhibitors.

TDI is an MBI process where the potency of the inhibitor increases upon prolonged exposure to the enzyme during the pre-incubation period, and the potential formation of metabolites that possess stronger inhibitory activity than the parent molecule to inactivate the enzyme through irreversible binding. The inactivated enzyme has to be replaced by newly synthesized protein to restore activity (*de novo* synthesis) (Meibohm and Zhou, 2013). Since most of the herbal medications are consumed on a daily basis, the inhibition observed could increase over time. Hence all extracts showing inhibition were screened for TDI  $\text{IC}_{50}$  shift-fold, on preincubation with NADPH, and it was observed that some of the extracts that were not potent inhibitors of CYP2B6 and CYP3A4, had a TDI effect on their activity thereby lowering the  $\text{IC}_{50}$  value. TDI results in a prolonged interaction with the metabolism of the involved drugs, such as efavirenz in this case. Similar studies have shown that other drugs such as isoniazid have strong TDI effect on the metabolism of efavirenz by CYP2B6 (Court et al., 2014).



Almost all extracts of *I.helenium* had a lower TDI IC<sub>50</sub> value on both CYP2B6 and esterase-mediated metabolism of RIF, except for IhA1, IhE3 and IhEtA4 (only showed TDI on 25ODESRIF formation). IhM2 showed most TDI effect on both the enzymes (33 µg/mL and 23.11 µg/mL respectively). ObA1 and ObM2 had strong TDI effect on CYP2B6. ObM2 had the highest TDI shift-fold (> 7). Comparatively both positive controls showed TDI too, the shift-fold observed was >1.5. A possible reason for the TDI effect of most of the herbal extracts could be attributed to the rate of formation of reactive secondary metabolites on preincubation. Extracts such as WsM2, AmE3, ObM2, IhE3 (CYP2B6) and IhM2, IhE3 (RIF-esterases) showed more TDI at lower concentrations on pre-incubation with NADPH, which could be due to the formation of more reactive metabolites at higher concentration, that could have resulted in the uncoupling of the ‘active’ inhibitory phytoconstituent from the binding site of the enzyme, thereby reducing its TDI (Fowler and Zhang, 2008). At lower concentrations, there might be less secondary metabolites to interfere with the binding of the active constituent to the enzyme thereby showing more TDI.

IhA1, IhM2 (CYP2B6) and IhM2 (RIF-esterases) showed more TDI at higher concentrations on preincubation with NADPH which could indicate the possibility of MBI. TICL and NELF also inhibited more at higher concentrations indicating their MBI of both the CYPs.

### 1.2.1 Phytochemical analysis of extracts causing inhibition

The use of pure compounds of particular phytochemical groups, as standard reference markers based on their important properties, is the “gold standard” for analytical fingerprinting (Zöllner and Schwarz 2013). The LC-MS/ PDA analysis used quercetin and gallic acid as reference markers. In other instances where pure reference standards for the various phytocompounds were not available, tentative identifications were made for the compounds present in each extract on the basis of their accurate mass, elemental composition and the corresponding MS fragmentation spectra from the literature, and the UV maxima.

The LC-MS/PDA analysis approach outlined the following principles for identification/relative quantification of a phytocompound: 1. Accurate masses of the major peaks from the chromatograms, and their product ion transitions (MS/MS fragments) 2. Relative retention times and UV maxima 3. Comparison of the masses and their transitions to known compound matches in spectral database repositories and literature, and 4. Based on specific methods and conditions, each reference standard gave an optimum peak resolution at a specific retention time



for the positive and negative scan modes, and this was used to set up a calibration curve for relative quantification of the identified phytoconstituent in the herbal extract.

For all the extracts that showed inhibitory activity on CYP2B6 and RIF metabolism in HLMs, the LC-MS analysis both in positive and negative scan modes mainly helped in identifying the polyphenols and flavonoids in these extracts, and relatively quantifying the major compounds using the calibration curves set up for the reference standards gallic acid and quercetin. For *O.basilicum* the major polyphenol identified was rosmarinic acid, which is a potential inducer of certain CYPs. This aromatic compound is a major constituent in the fragrant essential oil from *basil*. The other major compounds included salvigenin, tartaric, isocitric, caftaric and chicoric acids and the flavonoid rutin, apigenin-7-O-glucoside along with eupatorin. These compounds had shown varying levels of inhibition on the CYPs and could have contributed to the activity shown by *basil* extracts in HLM on CYP2B6 and RIF metabolism. In previous studies, salvigenin was reported to have a moderate inhibiting effect on the activity of CYP3A enzymes (Quintieri et al., 2007). The caftaric and chicoric acid present in *Echinacea*, is known to interfere with CYP activity, especially inhibiting CYP3A4 (Cichello et al., 2016; Bossaer and Odle, 2012). Rutin had previously been reported to inhibit CYP3A4 in human hepatoma cells (Karakurt, 2016). Apigenin derivatives have also shown an inhibitory effect on CYP3A4 activity (Tang et al., 2017, Basheer and Kerem, 2015). Rosmarinic acid induced the *in vitro* activity of CYP1A, 2B, and 3A in rat HepG2/C3A and MH1C1 cells (Cho and Yoon, 2015) and jasmonic acid strongly induced CYP mRNA in biotransformation of (–)-isopiperitenone (Son et al., 1998). Highest concentrations (mg/L equivalents of quercetin/ gallic acid) of salvigenin, eupatorin, and caffeic acids were observed in the ethanolic extract; hence it caused strong inhibition of both the RIF metabolism pathway, compared to the aqueous and methanolic extracts. Eupatorin has previously been reported to inhibit CYP1A2 with IC<sub>50</sub> value of 50.8  $\mu$ M (Pan et al. 2014), and strongly inhibit the *in vitro* proliferation of MDA-MB-468 human breast cancer cells that express CYP1A1 (Androutsopoulos et al., 2008). Furan-2(3H)-one compound, a moderate inhibitor of CYP1A2 (Martikainen, 2012), was observed in the aqueous extract. The methanolic extract had much more phytochemicals including rutin and medioresinol, which could explain its inhibitory effect on both CYP2B6 and RIF metabolism. This matched the HDI data (section 1.7.6) on the inhibitory characteristics of *basil* with other CYPs.

The fingerprint results indicated the presence of compounds that have mostly inhibitory effect on CYP activity. Compounds such as salvigenin, tartaric, isocitric, caftaric and chicoric acids, apigenin-7-O-glucoside, eupatorin, furan-2(3H)-one and rutin are capable of inhibiting CYP-

mediated metabolism (Ashour et al., 2017; Pan et al. 2014; Martikainen, 2012; Mandery et al., 2010; Androutsopoulos et al., 2008; Quintieri et al., 2007; Budzinski et al., 2000), which could explain the inhibitory effects of *basil* extracts on CYP2B6 and RIF metabolism.

For the extracts of *I.helenium*, the phytochemical profile comprised more of germacrane sesquiterpenoids and lactones, along with quinic acid. Tanacetol A was the major compound detected, along with macrophyllilactone B. Germacrane sesquiterpenoids of the similar category have shown inhibitory potential on CYP2B6 and CYP3A4 activities *in vitro*, with IC<sub>50</sub> values below 10  $\mu$ M (Pimkaew et al., 2013), which could explain as to how *Inula* extracts are the strong inhibitors of both CYP2B6 and RIF metabolism. The positive scan showed the presence of isoalantolactone (helenin) which has good inhibitory potential on CYPs such as CYP2C19 (IC<sub>50</sub> 38 $\mu$ M, Kong et al., 2014). Epigallocatechin gallate was mostly present in IhM2 along with the sesquiterpene alcohol - tanacetol A (Špičáková et al., 2017), which could explain as to why this extract inhibits both metabolism pathways. Epigallocatechin gallate has previously been isolated from green tea extracts and identified as an inhibitor of CYP2B6, CYP2C8, CYP2C19, CYP2D6 and CYP3A (Misaka et al., 2013) and CYP2A6, CYP2C19 and CYP2E1 (Muto et al., 2001). Other compounds identified in the aqueous extract included chlorogenic acid, cryptochlorogenic acid and 5-caffeoylquinic acid. Chlorogenic acid compounds have previously been reported to inhibit CYP2C8, CYP2C9, CYP2B6, CYP2C19, CYP1A2 and CYP3A4 in HLMs (Kang et al., 2016). The synergistic effect of all these compounds or the influence of one single compound could be responsible for the inhibitory effect of *Inula* extracts. Alantolactones and isoalantolactones from *Inula* showed strong inhibitory effects on CYP3A4 and CYP2C19 activity (Qin et al., 2015, Kong et al., 2015). Apigenin detected in the ethanolic extract, has previously been reported to have a strong CYP inhibitory effect, comparable to that of known inhibitors such as ketoconazole (Steuck et al., 2016).

Based on these results, there is substantial phytochemical evidence of the presence of compounds in the *Inula* extracts which may have an inhibitory effect on CYP2B6 or on RIF metabolism. Phytocompounds such as tanacetol A, chlorogenic acid, cryptochlorogenic acid, 5-caffeoylquinic acid, epigallocatechin gallate, helenin (isoalantolactone) and apigenin could be involved in the inhibition shown in HLMs (section 3.3.4). Furthermore, pure alantolactones isolated from *Inula* have been shown to inhibit the activity of CYP3A4 and CYP2C19, as discussed in the literature review.

*W.somnifera* was ranked last in the list of herbs as per their biochemical profiles (section 1.1) to have potential in causing HDI as per the phyto-CYP assessment model; however the methanol and ethyl acetate extracts inhibited CYP2B6 metabolism in HLMs, when compared to the other herbs that were ranked higher for having more potential of causing inhibition. The ethyl acetate extract contained higher concentrations of withaperuvine, withanolides, eucommiol and vicosalactone B. Isopelletierine was found only in the methanol extract, along with trace amounts of withaferin derivative. Herbal constituents from these chemical classes including isopelletierine have previously been reported to affect CYP1A2 and CYP3A4 (Hidaka et al., 2005; Yu et al., 2014) activity. These compounds could have been responsible for the significant inhibitory activity shown by *Withania* extracts on CYP2B6 in this study. In previous studies, the principal phytoconstituents from *Withania* (Withaferin-A, Withanolide-A and Withanoside-IV) and crude methanolic extracts did not have any significant inhibitory effect on the activity of CYPs such as CYP3A4, CYP1A and CYP2D6 in both rat and human liver microsomes (Savai et al., 2015; Savai et al., 2014; Savai et al., 2013). In this scenario, a possible explanation would be that the previous studies did not explore the effect of *Withania* on CYP2B6, even though this herb is used by THPs for HIV/AIDS and many other diseases. Compounds such as withaferin A, salvigenin and isopelletierine detected in the phytochemical screening extracts could be involved in the inhibitory effect on CYP2B6, alone or in combination with some or all withanolides or withanosides.

The previous studies on the activity of *W.somnifera* extracts used the roots available in India (Savai et al., 2015; Savai et al., 2014; Savai et al., 2013). In all the studies, no significant inhibition on the CYPs was observed for *Withania*. In this study, the extracts from the roots of *W.somnifera* obtained from South Africa showed significant inhibition of CYP2B6. This could be attributed to the fact that the phytochemical composition of any herb depends on the type of plant, its family characteristics, the geographical conditions and pedodiversity of its place of origin (Gambetta et al., 2017; Machado et al., 2016; Pirbalouti and Mohammadi, 2013). For example, evidence-based studies previously reported the compound withaferin A in *Withania somnifera* (L.) Dunal, being present in most of the Indian chemotypes, but absent in other non-Indian varieties except two chemotypes, one from South Africa and the other from Israel (Kaul et al., 2009).

*A.membranaceus* inhibited CYP2B6 metabolism, and like *Withania* the ethyl acetate extract was more potent than the ethanolic extract, whereas the methanolic extract showed no inhibition

at all. Calycosin (7,3'-dihydroxy-4'-methoxyisoflavone) and formononetin (7-hydroxy-4'-methoxyisoflavone) were prominent in all extracts. Herbal formulations containing these isoflavonoids significantly increased the mRNA expression of hepatic CYP1A2 and CYP2B1/2 in female rats (Jin et al., 2017). Furthermore, formononetin moderately inhibited CYPs such as CYP3A4 and CYP2C9 (Kopečná-Zapletalová et al., 2016). Astragaloside I, II, IV and ononin were detected in the methanolic and ethanolic extracts (using the positive mode scan). Astragaloside IV inhibited the activity of CYP3A4 and CYP2C9 in rat liver microsomes (Wei et al., 2014; Shan et al., 2012). Ononin was one of the compounds isolated from the extracts of plants used in TCM that inhibited CYP3A4 activity (Ashour et al., 2017). Benzopyran derivatives (3',4',7-trimethoxyflavan) and salvigenin were observed in the ethyl acetate extract, which could have contributed to its inhibitory effect on CYPs.

The inhibitory effect of *Astragalus* extracts on CYP2B6 could be due to presence of the various astragalosides as well as other flavonoids such as calycosin, formononetin and ononin. Literature review on *Astragalus* describes the inhibitory effect of the pure astragalosides isolated from the roots of this herb (astragaloside IV, cycloastragenol) and not all its crude extract forms (aqueous, methanolic, ethanolic and ethyl acetate).

*A.membranaceus*, *G.glabra* and *W.somnifera* are few herbs from which many pure molecules have been isolated and identified. The predictions of possible compounds and a literature review of their previous reported interactions with CYPs formed the basis for this analysis, to link the fingerprint of each extract to the inhibition/ induction assays as a potential inhibitor or inducer of CYP2B6 and RIF metabolism pathway. As per literature review, some of the pure molecules from these herbs such as astragaloside, cycloastragenol and glycyrrhizin are inhibitors of the CYPs (Qin et al., 2015; Kong et al., 2014; Wei et al., 2014; Shan et al., 2012; Zhao et al., 2012; Kent et al., 2002). This study has provided substantial data on the potential of the crude extracts (comprising of several active compounds) of these herbs to interact with the CYPs.

### 1.3 CYP induction

Understanding the induction of CYPs is critical especially in scenarios where herbal medicines are used, as a basis for proper clinical studies on their effects on CYP-mediated metabolism. Compared to the other CYPs, CYP3A4 is more efficiently induced (Dogra et al., 1998; Denison and Whitlock, 1995; Waxman and Azaroff, 1992) and was chosen for the mRNA expression study along with CYP2B6. Using this methodology, the major emphasis was on determining the cytotoxicity concentrations (CTC<sub>50</sub>) of each herbal extract by screening various concentrations

(1000.00-31.25 µg/mL) and analysing the CYP2B6/CYP3A4 mRNA expression in HepG2 cell lines using this concentration. Based on this data, the fold responses for both the CYPs were calculated and compared to the (negative) cell control and a positive control.

For almost all extracts, the aqueous and ethyl acetate extracts had higher CTC<sub>50</sub> values than the ethanolic and methanolic extracts indicating a higher potency of the phytoconstituents present in these solvent extracts.

For CYP2B6, the methanolic extract of *O.basilicum* and the aqueous extract of *A.membranaceus* caused moderate induction compared to the cell control (no inducer). The ethanolic extract of *basil* showed moderate induction which could be attributed to the presence of rosmarinic acid both extracts, at varying levels. The ethanolic extract of *G.glabra* showed moderate induction of CYP2B6 which could be due to the presence of compounds such as glycyrrhizin, or the synergistic effect of the licochalcones and licosaponins. However, none of the extracts showed 0.5-fold shift (50% increase) in the levels of gene expression when compared to the positive control, dexamethasone. The total amount of hepatic CYP3A is 33% compared to only 0.2% for total CYP2B6 (Michaels and Wang, 2014). Previous evaluations on CYP2B6 inductions and DDI predictions have shown that the magnitude of CYP3A4 induction both *in vitro* and *in vivo* was found to be higher than CYP2B6 (Fahmi et al., 2016).

All extracts screened for CYP3A4 induction, except for a few ethyl acetate extracts, showed moderate induction of mRNA expression in HepG2 cells. The methanolic and ethanolic extracts of *A.officinalis* showed most activity with 48%-fold-response shift. This was different from the phyto-CYP prediction model ranking based on the biochemical tests, where *Althaea* extracts showed lesser intensity of phytocompounds. The *Althaea* extracts showed no inhibitory effect on CYP2B6 and RIF metabolism, indicating that the principle constituent has more of an inducing effect on the CYPs. The methanolic extract of *basil* and the ethanolic extract of *G.glabra* induced CYP2B6 moderately with an increase in fold-response by 38 and 33%, respectively.

The aqueous extract of *Astragalus* (at CTC<sub>50</sub> concentration 315 µg/mL) induced both the CYPs equally with a 36%-fold response increase compared to the cell control (no inducer). This was slightly different from all other aqueous extracts screened, where the relative induction levels were comparatively less. This could be attributed to the fact that the principle constituent in

*Astragalus* causing induction is extracted most in the aqueous solvent and has a stronger inducing effect on both CYP2B6 and CYP3A4.

An *in vivo* pharmacokinetic study of co-administered methanolic extract of *Withania somnifera* and phenacetin in male wistar rats, revealed that the crude extract induced CYP1A and lead to an approximate 1.5-fold (31%) decrease in AUC 0-24h ( $p < 0.05$ ) (Savai et al., 2014). In this study, the methanolic extracts of *Withania* showed moderate induction of both CYP2B6 (16%-fold) and CYP3A4 (31%-fold).

In this study, all the extracts of *A.membranaceus* moderately induced CYP3A4. Previous studies reported on the inhibitory effect of its pure molecules (astragalosides and cycloastragenol) on CYP3A4-mediated metabolism, and not on its inducing potential. Cycloastragenol and astragaloside IV were analysed and reported previously as potential inhibitors of CYP3A4, and CYP2C9 (Wei et al., 2014; Shan et al., 2012). In this study, the crude extract of *A.membranaceus* was screened against both CYPs using HepG2 cells for mRNA expression; hence it could be that the synergistic effects of various molecules, or some other unidentified phytoconstituent might be making it a potential inducer of CYP3A4. Another possibility is the presence of glycosides in the extracts as shown in the LC-MS analysis in section 3.6.1, and the synergistic effects of these glycosides could be the reason for the relevant induction observed.

Similarly, *O.basilicum* has been reported as an inhibitor of CYP3A4 (Nguyen et al., 2014). In this study the extracts of *O.basilicum* inhibited CYP3A4, and the ethanolic extract moderately induced CYP3A4 mRNA in HepG2 cells.

In most of the induction studies reported on CYPs, using *in vitro* model (hepatocytes) or animal model (rats), the herbal extract is administered for 2-8 days or prolonged time frame up to 4 weeks (Cho and Yoon, 2015; Komoroski et al., 2004) before analysing the CYP protein expression. In this study, the HepG2 cells were incubated with the herbal extracts for a shorter time period of 24 h, for optimum CYP-mRNA expression (Nagarajappa et al., 2016; Brimer, 2011; Jia and Liu, 2009).

### 1.3.1 Phytochemical analysis of extracts causing inhibition

*A.officinalis* extracts were found to have moderate induction potential on CYP3A4. This could be attributed to the high mucilage and fatty acid content within *althaea* roots, especially acids such as trihydroxy-octadecenoic acid. Increased levels of fatty acids are known to induce



hepatic CYP3A4 activity, as reported in an *in vitro* study in HepG2 cell and Fa2N-4 cell lines (Hu et al., 2014). The other prominent compounds included altheahexacosanyl lactone derivatives (n-hexacos-2-enyl-1,5-olide) and phloretin, which could have probably caused the observed induction of CYP3A4 mRNA in HepG2 cells. Polyphenolic apple juice extract consisting of phloretin showed moderate inducing effect on CYP1A1 (Pohl et al., 2006).

The inducing effect shown by the methanolic and ethanolic extracts of *althaea* could be attributed to the presence of compounds such as altheahexacosanyl lactones and phloretin, along with the natural fatty acids present in the mucilage extracted from this herb (Hu et al., 2014). Few of the other known compounds such as 2*B*-hydroxycalamene (altheacalamene), 5,6-dihydroxycoumarin-5-dodecanoate-6*B*-D-glucopyranoside (altheacoumarin glucoside) and *B*-sitosterol were not detected in the LC-MS scans, however the inducing effect could be the synergistic effect of all these compounds. Isolation, characterization and purification of these molecules and separate analysis on HepG2 cells, is warranted.

*O.basilicum* extracts had rosmarinic acid, along with 12-hydroxyjasmonic acid and fukugetin, which could have added to their inducing capabilities on CYP3A4 mRNA expression (Cho and Yoon, 2015).

The bioactive saponin cycloastragenol was analysed in rat hepatic microsome enzymes to induce CYP2E1. Rats treated with cycloastragenol for 7 days to induce hepatic microsomal enzyme, significantly activated CYP2E1, inhibited CYP3A4 and caused no major effect on CYP2D6, CYP1A2 and CYP2C9 activity (Wei et al., 2014). This compound was detected in the aqueous extract of *Astragalus* and could have been the inducer of CYP2B6, or it could have also been the synergistic effect of all the astragalosides and cycloastragenol along with other molecules like physcion.

*Astragalus* injections and *astragalus* granules significantly increased the CYP3A1 mRNA expression on the HLMs in rats; along with inducing CYP3A4 reporter gene luciferase activity in the reporter gene study conducted in human intestinal LS174T cells (Zhang et al., 2013). The results of this study matched the inducing potential previously reported for *astragalus* on the CYPs, though comparatively being moderate inducer of CYP2B6 and CYP3A4 in HepG2 cells.

The ethanolic extract *G.glabra* induced CYP3A4 which could be the combined effect of the licochalcones and glycyrrhizin. As reported previously, CYP3A4 was mostly inhibited by the pure molecules isolated from some of these herbs (Wei et al., 2014; Shan et al., 2012; Zhao et



al., 2012; Kent et al., 2002), except for glycyrrhizin (from *liquorice*) which induced CYP3A4 (Tu et al., 2010). In a study previously reported in mice and rats, multiple oral doses of *liquorice* extract during 4 or 10 days induced the mRNA and protein expression of CYP3A and activity of CYP1A2, CYP2B1 and CYP3A (Cho and Yoon, 2015) indicating the similarity in activity of this extract, causing moderate induction on CYP3A4 mRNA expression in HepG2 cell lines in this study. *Liquorice* extracts including glycyrrhizin have been reported to significantly induce hepatic CYP3A- and, to a lesser extent, CYP2B1- and CYP1A2-dependent microsomal monooxygenase activities, as well as 6beta- (CYP3A), 2alpha, 6alpha- (CYP2A1, CYP2B1) and 7alpha-, 16alpha- (CYP2B9) activities in male and female mice (Paolini et al., 1998). The observed induction could be the effect of glycyrrhizin, because most of the licochalcones extracted from *liquorice* previously, have been associated with inhibitory effects on the CYPs (Li et al., 2017).

The inhibitory/ inducing activity observed for the extracts, could be due to the presence of other unidentified molecules or the synergistic effect of the identified compounds together. It cannot be substantiated if a single molecule alone might cause interactions with CYP2B6 and RIF metabolism, or other unidentified phytoconstituents are involved. For e.g., for basil, the methanolic and ethanolic extracts inhibited CYP2B6 and rifampicin metabolism in HLM, and the same extracts induced CYP2B6 and CYP3A4 mRNA expression in HepG2 cells. The inhibitory effect could be due to the presence of caftaric, chlorogenic and chicoric acids (Cichello et al., 2016; Bossaer and Odle, 2012), or rutin alone (Karakurt, 2016), or a combination of all these molecules along with other unidentified molecules present in the extracts. Similarly, the inducing effect could be attributed to the presence of rosmarinic acid alone in the extracts (Cho and Yoon, 2015), or other compounds such as jasmonic acid (Son et al., 1998), or even a combination of both and other unidentified molecules. Previous studies have reported cases of a plant extract having stronger inhibition potential than its single isolated bioactive molecule, where it was explained as the synergistic effect of other active molecules (Kar et al., 2015; Harwansh et al., 2014).

## Chapter 5

# CONCLUSIONS, STUDY LIMITATIONS AND RECOMMENDATIONS

### 1.1 Conclusions

The primary objective of this research study was to investigate the possible inhibitory and inducing effects of *Withania somnifera*, *Glycyrrhiza glabra*, *Astragalus membranaceus*, *Inula helenium*, *Althaea officinalis* and *Ocimum basilicum* on CYP2B6, esterase-mediated metabolism of rifampicin, and CYP3A4. This was achieved in four phases of research: [1] biochemical phytoprofilng of all the extracts to assess their HDI potential, [2] investigating the inhibitory effect of each extract on CYP2B6 and rifampicin metabolism pathway, using human liver microsomes, and ranking the extracts for potential HDI based on IC<sub>50</sub>s, as well as TDI shift-foldIC<sub>50</sub>s, [3] investigating the potential of the herbal extracts to induce CYP2B6 and CYP3A4 mRNA expression in HepG2 cell lines using RT-PCR technique based on the CTC<sub>50</sub> concentrations determined for each extract, and [4] a complete phytochemical analysis of the active herbal extracts using LC-MS/PDA, to understand the constituents present and their potential to interfere with the CYP activity.

Based on the findings of the study, the following conclusions can be drawn.

#### 1.1.1 Biochemical phytoprofilng:

Bioactive compounds present in the herbs were analysed, for their properties to interfere with CYP activity. These included alkaloids, flavonoids, phenols, coumarins, saponins, glycosides, sterols, tannins and terpenoids. Based on the biochemical profiling each herb was ranked for its potential to cause HDI.

In this study, the qualitative screening ranked *Ocimum basilicum* to be most active, and *Withania somnifera* and *Althaea officinalis* to have lesser potential to cause HDI.

#### 1.1.2 HLM Assays, kinetics of efavirenz/ rifampicin and CYP-herb inhibition data:

Based on the solvent extraction each extract from the same herb showed variable activity on the enzyme activity. Though rifampicin is used as an inducer, in this study it was specifically used as a substrate. Using HLM assays and the optimal parameters ( $K_m$ , protein concentration), the herbal extracts were screened for activity. As per the study, extracts prepared from hot tea decoctions as practised by the THPs, had less inhibitory

activity on the CYPs compared to the methanolic, ethanolic and ethyl acetate extracts. All the extracts of *O.basilicum* showed strong inhibitory potential as well as TDI, which may be attributed to the fact that for this herb the dried leaves, inflorescence and seeds were used compared to the root extracts of all the other herbs; hence more phytoconstituents such as flavonoids and other secondary metabolites may be present in the essential oil of these extracts (Idris et al., 2017; Mulata et al., 2015; Ugochukwu et al., 2013; Fathiazad et al., 2012). The aqueous and methanolic extracts of *O.basilicum* showed reversible and TDI of CYP2B6, while the methanolic and ethanolic extracts inhibited the formation of 25-O-desacetyl rifampicin. Previous studies reported the inhibitory effect of its ethanolic extract on CYPs such as CYP3A4. However no data is available on its effect on *in vitro* rifampicin metabolism. The ethyl acetate and ethanolic extracts of *A.membranaceus*, and ethanolic extract of *I.helenium* showed strong inhibition of CYP2B6 and rifampicin metabolism, respectively. *O.basilicum*, *A.membranaceus* and *I.helenium* have high risk to cause HDI in patients on other medications metabolized by CYP2B6 as well as the biotransformation of rifampicin, which might have toxic effects.

The THPs use alcoholic beverages such as brandy for reconstitution of the herbs. Ethyl acetate extractions are used for specific compounds such as TA-65 or secondary metabolites from *A.membranaceus* (Ghasemian-Yadegari et al., 2017). All extracts of *I.helenium* inhibited the investigated enzymes, which could be due to the presence of inulin, alantolactone and isoalantolactone. Only the ethyl acetate and methanolic extracts of *Withania somnifera* inhibited CYP2B6. Rifampicin being the first-line agent in the treatment of drug-susceptible TB could undergo incomplete metabolism due to the inhibition caused by some of the herbs, which might result in toxic effects. Thus *I.helenium* should be used with caution, if co-administered with rifampicin.

*O.basilicum* showed strong TDI on CYP2B6. Previous *in vitro* studies has shown that NADPH is not a requirement for deacetylation of rifampicin, and that the addition of NADPH to microsomes increased the formation of 25-O-ascetyl rifampicin, which was then attributed to the possible involvement of NADPH-dependent enzymes such as CYPs (Jamis-Dow et al., 1997; Parkinson et al., 1996). In the HLM assays performed in this study NADPH was used in both co-incubation and pre-incubation assays with herbs. It was noticed that both nelfinavir and *O.basilicum* caused strong TDI of the formation

of 25-O-desacetyl rifampicin when pre-incubated with NADPH. This suggests that there could be other enzymes involved in the biotransformation of rifampicin, since exogenous supply of NADPH was not mandatory in the deacetylation of this drug.

Caution must be exercised in patients concurrently taking such medications, especially rifampicin. This finding is particularly relevant for *basil*, considering the fact that it is commonly used as a culinary spice, herbal tea, as well as an essential oil. At low concentrations *basil* extracts could inhibit CYP2B6 and rifampicin metabolism *in vitro* in HLM; if the same or putative concentration levels are reached in the GIT, it could cause adverse HDI in patients consuming *basil*.

#### 1.1.3 mRNA expression assays using RT-PCR for induction analysis:

All extracts screened in this study, showed moderate induction of CYP3A4, while only few extracts induced CYP2B6. The main conclusion of this part of the study was that CYP3A4 was more inducible compared to CYP2B6, which could be attributed to the lesser amount of total CYP2B6 content in the cells as mentioned earlier. This matched previous HDI studies on CYP3A4 (Cho and Yoon, 2015; Hellum et al., 2007; Kent et al., 2002). All extracts of *A.membranaceus* showed moderate induction of CYP3A4 which was in contrast to previous reports of its inhibition of CYP3A4. In the inhibition assays the ethanolic and methanolic extracts of *O.basilicum* inhibited RIF metabolism and CYP2B6 activity in the HLMs, but in the induction assays the methanolic extract induced CYP2B6 mRNA expression, while the ethanolic extract induced CYP3A4. This could be attributed to the various phytoconstituents in *basil* such as rosmarinic acid, rutin and salvigenin, and the two different cell systems (HLM and HepG2), where incubation time points and activity assessment metrics (drug-metabolite levels and mRNA expression) are also different.

The ethanolic and methanolic extracts of *A.officinalis* showed the highest levels of induction (0.48, 0.44-fold responses), among all the extracts screened on CYP3A4. Previously, no published data was available on the screening of *althaea* against CYP3A4 and CYP2B6, for induction studies.

The aqueous extract of *A.membranaceus* showed moderate and equal induction of CYP3A4 and CYP2B6. Caution must be exercised in patients concurrently taking

conventional medications, when using *A.membranaceus* because of its inducing potential on CYP2B6 and CYP3A4, though at moderate levels. *A.officinalis* root extracts are used in preparing the marshmallow confectionaries, and this could also be a factor in its inducing effect on CYP3A4. All the extracts above showed moderate induction of the CYPs (when compared to the positive control).

#### 1.1.4 Phytochemical fingerprinting:

The LC-MS/PDA methodology detailed the fingerprinting further into the peaks masses and their transition product ions combined with the UV maxima, to identify a list of possible compounds present in each herb. It was observed that extracts prepared using methanol, ethanol and ethyl acetate had higher quantities of phytochemicals compared to the aqueous extracts, though the % yield was higher for the aqueous extracts. The relative quantification of the tentatively identified compounds helped predict the varying levels of activities of the different solvent extracts for each herb on HLMs and HepG2 cells, based on literature review. Prominent and previously unreported phytocompounds were identified in the negative mode LC-MS full scan analyses. These compounds were used to link the activity of the extract to the inhibition/ induction assays and the phyto-CYP prediction model (Fig. 3); the assumption was that herbs such as *Ocimum basilicum*, *Astragalus membranaceus*, and *Inula helenium* would inhibit CYP2B6, and possibly the esterase-mediated metabolism pathway of rifampicin. Phenolic compounds, terpenes and lactones were the most observed compounds in the herbs showing activity.

#### 1.1.5 Impact:

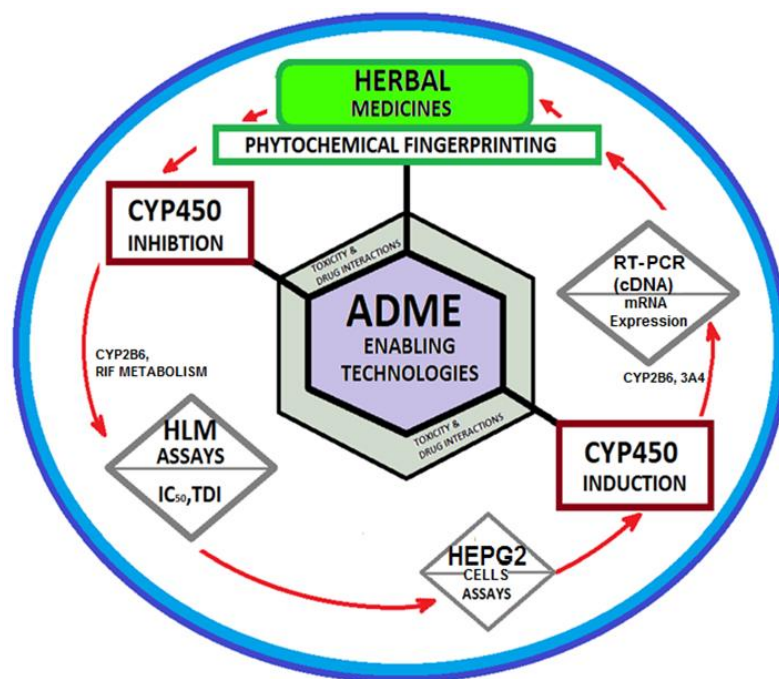
The THPs involved in this study, were very keen on the outcomes of the research, in understanding the potential of the herbs in causing relevant HDI with ARVs and TB drugs, whereby they could also ensure safer use of such herbs and educate their customers of the potential interactions, when co-administered with conventional drugs. The involvement of THPs in such studies is of critical importance in bridging the gap in contemporary health research, especially in African countries.

#### 1.1.6 Overview:

As per the biochemical analysis, it was predicted that herbs such as *O.basilicum*, *A.membranaceus* and *I.helenium* had the potential to inhibit CYP2B6 and possibly affect esterase-mediated metabolism of rifampicin. Different solvent extracts for the same herb

had different phytochemical compositions which attributed to the fact that some of them may induce CYPs such as CYP3A4. However the inhibition and induction studies showed that each extract had its own potential to interact with the CYPs. The same herb showed inhibition effect on the CYP2B6 and rifampicin pathway, as well as induction of CYP3A4 based on the type of solvent extract used, and this was attributed to the various phytochemicals identified in each solvent extract of the same herb using LC-MS fingerprinting. These results link the HDI potential of the herb to its fingerprint, to further investigate, isolate and evaluate the potential of various phytoconstituents to cause both induction and inhibition based on the solvent extraction.

Figure 58 provides an overview of the work carried out in this research study. The study has clearly demonstrated the connection and overlap between the various *in vitro* models used. This study design offers a comprehensive model to investigate the HDI potential of herbal medicine, from various aspects.



**Fig.58** Summary of this research study design and the *in vitro* methods used.

## 1.2 Limitations of the study

The herbs selected in this study were provided in dried packaged forms by a natural product supplier company. All herbs were from various regions of Africa including South Africa. However there are possibilities that a different batch of these herbals product could be from a different region and hence based on the climatic and environmental conditions, the

phytoconstituents present might vary. It is therefore possible that the same herb from a different region might not show the same CYP interaction as observed in this research study.

All assays were done using the crude extracts. The LC-MS/PDA analysis only provides a potential list of possible phytoconstituents in the extract but not exact molecule responsible for the inhibitory/ inducing effect on the CYPs. Bio-assay guided fractionations are required, and these sub-fractions should be further screened to understand if the interaction is caused by a single fraction or a synergistic effect of two or more fractions. Further analysis of these fractions to isolate the pure molecule is warranted.

For all the HLM assays, the standard deviation (standard error of the mean) for the data points for few extracts show high variance. This could be attributed to external factors, which might have interfered with the assays. All assays including duplicates were done in different test tubes; pipetting the assay termination solvent (acetonitrile) from one tube to another might have had time variance of few seconds or it could have also been due to the loss of metabolite due to degradation, during the prolonged HPLC runs.

All extractions performed in this study follow a pattern of extraction conditions such as temperature, solvent and duration. These factors would ultimately determine the amount of phytoconstituents present in the extract. Roto-evaporation and freeze-drying can have an effect on the extract composition, compared to traditional (non-standardized) methodologies followed by the herbal practitioners. Hence the levels of interactions seen *in vitro* might not be the same *in vivo* in human subjects.

The rifampicin metabolism pathway put forth in this study needs to be analysed and evaluated further, to understand the levels to which B-esterases are involved in this pathway and also investigate any potential involvement of CYPs in this pathway. Currently there is very little information available on this metabolic pathway, in order to fully appreciate the relevance of the findings in this study.

HLMs and HepG2 cells were used as part of *in vitro* model in this study and in all these assays the herbal extracts were in direct contact with the enzymes as well as the substrates, and the cell lines. However in an *in vivo* system, there are many other factors involved such as redistribution through the tissues, concentration differential between tissues, presence of natural barriers such



as varying capillary bed permeability, the epithelial membrane barrier, apical membrane barrier in passive transcellular transport etc. as well as plasma protein binding, sub-epithelial blood flow and intestinal pH (El-Kattan and Varma, 2012; Gavhane and Yadav, 2012). The amount of phytoconstituents that enter the GIT, is dependent on various factors such as intestinal fluid composition, GIT transit time, disease state and dosage form (Fasinu et al., 2014).

The phytochemical analysis using LC-MS, for relative quantification of the tentatively identified compounds uses two standard calibrators, quercetin and gallic acid. The standard curves for both reference compounds are in the range of 6.25-200 mg/L; however there are identified compounds within the plant extracts that have values above the range of the curves (upto values of around 4000 mg/L). These values are relative quantifications based on extrapolations of the standard curves and could have a certain degree of inaccuracy. The acidic compounds were identified relative to gallic acid and the other compounds relative to quercetin. The various classes of compounds detected are likely to ionize in the MS to vastly different degrees, when compared to the standard calibrators. Fold-variations can thus occur in the observed peak area of a compound, when compared to an equivalent amount of gallic acid or quercetin. The matrix effects in the plant extracts can reduce the peak areas significantly and there is no internal standard used in this analysis to measure the impact of such effects on the peak area of a compound. This can also affect the quantification data for the identified compounds. The concentrations represented in this study for the identified compounds are reasonable approximations of the relative amounts, in comparison to the standard calibrators, and are not absolute quantifications (Al Feteisi et al., 2015).

### 1.3 Recommendations

It is critical to understand HDI especially when CAM is increasingly being included within mainstream integrative healthcare services (Singer and Adams, 2014). In many countries such as USA, India, China, Australia, as well as few of the European countries, CAM has already been incorporated into clinical care, especially in scenarios of therapeutic alliance of CAM with biomedicine, as well as psychological therapies. Traditional systems of medicine being part of a country's heritage, identity and culture always gain more popularity especially among the rural areas. Hence the risk of potential HDI always surface in such cases where herbal medicines are used in combination with conventional drugs. This is where *in vitro* liver-based models for screening such herbal medicines become the best standard for drug interaction studies. However with the increase in consumption of herbal medicines in the form of supplements and tea

extracts, especially among the HIV/TB patients, *in vivo* studies in healthy human subjects are recommended as the next step in understanding HDI of the herbs used as part of this research study. Randomized placebo controlled trial studies would be beneficial to evaluate the safety of consuming such herbal medications, since clinical studies are definitive and considered the "gold standard". Previously such studies have been done for few herbs such as *Lessertia frutescens* in 2015, in South Africa, where the possibility of interaction between isoniazid preventive therapy and *L.frutescens* was identified (Wilson et al., 2015). With trial results available in electronic databases, data extraction and pharmacokinetic/ pharmacodynamics modelling for *in vivo* predictions would be helpful. Alternatively, new *in vitro* strategies using 'Whole Cell' approach where certified human hepatocytes in sandwich-culture provide a fully integrated hepatic cell system with the drug clearance pathways of metabolism and transport, as well as the key regulatory pathways (CAR/PXR) (Jackson et al., 2017). Such systems help in the quantitative assessment of HDI potential of herbal medications. *In vitro* testing on the CYP isoenzymes and transporters as well as clinical trial studies on human subjects will determine if these herbal extracts have additional effects on the CYP-mediated metabolism and the pharmacokinetics of the drugs. The effects of prolonged intake of such herbal medications on the induction of the CYPs should also be investigated. The findings of this study will help to guide planning and designing of clinical trials. The data collected from these trials would help establish the existence of clinically significant HDI in patients, as well as patient counselling by clinicians on the adverse effects of such interactions. Case reports on adverse drug events on these herbs as well as clinical data would also be helpful to connect them to all the relevant *in vitro* findings on these herbs and entries in the FDA Med Watch system, which would ensure post marketing safety assessment of such herbal products (Hussain, 2011).

Furthermore, a critical step forward would be the fractionation, isolation and identification of the active phytoconstituents in these herbal extracts. Bioassay-guided fractionation and structural elucidation of the pure molecules based on NMR spectroscopic analysis would also be beneficial, in drug discovery. These studies would help better in understanding the active 'ingredients' in the herbs as well as their safety or potential toxicity.

The THP involved in this study and I had the opportunity to attend two conferences organized by the Department of Science and Technology and the National Research Foundation of South Africa on indigenous knowledge systems to present my work, along with the views of the THP on how HDI information is very important for evaluating the safety of herbs. The involvement

of THPs in HDI studies is very important; especially to select and identify the herbs, share their knowledge on THMs and methods of treatment, as well as viewpoints on the research outputs.

## Chapter 6

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## Appendix 1

### Abstracts of paper published from this thesis

**Appendix 1.1:** Simultaneous HPLC Determination of Efavirenz, 8-Hydroxy Efavirenz, Neostigmine and Comparison of their Separation Using a C18 and Biphenyl Column through Pharmacological Evaluation  
DOI: [10.4172/pharmaceutical-sciences.1000237](https://doi.org/10.4172/pharmaceutical-sciences.1000237)

#### Research Paper

### Simultaneous HPLC Determination of Efavirenz, 8-Hydroxy Efavirenz, Neostigmine and Comparison of Separation Using a C18 and Biphenyl Column through Pharmacological Evaluation

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Kumar, *et al.*: HPLC Determination of Efavirenz, 8-Hydroxy Efavirenz and Neostigmine

A simple, rapid and stable high performance liquid chromatography method for a combination of efavirenz, its major metabolite 8-hydroxy efavirenz and neostigmine was developed and validated. The drugs individually and in combination, were analysed using an Agilent 1260 high performance liquid chromatography coupled with variable wavelength detector. Successful separation of combined drugs were achieved by gradient elution on a reverse-phase C18 Phenomenex Evo 100A column (150×4.6 mm, 2.6 μ), by gradient elution using a mobile phase consisting of water:acetonitrile at 0.6 ml×min<sup>-1</sup> flow rate, detection wavelength 245 nm, column oven temperature 27° and injection volume 15 μl. The same method was also deployed on a Restek Ultra biphenyl column (150×4.6 mm, 5 μ) to compare the levels of separation of the drugs and the metabolite. The chromatographic retention times were consistent at 8.75, 9.33 and 10.20 min for efavirenz, 8-hydroxy efavirenz and neostigmine, respectively. Polynomial regression data for the calibration plots exhibited linear relationship (correlation coefficient=0.999) in the range of 2.5-150 μM for both efavirenz and 8-hydroxy efavirenz, and the lower limit of detection and lower limit of quantification at 32 and 96.97 μM for efavirenz and 29.49 and 89.38 μM for 8-hydroxy efavirenz, respectively. Evaluation of drug metabolism using human liver microsomes could be achieved with this method and linearity was established for the 30, 45 and 60 min incubations (correlation coefficient=0.97). The method could be a potential tool to investigate herb and drug-drug interactions as well as for quantifying drugs in *in vitro* drug metabolism assays.

**Key words:** HPLC, C18, efavirenz, 8-hydroxy efavirenz, neostigmine, biphenyl column, human liver microsomes

Efavirenz (EFV, C<sub>14</sub>H<sub>9</sub>ClF<sub>3</sub>NO<sub>2</sub>) is an antiretroviral (ARV) agent employed in the treatment of human immunodeficiency virus (HIV) type 1 in combination with other drugs. It is an analogue of non-nucleoside ARV agent and a non-competitive reverse transcriptase inhibitor and is directly connected to the enzyme and blocks RNA and DNA-dependent, DNA-polymerase activities, causing destruction of the enzyme catalytic site. Chemically it is (S)-6-chloro-(cyclopropylethynyl)-1,4-dihydro-4-(trifluoromethyl)-2H-3,1-benzoxazin-2-one and is optically active with a molecular weight of 315.68 g/mol and melting point in the range 139-141°. This crystalline powder has a white or slightly yellowish appearance. The substance has a melting point ranging from 136-141°, is nearly water insoluble but soluble in methanol and dichloromethane<sup>[1]</sup>. EFV

is predominantly cleared by hepatic metabolism<sup>[2]</sup>. The metabolites identified in human plasma and urine (almost exclusively as glucuronide or sulphate conjugates) were 7- and 8-hydroxy efavirenz (primary metabolites) and 8,14-dihydroxy efavirenz (secondary metabolite). 8-hydroxy efavirenz (E8H, C<sub>14</sub>H<sub>9</sub>ClF<sub>3</sub>NO<sub>2</sub>) is the major metabolite from EFV primarily formed via CYP2B6 of the cytochrome P<sub>450</sub> system<sup>[3]</sup>. It has the IUPAC name (4S)-6-chloro-4-(2-

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**Appendix 1.2:** A validated stable HPLC method for the simultaneous determination of rifampicin and 25-O-desacetyl rifampicin – evaluation of *in vitro* metabolismDOI: [10.1556/1326.2018.00361](https://doi.org/10.1556/1326.2018.00361)

Original Research Paper

**A Validated Stable HPLC Method for the Simultaneous Determination of Rifampicin and 25-O-Desacetyl Rifampicin – Evaluation of *in vitro* Metabolism**Saneesh Kumar<sup>1\*</sup>, Patrick J. Bouic<sup>2,3</sup> and Bernd Rosenkranz<sup>1</sup><sup>1</sup>Division of Clinical Pharmacology, Department of Medicine, University of Stellenbosch, Tygerberg, Cape Town, South Africa<sup>2</sup>Division of Medical Microbiology, Faculty of Health Sciences, University of Stellenbosch, Cape Town, RSA<sup>3</sup>Synexa Life Sciences, Montague Gardens, Cape Town, RSA

Received: 27 July 2017; accepted: 10 December 2017

A simple, efficient, and stable high-performance liquid chromatography (HPLC) separation method for a combination of rifampicin (RIF), its major metabolite 25-O-desacetyl rifampicin (25ODESRIF), and neostigmine (NEO) was developed and validated. The drugs individually, and in combination, were analyzed using a Waters Alliance 2695 HPLC coupled with 2996 photodiode array detector (PDA). Successful separation of combined drugs was achieved by gradient elution on a reverse-phase C-18 Phenomenex Luna column, using a mobile phase consisting of water and methanol at detection wavelength of 254 nm. The HPLC retention times were consistent at  $\pm 7.70$  min,  $\pm 8.25$  min, and  $\pm 10.70$  min for RIF, 25ODESRIF, and NEO, respectively. The regression data for the calibration plots exhibited linear relationship ( $R^2 = 0.995$ ) in the range of 0–200  $\mu\text{M}$  for both RIF and 25ODESRIF, and the lower limit of detection (LOD) and lower limit of quantification (LLOQ) were calculated at 5.86  $\mu\text{M}$  and 17.75  $\mu\text{M}$  for RIF and 7.78  $\mu\text{M}$  and 23.57  $\mu\text{M}$  for 25ODESRIF, respectively. The method was evaluated using *in vitro* human liver microsomes (HLMs) assays, and linearity was established for the 15, 30, 45, and 60 min incubations ( $R^2 = 0.99$ ). The formation of 25ODESRIF was characterized by hyperbolic kinetics ( $K_m$  48.23  $\mu\text{M}$ ,  $V_{max}$  1.233 pmol/min/mg protein, and  $CL_{int}$  0.026  $\mu\text{L}/\text{min}/\text{mg}$  protein). The method was applied in HLM assays to understand the herb–drug interaction (HDI) potential of *Althaea officinalis*, a popular African herb consumed by tuberculosis (TB) patients, with RIF. None of the extracts of *A. officinalis* inhibited the esterase-mediated metabolism pathway of RIF, compared to the positive control nelfinavir ( $IC_{50} = 9.59$   $\mu\text{M}$ ). The method provides a tool for quantifying RIF and 25ODESRIF in *in vitro* drug metabolism assays as well as investigating herb– and drug–drug interactions (DDIs).

**Keywords:** HPLC, C-18, rifampicin, 25-O-desacetyl rifampicin, neostigmine, human liver microsomes, Michaelis–Menten kinetics, *Althaea officinalis*, herb–drug interaction

**Introduction**

Rifampicin (RIF) (3-[4-methyl piperazinyl-iminomethyl] rifamycin SV; rifampin) is a first-line antibiotic along with isoniazid (INH), pyrazinamide (PYR), ethambutol (ETB), and streptomycin (STP) in the treatment of pulmonary and extrapulmonary tuberculosis (TB) and has a unique role in the killing of semi-dormant tubercle bacilli (*Mycobacterium tuberculosis*) [1].

The World Health Organization recommends a 6-month regimen comprising RIF, INH, PYR, and ETB which are given together for the first 2 months followed by RIF and INH therapy for the next 4 months. RIF is mainly eliminated in the bile and then reabsorbed; hence, enterohepatic circulation ensues. The drug is deacylated into its microbiologically active metabolite 25-O-desacetyl rifampicin (25ODESRIF), by esterases or by enzymes present in microsomal cells [2]. 25ODESRIF is less absorbable as compared to the parent drug [3].

RIF is used as an inducer of cytochrome P450 3A4 (CYP 3A4) to analyze the effect of herbal medicine on the activity of this enzyme [4, 5]. It induces gut and liver (hepatic) CYP3A4 and auto-induces its own metabolism [6]. P-glycoprotein (P-gp), along with B-esterases, is involved in disposition of rifampicin [7].

Very few high-performance liquid chromatography (HPLC) methods have been developed for the quantification of RIF and its metabolite 25ODESRIF, in plasma [8–10] and urine

[11–14]. These HPLC methods involve various procedures which can be time-consuming. This is of critical importance especially in assays involving HLMs and other liver fractions (S9, hepatocytes), to identify the metabolites and also quantify the rate of drug metabolism, as well as for major pharmacokinetic studies as well as herb–drug interaction (HDI) and drug–drug interaction (DDI) studies. Metabolites may interfere with the assay when analyzing parent drugs [15]. Rifampicin induces the metabolism of many drugs, including antiretroviral drugs (ARVs) such as nevirapine metabolized by the CYPs, predominantly CYP3A4 and CYP2B6 [16, 17]. Few *in vitro* studies have assessed  $K_m$  and  $V_{max}$  kinetic parameters of rifampicin in human liver microsomes.

RIF-induced CYP3A4 activation and expression models have been used for predicting the pharmacokinetics of CYP3A4 substrate drugs as well as clinically relevant DDI [18]. Most of the *in vitro* HDI studies have investigated the role of RIF as an inducer of CYP3A4 along with other drugs and herbal extracts; less information is available on the effect of herbal medicines on the esterase-mediated metabolism pathway of RIF to 25ODESRIF. Assessment of the metabolic disposition of RIF and of possible inhibitory/inducing effects of herbal medicines would be very important.

*Althaea officinalis* L. (Malvaceae), commonly called “marsh-mallow” (Baer-ul-Khtmk [African/Arabic]; Gulkhaira [Pers.]), is a perennial species indigenous to Africa, which is used as an ornamental plant and a medicinal plant by TB patients [19–21].

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**Appendix 1.3:** Abstract Book: Innopharm 2. Proceedings: 2<sup>nd</sup> International Conference on Bridging Innovations in Pharma. Med. & Bio Sciences. International Journal of Pharmacy and Pharmaceutical Sciences, [S.l.], p. 1-255, Feb. 2017. ISSN 0975-1491.

**HPLC/LC-MS GUIDED PHYTOCHEMICAL/IN VITRO SCREENING OF INULA HELENIUM L. (ASTERACEAE) AND ALTHAEA OFFICINALIS L. (MALVACEAE), AND PREDICTION OF POSSIBLE CYTOCHROME P450 INTERACTIONS**

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**Abstract**

The dried roots of *Inula helenium* L. (Asteraceae) and *Althaea officinalis* L. (Malvaceae) are used as traditional medicines for various medical conditions including tuberculosis, in Africa. The aim of this study is to determine the major phytoconstituents present in the roots of *I. helenium* and *A. officinalis* and assess their potential in altering the activity of cytochrome P450 enzymes, through *in vitro* assays using Human liver microsomes (HLM). Aqueous, methanolic, and ethanolic extracts of *I. helenium* and *A. officinalis* were analysed using biochemical tests, HPLC-VWD and LC-ESCI-MS using quercetin (flavonoids), caffeine (alkaloids), 1-benzopyran-2-one (coumarins), lanatoside C (glycosides), and gallic acid (phenols) as analytical reference standards. *In vitro* inhibition assays were done using HLM with Rifampicin as the substrate for the target enzyme CYP3A4. The biochemical tests confirmed the presence of alkaloids, saponins, phenols, glycosides, terpenoids, flavonoids and coumarins in almost all plants, especially the methanolic extracts. The HPLC retention times were consistent with the presence of quercetin, coumarin, lanatoside C, caffeine and gallic acid standards (retention times 0.71 min, 1.23 min, 1.93 min, 1.25 min and 0.79 min respectively; plant extracts with mean retention times  $\pm 0.67$  min,  $\pm 1.09$  min,  $\pm 0.61$  min,  $\pm 0.39$  min and  $\pm 0.79$  min). LC-ESCI-MS analysis further confirmed this through the MRM scans. Glycosides were observed in almost all plants. In *I. helenium*, the prolific compound observed was alantolactone (*helenin*) or isoalantolactone which belonged to the class of sesquiterpene lactones. In *A. officinalis*, the known lactone, n-hexacos-2-enyl-1,5-olide (althaea hexacosanol lactone) was observed at 387.39 *m/z* along with quercetin-equivalent flavonoids which could be potential inhibitors of CYP3A4 and 2B6. Positive/negative mode full scans also showed the presence of major compounds such as sesquiterpene lactones, flavones, saponins and amides. HPLC-guided HLM screening assays indicated the inhibitory potential of the aqueous and methanolic extracts of *I. helenium* on CYP3A4 ( $IC_{50}$  = 108  $\mu$ g/ml and 42.79  $\mu$ g/ml, respectively) compared to the methanolic extract of *A. officinalis* ( $IC_{50}$  > 150  $\mu$ g/ml). The result suggests that co-administration of the various extracts of *I. helenium* and *A. officinalis* with anti-TB drugs that are substrates of CYP3A4, 2B6, 2C9 and 2C19 enzymes could, in turn, lead to undesirable pharmacokinetic herb-drug interactions in humans.

**Keywords:** HPLC/LC-ESCI-MS fingerprinting, elecampane, marshmallow, cytochrome P450, phytoconstituents, human liver microsomes

**Appendix 1.4:** Abstract Book: 1<sup>st</sup> International Conference on Novel Frontiers in Pharmaceutical & Health Sciences (Innopharm1). International Journal of Pharmacy and Pharmaceutical Sciences, [S.I.], Sep. 2015. ISSN 0975-1491.

**HPLC/IC-MS GUIDED PHYTOCHEMICAL SCREENING OF *ASTRAGALUS MEMBRANACEUS*, AND PREDICTION OF POSSIBLE CYTOCHROME P450 INTERACTIONS**

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**Abstract**

The dried roots of *Astragalus membranaceus* (Fabaceae) are used as folklore medicine for multifarious diseases including HIV/AIDS, in Africa. Objective of study was to determine the phytoconstituents present in the roots of *A. membranaceus*, using methanol, ethanol, aqueous and ethyl acetate solvent extractions, and assess the potential of each extract in altering the activity of cytochrome P450 enzymes. Exhaustive extraction, of the dried roots of *A. membranaceus*, was done using water, methanol, ethanol and ethyl acetate, and qualitative analysis was completed using biochemical tests, HPLC analysis and multiple reaction monitoring HPLC combined with electrospray ionisation and tandem MS (HPLC-ESCI/MS/MS) using quercetin, caffeine, coumarin, lanatoside C, and gallic acid as reference standards for flavonoids, alkaloids, coumarins, cardiac glycosides, and phenols. The biochemical tests confirmed the presence of alkaloids, saponins, phenols, glycosides, terpenoids, flavonoids and coumarins in almost all extracts, the methanol extracts having the most, compared to the other solvent extracts. The HPLC analysis baselined quercetin, coumarin, lanatoside C, caffeine, and gallic acid standards with retention times 0.71 min, 1.23 min, 1.93 min, 1.25 min and 0.79 min respectively, and all the extracts with retention times  $\pm 0.62$  min,  $\pm 1.0$  min,  $\pm 0.56$  min,  $\pm 0.36$  min and  $\pm 0.78$  min on the average, with respect to their equivalent standards. The LC/MS analysis further confirmed the presence of flavonoids, phenols, glycosides and coumarins in most extracts with MRM scan retention times in close proximity with the masses of the daughter ions of the standards; 1.64 min for quercetin, 2.44 min for caffeine, 6.92 min for lanatoside C, 2.08 min for coumarin and 1.3 min for gallic acid. The methanol extract had lanatoside C equivalent with MRM daughter ion scan retention time matching at 6.94 min. The ethyl acetate extract had alkaloids that matched caffeine MRM daughter mass scan range, though at a different retention time. Full scans both positive and negative ion modes, also showed the presence of many phytoconstituents in the same class of compounds as the internal standards. The assessment model baselined flavonoids (quercetin) as potential inducers, terpenoids and coumarins as potential inhibitors, the alkaloid caffeine as an inducer and glycoside derivatives as inhibitor. The phytochemical fingerprints of all the extracts from *A. membranaceus* projected the possibility of its inhibitory/inductive effect on the cytochrome P450 enzymes. In conclusion, the results show that the consumption of *A. Membranaceus* together with conventional drugs may present a risk of possibly relevant herb-drug interaction.

**Keywords:** *Astragalus*, HPLC/IC-MS, phytoconstituents, fingerprint, cytochrome P450, flavonoids, glycosides, coumarin.

## ***Appendix 2***

### ***Ethics exemption approval***



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#### **Ethics Letter**

19-May-2015

**Ethics Reference #:** X15/04/002

**Clinical Trial Reference #:**

**Title:** Pharmacokinetic herb-drug interactions involving African traditional medicines - fingerprint analysis and in vitro metabolism studies.

Dear Mr Saneesh Kumar,

Thank you for your application to our Health Research Ethics Committee (HREC). This application is for laboratory research which does not involve any animal or human subjects.

The Health Research Ethics Committee considers this proposal to be exempt from ethical review.

This letter confirms that this research is now registered and you can proceed with study related activities.

If you have any queries or need further assistance, please contact the HREC Office 0219399657.

Sincerely,

REC Coordinator  
Franklin Weber  
Health Research Ethics Committee 1





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